

# 2025 Global Progress Report on Implementation of the Protocol to Eliminate Illicit Trade in Tobacco Products

## Supplementary document

Progress with implementing the Protocol			
3.1.1.1 Has there been any significant change in the implementation of the Protocol in your country since the submission of your previous report?	Count (n)	% of all Parties (n=69)	% of report submitters (n=46)
Yes	20	29,0	43,5
No	25	36,2	54,3
NA	1	1,4	2,2
	23	33,3	
Total	69	100,0	100,0
Article 6: Licence, equivalent approval or control system			
Licence to manufacture tobacco products			
4.1.1 Do you require a licence or equivalent approval system to manufacture the following tobacco products?			
4.1.1.1 Cigarettes	Count (n)	% of all Parties (n=69)	% of report submitters (n=46)
Yes	37	53,6	80,4
Not applicable	5	7,2	10,9
No	3	4,3	6,5
NA	1	1,4	2,2
Not submitted	23	33,3	
Total	69	100,0	100,0
4.1.1.2 Rolling tobacco for cigarettes	Count (n)	% of all Parties (n=69)	% of report submitters (n=46)
Yes	34	49,3	73,9
Not applicable	9	13,0	19,6
No	2	2,9	4,3
NA	1	1,4	2,2
Not submitted	23	33,3	
Total	69	100,0	100,0
4.1.1.3 Waterpipe tobacco	Count (n)	% of all Parties (n=69)	% of report submitters (n=46)
Yes	31	44,9	67,4
Not applicable	8	11,6	17,4
No	5	7,2	10,9
NA	2	2,9	4,3
Not submitted	23	33,3	
Total	69	100,0	100,0
4.1.1.4 Heated tobacco products	Count (n)	% of all Parties (n=69)	% of report submitters (n=46)
Yes	29	42,0	63,0
Not applicable	12	17,4	26,1
No	4	5,8	8,7
NA	1	1,4	2,2

Not submitted	23	33,3	
Total	69	100,0	100,0
		% of all Parties (n=69)	% of report submitters (n=46)
<b>4.1.1.5 Cigarillos or small cigars</b>	<b>Count (n)</b>		
Yes	36	52,2	78,3
Not applicable	6	8,7	13,0
No	3	4,3	6,5
NA	1	1,4	2,2
Not submitted	23	33,3	
Total	69	100,0	100,0
		% of all Parties (n=69)	% of report submitters (n=46)
<b>4.1.1.6 Cigars</b>	<b>Count (n)</b>		
Yes	37	53,6	80,4
Not applicable	5	7,2	10,9
No	3	4,3	6,5
NA	1	1,4	2,2
Not submitted	23	33,3	
Total	69	100,0	100,0
		% of all Parties (n=69)	% of report submitters (n=46)
<b>4.1.1.7 Other smoked tobacco products</b>	<b>Count (n)</b>		
Yes	33	47,8	71,7
Not applicable	6	8,7	13,0
No	6	8,7	13,0
NA	1	1,4	2,2
Not submitted	23	33,3	
Total	69	100,0	100,0
		% of all Parties (n=69)	% of report submitters (n=46)
<b>4.1.1.8 Smokeless tobacco</b>	<b>Count (n)</b>		
Yes	23	33,3	50,0
Not applicable	12	17,4	26,1
No	9	13,0	19,6
NA	2	2,9	4,3
Not submitted	23	33,3	
Total	69	100,0	100,0
		% of all Parties (n=69)	% of report submitters (n=46)
<b>4.1.1.9 Other tobacco products (please specify)</b>	<b>Count (n)</b>		
Yes	17	24,6	37,0
Not applicable	12	17,4	26,1
No	10	14,5	21,7
NA	7	10,1	15,2
Not submitted	23	33,3	
Total	69	100,0	100,0

The following questions (4.1.2.2-4.1.2.4) are analysed among Parties who answered yes to requiring a licence for manufacturing any tobacco product ('Yes' to any question from 4.1.1.1 to 4.1.1.9):

<b>4.1.2.2 Does the competent authority have the ability to suspend, revoke or cancel licences to manufacture tobacco products in cases of non-compliance?</b>	<b>Count (n)</b>	<b>% of question respondents</b>
Yes	35	94,6
No	1	2,7
NA	1	2,7
Total	37	100,0

  

<b>4.1.2.3 Do you require a licence fee to manufacture tobacco products?</b>	<b>Count (n)</b>	<b>% of question respondents</b>
Yes	23	62,2
No	13	35,1
NA	1	2,7
Total	37	100,0

  

<b>4.1.2.4 Do you require licences to manufacture tobacco products to be periodically renewed?</b>	<b>Count (n)</b>	<b>% of question respondents</b>
Yes, once a year	9	24,3
No, no licence renewal is required	13	35,1
NA	3	8,1
Yes, every two years	2	5,4
Yes, every five years	5	13,5
Yes, at another interval	5	13,5
Total	37	100,0

#### Licence to manufacture tobacco manufacturing equipment

<b>4.2.1.1 Do you require a licence or equivalent approval system to manufacture tobacco manufacturing equipment?</b>	<b>Count (n)</b>	<b>% of all Parties (n=69)</b>	<b>% of report submitters (n=46)</b>
Yes	10	14,5	21,7
Not applicable	9	13,0	19,6
No	26	37,7	56,5
NA	1	1,4	2,2
Not submitted	23	33,3	
Total	69	100,0	100,0

The following questions (4.2.1.3-4.2.1.5) are analysed among Parties who answered yes to requiring a licence for manufacturing tobacco manufacturing products ('Yes' to question 4.2.1.1):

<b>4.2.1.3 Does the competent authority have the ability to suspend, revoke or cancel licences to manufacture tobacco manufacturing equipment in cases of non-compliance?</b>	<b>Count (n)</b>	<b>% of question respondents</b>
Yes	10	100,0
No	0	0,0
Total	10	100,0

  

<b>4.2.1.4 Do you require a licence fee to manufacture tobacco manufacturing equipment?</b>	<b>Count (n)</b>	<b>% of question respondents</b>
Yes	7	70,0
No	3	30,0
Total	10	100,0

4.2.1.5 Do you require licences to manufacture tobacco manufacturing equipment to be periodically renewed?	Count (n)	% of question respondents
Yes, once a year	3	30,0
No, no licence renewal is required	3	30,0
NA	1	10,0
Yes, every two years	1	10,0
Yes, every five years	1	10,0
Yes, at another interval	1	10,0
Total	10	100,0

### Licence to import tobacco products

4.3.1 Do you require a licence or equivalent approval system to import the following tobacco products?

4.3.1.1 Cigarettes	Count (n)	% of all Parties (n=69)	% of report submitters (n=46)
Yes	41	59,4	89,1
No	3	4,3	6,5
NA	2	2,9	4,3
Not submitted	23	33,3	
Total	69	100,0	100,0
4.3.1.2 Rolling tobacco for cigarettes	Count (n)	% of all Parties (n=69)	% of report submitters (n=46)
Yes	37	53,6	80,4
Not applicable	3	4,3	6,5
No	4	5,8	8,7
NA	2	2,9	4,3
Not submitted	23	33,3	
Total	69	100,0	100,0
4.3.1.3 Waterpipe tobacco	Count (n)	% of all Parties (n=69)	% of report submitters (n=46)
Yes	36	52,2	78,3
Not applicable	2	2,9	4,3
No	6	8,7	13,0
NA	2	2,9	4,3
Not submitted	23	33,3	
Total	69	100,0	100,0
4.3.1.4 Heated tobacco products	Count (n)	% of all Parties (n=69)	% of report submitters (n=46)
Yes	31	44,9	67,4
Not applicable	6	8,7	13,0
No	6	8,7	13,0
NA	3	4,3	6,5
Not submitted	23	33,3	
Total	69	100,0	100,0
4.3.1.5 Cigarillos or small cigars	Count (n)	% of all Parties (n=69)	% of report submitters (n=46)
Yes	40	58,0	87,0

Not applicable	1	1,4	2,2
No	3	4,3	6,5
NA	2	2,9	4,3
Not submitted	23	33,3	
Total	69	100,0	100,0

	Count (n)	% of all Parties (n=69)	% of report submitters (n=46)
<b>4.3.1.6 Cigars</b>			
Yes	39	56,5	84,8
No	3	4,3	6,5
NA	4	5,8	8,7
Not submitted	23	33,3	
Total	69	100,0	100,0

	Count (n)	% of all Parties (n=69)	% of report submitters (n=46)
<b>4.3.1.7 Other smoked tobacco products</b>			
Yes	37	53,6	80,4
Not applicable	1	1,4	2,2
No	5	7,2	10,9
NA	3	4,3	6,5
Not submitted	23	33,3	
Total	69	100,0	100,0

	Count (n)	% of all Parties (n=69)	% of report submitters (n=46)
<b>4.3.1.8 Smokeless tobacco</b>			
Yes	29	42,0	63,0
Not applicable	7	10,1	15,2
No	7	10,1	15,2
NA	3	4,3	6,5
Not submitted	23	33,3	
Total	69	100,0	100,0

	Count (n)	% of all Parties (n=69)	% of report submitters (n=46)
<b>4.3.1.9 Other tobacco products</b>			
Yes	21	30,4	45,7
Not applicable	8	11,6	17,4
No	9	13,0	19,6
NA	8	11,6	17,4
Not submitted	23	33,3	
Total	69	100,0	100,0

The following questions (4.3.2.2-4.3.2.4) are analysed among Parties who answered yes to requiring a licence for importing any tobacco product ('Yes' to any question from 4.3.1.1 to 4.3.1.9):

	Count (n)	% of question respondents
<b>4.3.2.2 Does the competent authority have the ability to suspend, revoke or cancel licences to import tobacco products in cases of non-compliance?</b>		
Yes	38	92,7
No	2	4,9
NA	1	2,4
Total	41	100,0

4.3.2.3 Do you require a licence fee to import tobacco products?	Count (n)	% of question respondents
Yes	28	68,3
No	12	29,3
NA	1	2,4
Total	41	100,0

4.3.2.4 Do you require licences to import tobacco products to be periodically renewed?	Count (n)	% of question respondents
Yes, once a year	10	24,4
No, no licence renewal is required	14	34,1
NA	3	7,3
Yes, every two years	3	7,3
Yes, every five years	6	14,6
Yes, at another interval	5	12,2
Total	41	100,0

#### Licence to import tobacco manufacturing equipment

4.4.1.1 Do you require a licence or equivalent approval system to import tobacco manufacturing equipment?	Count (n)	% of all Parties (n=69)	% of report submitters (n=46)
Yes	13	18,8	28,3
Not applicable	9	13,0	19,6
No	22	31,9	47,8
NA	2	2,9	4,3
Not submitted	23	33,3	
Total	69	100,0	100,0

The following questions (4.4.1.3-4.4.1.5) are analysed among Parties who answered yes to requiring a licence for importing tobacco manufacturing equipment ('Yes' to question 4.4.1.1):

4.4.1.3 Does the competent authority have the ability to suspend, revoke or cancel licences to import tobacco manufacturing equipment in cases of non-compliance?	Count (n)	% of question respondents
Yes	12	92,3
No	0	0,0
NA	1	7,7
Total	13	100,0

4.4.1.4 Do you require a licence fee to import tobacco manufacturing equipment?	Count (n)	% of question respondents
Yes	8	61,5
No	4	30,8
NA	1	7,7
Total	13	100,0

4.4.1.5 Do you require licences to import tobacco manufacturing equipment to be periodically renewed?	Count (n)	% of question respondents
Yes, once a year	4	30,8
Yes, every two years	2	15,4
Yes, every five years	0	0,0
Yes, at another interval	0	0,0
No, no licence renewal is required	4	30,8
NA	3	23,1

Total	13	100,0
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#### Licence to export tobacco products

4.5.1 Do you require a licence or equivalent approval system to export the following tobacco products?

4.5.1.1 Cigarettes	Count (n)	% of all Parties (n=69)	% of report submitters (n=46)
Yes	32	46,4	69,6
Not applicable	6	8,7	13,0
No	6	8,7	13,0
NA	2	2,9	4,3
Not submitted	23	33,3	
Total	69	100,0	100,0
4.5.1.2 Rolling tobacco for cigarettes	Count (n)	% of all Parties (n=69)	% of report submitters (n=46)
Yes	27	39,1	58,7
Not applicable	10	14,5	21,7
No	6	8,7	13,0
NA	3	4,3	6,5
Not submitted	23	33,3	
Total	69	100,0	100,0
4.5.1.3 Waterpipe tobacco	Count (n)	% of all Parties (n=69)	% of report submitters (n=46)
Yes	27	39,1	58,7
Not applicable	9	13,0	19,6
No	7	10,1	15,2
NA	3	4,3	6,5
Not submitted	23	33,3	
Total	69	100,0	100,0
4.5.1.4 Heated tobacco products	Count (n)	% of all Parties (n=69)	% of report submitters (n=46)
Yes	23	33,3	50,0
Not applicable	15	21,7	32,6
No	6	8,7	13,0
NA	2	2,9	4,3
Not submitted	23	33,3	
Total	69	100,0	100,0
4.5.1.5 Cigarillos or small cigars	Count (n)	% of all Parties (n=69)	% of report submitters (n=46)
Yes	28	40,6	60,9
Not applicable	9	13,0	19,6
No	7	10,1	15,2
NA	2	2,9	4,3
Not submitted	23	33,3	
Total	69	100,0	100,0
4.5.1.6 Cigars	Count (n)	% of all Parties (n=69)	% of report submitters (n=46)
Yes	29	42,0	63,0

Not applicable	8	11,6	17,4
No	7	10,1	15,2
NA	2	2,9	4,3
Not submitted	23	33,3	
Total	69	100,0	100,0

	Count (n)	% of all Parties (n=69)	% of report submitters (n=46)
<b>4.5.1.7 Other smoked tobacco products</b>			
Yes	27	39,1	58,7
Not applicable	9	13,0	19,6
No	8	11,6	17,4
NA	2	2,9	4,3
Not submitted	23	33,3	
Total	69	100,0	100,0

	Count (n)	% of all Parties (n=69)	% of report submitters (n=46)
<b>4.5.1.8 Smokeless tobacco</b>			
Yes	23	33,3	50,0
Not applicable	14	20,3	30,4
No	6	8,7	13,0
NA	3	4,3	6,5
Not submitted	23	33,3	
Total	69	100,0	100,0

	Count (n)	% of all Parties (n=69)	% of report submitters (n=46)
<b>4.5.1.9 Other tobacco products</b>			
Yes	16	23,2	34,8
Not applicable	14	20,3	30,4
No	8	11,6	17,4
NA	8	11,6	17,4
Not submitted	23	33,3	
Total	69	100,0	100,0

The following questions (4.5.2.2-4.5.2.4) are analysed among Parties who answered yes to requiring a licence for exporting tobacco manufacturing equipment ('Yes' to any questions from 4.5.1.1 to 4.5.1.9):

	Count (n)	% of question respondents
<b>4.5.2.2 Does the competent authority have the ability to suspend, revoke or cancel licences to export tobacco products in cases of non-compliance?</b>		
Yes	27	84,4
No	3	9,4
NA	2	6,3
Total	32	100,0

	Count (n)	% of question respondents
<b>4.5.2.3 Do you require a licence fee to export tobacco products?</b>		
Yes	15	46,9
No	15	46,9
NA	2	6,3
Total	32	100,0

	Count (n)	% of question respondents
<b>4.5.2.4 Do you require licences to export tobacco products to be periodically renewed?</b>		
Yes, once a year	8	25,0



No, no licence renewal is required	14	43,8
NA	2	6,3
Yes, every two years	2	6,3
Yes, every five years	4	12,5
Yes, at another interval	2	6,3
Total	32	100,0

#### Licence to export tobacco manufacturing equipment

4.6.1.1 Do you require a licence or equivalent approval system to export tobacco manufacturing equipment?	Count (n)	% of all Parties (n=69)	% of report submitters (n=46)
Yes	10	14,5	21,7
Not applicable	9	13,0	19,6
No	24	34,8	52,2
NA	3	4,3	6,5
Not submitted	23	33,3	
Total	69	100,0	100,0

The following questions (4.6.1.3-4.6.1.5) are analysed among Parties who answered yes to requiring a licence for exporting tobacco manufacturing equipment ('Yes' to question 4.6.1.1):

4.6.1.3 Does the competent authority have the ability to suspend, revoke or cancel licences to export tobacco manufacturing equipment in cases of non-compliance?	Count (n)	% of question respondents
Yes	9	90,0
NA	1	10,0
Total	10	100,0

4.6.1.4 Do you require a licence fee to export tobacco manufacturing equipment?	Count (n)	% of question respondents
Yes	5	50,0
No	4	40,0
NA	1	10,0
Total	10	100,0

4.6.1.5 Do you require licences to export tobacco manufacturing equipment to be periodically renewed?	Count (n)	% of question respondents
Yes, once a year	3	30,0
No, no licence renewal is required	4	40,0
NA	1	10,0
Yes, every two years	2	20,0
Total	10	100,0

#### Licence for retailing tobacco products

4.7.1.1 Do you require a licence or equivalent approval system for retailing tobacco products?	Count (n)	% of all Parties (n=69)	% of report submitters (n=46)
Yes	24	34,8	52,2
No	19	27,5	41,3
NA	3	4,3	6,5
Not submitted	23	33,3	
Total	69	100,0	100,0

The following questions (4.7.1.3-4.7.1.5) are analysed among Parties who answered yes to requiring a licence for retailing tobacco products ('Yes' to question 4.7.1.1):

4.7.1.3 Does the competent authority have the ability to suspend, revoke or cancel licences for retailing tobacco products in cases of non-compliance?	Count (n)	% of question respondents
Yes	20	83,3
No	1	4,2
NA	3	12,5
Total	24	100,0

4.7.1.4 Do you require a licence fee for retailing tobacco products?	Count (n)	% of question respondents
Yes	17	70,8
No	4	16,7
NA	3	12,5
Total	24	100,0

4.7.1.5 Do you require licences for retailing tobacco products to be periodically renewed?	Count (n)	% of question respondents
Yes, once a year	6	25,0
No, no licence renewal is required	6	25,0
NA	3	12,5
Yes, every two years	3	12,5
Yes, every five years	2	8,3
Yes, at another interval	4	16,7
Total	24	100,0

#### Licence for growing tobacco

4.8.1.1 Do you require a licence or equivalent approval system for growing tobacco (excluding traditional small-scale growers, farmers, and producers)?	Count (n)	% of all Parties (n=69)	% of report submitters (n=46)
Yes	10	14,5	21,7
Not applicable	12	17,4	26,1
No	20	29,0	43,5
NA	4	5,8	8,7
Not submitted	23	33,3	
Total	69	100,0	100,0

The following questions (4.8.1.3-4.8.1.5) are analysed among Parties who answered yes to requiring a licence for growing tobacco ('Yes' to question 4.8.1.1):

4.8.1.3 Does the competent authority have the ability to suspend, revoke or cancel licences for growing tobacco in cases of non-compliance?	Count (n)	% of question respondents
Yes	9	90,0
No	1	10,0
Total	10	100,0

4.8.1.4 Do you require a licence fee for growing tobacco?	Count (n)	% of question respondents
Yes	5	50,0
No	5	50,0
Total	10	100,0

4.8.1.5 Do you require licences for growing tobacco to be periodically renewed?	Count (n)	% of question respondents
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Yes, once a year	4	40,0
No, no licence renewal is required	4	40,0
Yes, every two years	1	10,0
Yes, every five years	1	10,0
Total	10	100,0

#### Licence for transporting commercial quantities of tobacco products

4.9.1.1 Do you require a licence or equivalent approval system for transporting commercial quantities of tobacco products?	Count (n)	% of all Parties (n=69)	% of report submitters (n=46)
Yes	12	17,4	26,1
No	31	44,9	67,4
NA	3	4,3	6,5
Not submitted	23	33,3	
Total	69	100,0	100,0

The following questions (4.9.1.3-4.9.1.5) are analysed among Parties who answered yes to requiring a licence for transporting commercial quantities of tobacco products ('Yes' to question 4.9.1.1):

4.9.1.3 Does the competent authority have the ability to suspend, revoke or cancel licences for transporting commercial quantities of tobacco products in cases of non-compliance?	Count (n)	% of question respondents
Yes	11	91,7
No	1	8,3
Total	12	100,0

4.9.1.4 Do you require a licence fee for transporting commercial quantities of tobacco products?	Count (n)	% of question respondents
Yes	4	33,3
No	8	66,7
Total	12	100,0

4.9.1.5 Do you require licences for transporting commercial quantities of tobacco products to be periodically renewed?	Count (n)	% of question respondents
Yes, once a year	4	33,3
No, no licence renewal is required	6	50,0
NA	1	8,3
Yes, at another interval	1	8,3
Total	12	100,0

#### Licence for transporting commercial quantities of tobacco manufacturing equipment

4.10.1.1 Do you require a licence or equivalent approval system for transporting commercial quantities of tobacco manufacturing equipment?	Count (n)	% of all Parties (n=69)	% of report submitters (n=46)
Yes	5	7,2	10,9
Not applicable	10	14,5	21,7
No	28	40,6	60,9
NA	3	4,3	6,5
Not submitted	23	33,3	
Total	69	100,0	100,0

The following questions (4.10.1.3-4.10.1.5) are analysed among Parties who answered yes to requiring a licence for transporting commercial quantities of tobacco manufacturing equipment ('Yes' to question 4.10.1.1):

<b>4.10.1.3 Does the competent authority have the ability to suspend, revoke or cancel licences for transporting commercial quantities of tobacco manufacturing equipment in cases of non-compliance?</b>	<b>Count (n)</b>	<b>% of question respondents</b>
Yes	4	80,0
NA	1	20,0
Total	5	100,0

  

<b>4.10.1.4 Do you require a licence fee for transporting commercial quantities of tobacco manufacturing equipment?</b>	<b>Count (n)</b>	<b>% of question respondents</b>
Yes	1	20,0
No	3	60,0
NA	1	20,0
Total	5	100,0

  

<b>4.10.1.5 Do you require licences for transporting commercial quantities of tobacco manufacturing equipment to be periodically renewed?</b>	<b>Count (n)</b>	<b>% of question respondents</b>
Yes, once a year	1	20,0
No, no licence renewal is required	3	60,0
NA	1	20,0
Total	5	100,0

#### Licence to wholesale, broker, warehouse or distribute tobacco

<b>4.11.1.1 Do you require a licence or equivalent approval system to wholesale, broker, warehouse or distribute tobacco?</b>	<b>Count (n)</b>	<b>% of all Parties (n=69)</b>	<b>% of report submitters (n=46)</b>
Yes, in part	7	10,1	15,2
Yes	25	36,2	54,3
No	9	13,0	19,6
NA	5	7,2	10,9
Not submitted	23	33,3	
Total	69	100,0	100,0

The following questions (4.11.1.3-4.11.1.5) are analysed among Parties who answered yes to requiring a licence to wholesale, broker, warehouse or distribute tobacco ('Yes' to question 4.11.1.1):

<b>4.11.1.3 Does the competent authority have the ability to suspend, revoke or cancel licences to wholesale, broker, warehouse or distribute tobacco in cases of non-compliance?</b>	<b>Count (n)</b>	<b>% of question respondents</b>
Yes, in part	2	6,3
Yes	25	78,1
No	1	3,1
NA	4	12,5
Total	32	100,0

  

<b>4.11.1.4 Do you require a licence fee to wholesale, broker, warehouse or distribute tobacco?</b>	<b>Count (n)</b>	<b>% of question respondents</b>
Yes, in part	1	3,1
Yes	15	46,9
No	11	34,4
NA	5	15,6
Total	32	100,0

  

<b>4.11.1.5 Do you require licences to wholesale, broker, warehouse or distribute tobacco to be periodically renewed?</b>	<b>Count (n)</b>	<b>% of question respondents</b>
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Yes, once a year	7	21,9
No, no licence renewal is required	12	37,5
NA	6	18,8
Yes, every five years	3	9,4
Yes, at another interval	4	12,5
Total	32	100,0

#### Licence to wholesale, broker, warehouse or distribute tobacco products

4.12.1.1 Do you require a licence or equivalent approval system to wholesale, broker, warehouse or distribute tobacco products?	Count (n)	% of all Parties (n=69)	% of report submitters (n=46)
Yes, in part	1	1,4	2,2
Yes	33	47,8	71,7
No	6	8,7	13,0
NA	6	8,7	13,0
Not submitted	23	33,3	
Total	69	100,0	100,0

The following questions (4.12.1.3-4.12.1.5) are analysed among Parties who answered yes or yes in part to requiring a licence to wholesale, broker, warehouse or distribute tobacco products ('Yes' or 'Yes, in part' to question 4.12.1.1):

4.12.1.3 Does the competent authority have the ability to suspend, revoke or cancel licences to wholesale, broker, warehouse or distribute tobacco products in cases of non-compliance?	Count (n)	% of question respondents
Yes, in part	1	2,9
Yes	30	88,2
No	1	2,9
NA	2	5,9
Total	34	100,0

4.12.1.4 Do you require a licence fee to wholesale, broker, warehouse or distribute tobacco products?	Count (n)	% of question respondents
Yes, in part	1	2,9
Yes	21	61,8
No	10	29,4
NA	2	5,9
Total	34	100,0

4.12.1.5 Do you require licences to wholesale, broker, warehouse or distribute tobacco products to be periodically renewed?	Count (n)	% of question respondents
Yes, once a year	11	32,4
No, no licence renewal is required	11	32,4
NA	4	11,8
Yes, every two years	1	2,9
Yes, every five years	4	11,8
Yes, at another interval	3	8,8
Total	34	100,0

#### Licence to wholesale, broker, warehouse or distribute tobacco manufacturing equipment

4.13.1.1 Do you require a licence or equivalent approval system to wholesale, broker, warehouse or distribute tobacco manufacturing equipment?	Count (n)	% of all Parties (n=69)	% of report submitters (n=46)
Yes, in part	2	2,9	4,3
Yes	4	5,8	8,7
Not applicable	9	13,0	19,6
No	26	37,7	56,5
NA	5	7,2	10,9
Not submitted	23	33,3	
Total	69	100,0	100,0

The following questions (4.13.1.3-4.13.1.5) are analysed among Parties who answered yes or yes in part to to requiring a licence to wholesale, broker, warehouse or distribute tobacco manufacturing equipment ('Yes' or 'Yes, in part' to question 4.13.1.1):

4.13.1.3 Does the competent authority have the ability to suspend, revoke or cancel licences to wholesale, broker, warehouse or distribute tobacco manufacturing equipment in cases of non-compliance?	Count (n)	% of question respondents
Yes	5	83,3
No	0	0,0
NA	1	16,7
Total	6	100,0

4.13.1.4 Do you require a licence fee to wholesale, broker, warehouse or distribute tobacco manufacturing equipment?	Count (n)	% of question respondents
Yes	1	16,7
No	4	66,7
NA	1	16,7
Total	6	100,0

4.13.1.5 Do you require licences to wholesale, broker, warehouse or distribute tobacco manufacturing equipment to be periodically renewed?	Count (n)	% of question respondents
Yes, once a year	1	16,7
No, no licence renewal is required	4	66,7
NA	1	16,7
Total	6	100,0

## Article 7: Due diligence

### Due diligence

5.1.1 Are all individuals and corporate entities engaged in the supply chain of tobacco, tobacco products and tobacco manufacturing equipment required to:

5.1.1.1 Conduct due diligence before, and during the course of a business relationship?	Count (n)	% of all Parties (n=69)	% of report submitters (n=46)
Yes	20	29,0	43,5
No	19	27,5	41,3
NA	7	10,1	15,2
Not submitted	23	33,3	
Total	69	100,0	100,0

5.1.1.2 Monitor sales to customers to ensure that the quantities are commensurate with the demand for such products within the intended market?	Count (n)	% of all Parties (n=69)	% of report submitters (n=46)
Yes	19	27,5	41,3

No	20	29,0	43,5
NA	7	10,1	15,2
Not submitted	23	33,3	
Total	69	100,0	100,0

  

5.1.1.3 Report any evidence that the customer is engaged in activities in contravention of its obligations arising from this Protocol?	Count (n)	% of all Parties (n=69)	% of report submitters (n=46)
Yes	16	23,2	34,8
No	23	33,3	50,0
NA	7	10,1	15,2
Not submitted	23	33,3	
Total	69	100,0	100,0

## Article 8: Tracking and tracing

### Unique identification markings

6.1.1.1 Do you apply markings such as tax stamps, fiscal marks, banderols or any other type of marking on units of tobacco packaging for cigarettes?	Count (n)	% of all Parties (n=69)	% of report submitters (n=46)
Yes	32	46,4	69,6
No	14	20,3	30,4
Not submitted	23	33,3	
Total	69	100,0	100,0

The following questions (6.1.1.2 and 6.1.1.4) are analysed among Parties who answered yes to applying any markings ('Yes' to question 6.1.1.1):

6.1.1.2 Do the markings on units of tobacco packaging for cigarettes contain any type of security feature THAT CAN be verified by the naked eye, such as colour changing inks, holograms, latent images, watermarks and security threads?	Count (n)	% of question respondents
Yes	24	75,0
No	0	0,0
NA	8	25,0
Total	32	100,0

  

6.1.1.4 Do the markings on units of tobacco packaging for cigarettes contain any type of security feature THAT CANNOT be verified by the naked eye, but only by using dedicated and specialized electronic readers or doing laboratory analysis?	Count (n)	% of question respondents
Yes	27	84,4
No	2	6,3
NA	3	9,4
Total	32	100,0

This following section will ask more details about the markings, including THOSE THAT CAN OR CANNOT be verified by the naked eye

6.1.2 Do any of the markings that you apply contain a unique identifier FOR EACH unit of tobacco packaging for cigarettes THAT MAKES THE INDIVIDUAL ITEM DISTINCT AND DISTINGUISHABLE FROM ANY OTHER ITEM?

6.1.2.1 Pack	Count (n)	% of all Parties (n=69)	% of report submitters (n=46)
Yes, the markings contain a unique identifier for each unit	29	42,0	63,0
No, the markings do not contain a unique identifier for each unit	2	2,9	4,3
NA	15	21,7	32,6
Not submitted	23	33,3	

Total	69	100,0	100,0
		<b>% of all Parties (n=69)</b>	<b>% of report submitters (n=46)</b>
<b>6.1.2.2 Carton</b>	<b>Count (n)</b>		
Yes, the markings contain a unique identifier for each unit	24	34,8	52,2
No, the markings do not contain a unique identifier for each unit	7	10,1	15,2
NA	15	21,7	32,6
Not submitted	23	33,3	
Total	69	100,0	100,0
		<b>% of all Parties (n=69)</b>	<b>% of report submitters (n=46)</b>
<b>6.1.2.3 Master case</b>	<b>Count (n)</b>		
Yes, the markings contain a unique identifier for each unit	21	30,4	45,7
No, the markings do not contain a unique identifier for each unit	9	13,0	19,6
NA	16	23,2	34,8
Not submitted	23	33,3	
Total	69	100,0	100,0
		<b>% of all Parties (n=69)</b>	<b>% of report submitters (n=46)</b>
<b>6.1.2.4 Pallet</b>	<b>Count (n)</b>		
Yes, the markings contain a unique identifier for each unit	20	29,0	43,5
No, the markings do not contain a unique identifier for each unit	10	14,5	21,7
NA	16	23,2	34,8
Not submitted	23	33,3	
Total	69	100,0	100,0

The following questions (6.1.2.5-6.1.3.14) are analysed among Parties who answered yes to having a unique identifier on the pack, carton, master case, or pallet answered ('Yes' to any question from 6.1.2.1 to 6.1.2.4):

<b>6.1.2.5 Is there a parent-child relationship (aggregation links) between the unique identification markings on unit packs, cartons, master cases and pallets?</b>	<b>Count (n)</b>	<b>% of question respondents</b>
Yes	24	77,4
No	5	16,1
NA	2	6,5

**6.1.3 Can competent authorities IN YOUR COUNTRY get the following information contained in the unique identification markings on each unit of tobacco packaging for cigarettes?**

<b>6.1.3.1 Date of manufacture</b>	<b>Count (n)</b>	<b>% of question respondents</b>
Yes	28	90,3
No	1	3,2
NA	2	6,5
Total	31	100,0

<b>6.1.3.2 Location of manufacture</b>	<b>Count (n)</b>	<b>% of question respondents</b>
Yes	29	93,5
No	1	3,2
NA	1	3,2
Total	31	100,0



<b>6.1.3.3 Manufacturing facility</b>	<b>Count (n)</b>	<b>% of question respondents</b>
Yes	28	90,3
No	2	6,5
NA	1	3,2
Total	31	100,0

<b>6.1.3.4 Machine used to manufacture tobacco products</b>	<b>Count (n)</b>	<b>% of question respondents</b>
Yes	23	74,2
No	7	22,6
NA	1	3,2
Total	31	100,0

<b>6.1.3.5 Production shift or time of manufacture</b>	<b>Count (n)</b>	<b>% of question respondents</b>
Yes	24	77,4
No	6	19,4
NA	1	3,2
Total	31	100,0

<b>6.1.3.6 The name, invoice, order number and payment records of the first customer who is not affiliated with the manufacturer</b>	<b>Count (n)</b>	<b>% of question respondents</b>
Yes	20	64,5
No	9	29,0
NA	2	6,5
Total	31	100,0

<b>6.1.3.7 The intended market of retail sale</b>	<b>Count (n)</b>	<b>% of question respondents</b>
Yes	24	77,4
No	6	19,4
NA	1	3,2
Total	31	100,0

<b>6.1.3.8 Product description</b>	<b>Count (n)</b>	<b>% of question respondents</b>
Yes	29	93,5
No	1	3,2
NA	1	3,2
Total	31	100,0

<b>6.1.3.9 Any warehousing and shipping</b>	<b>Count (n)</b>	<b>% of question respondents</b>
Yes	20	64,5
No	9	29,0
NA	2	6,5
Total	31	100,0

<b>6.1.3.10 The identity of any known subsequent purchaser</b>	<b>Count (n)</b>	<b>% of question respondents</b>
Yes	17	54,8
No	13	41,9
NA	1	3,2

Total	31	100,0
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6.1.3.11 The intended shipment route, the shipment date, shipment destination, point of departure and consignee	Count (n)	% of question respondents
Yes	18	58,1
No	11	35,5
NA	2	6,5
Total	31	100,0

  

6.1.3.12 The identity of the individual or corporate entity to whom the products are being shipped	Count (n)	% of question respondents
Yes	22	71,0
No	8	25,8
NA	1	3,2
Total	31	100,0

  

6.1.3.13 The mode of transportation	Count (n)	% of question respondents
Yes	20	64,5
No	9	29,0
NA	2	6,5
Total	31	100,0

  

6.1.3.14 The identity of the transporter	Count (n)	% of question respondents
Yes	19	61,3
No	11	35,5
NA	1	3,2
Total	31	100,0

  

The following questions (6.1.4.1-6.1.4.7) are analysed among Parties who answered yes to competent authorities getting any information from the markings ('Yes' to any question from 6.1.3.1 to 6.1.3.14):

  

**6.1.4 Please specify which authorities IN YOUR COUNTRY have access to the information contained in the unique identification markings on units of tobacco packaging for cigarettes?**

  

6.1.4.1 Customs	Count (n)	% of question respondents
Yes	26	83,9
No	4	12,9
NA	1	3,2
Total	31	100,0

  

6.1.4.2 Finance	Count (n)	% of question respondents
Yes	15	48,4
No	13	41,9
NA	3	9,7
Total	31	100,0

  

6.1.4.3 Revenue or tax authority	Count (n)	% of question respondents
Yes	21	67,7
No	7	22,6
NA	3	9,7
Total	31	100,0

	Count (n)	% of question respondents
<b>6.1.4.4 Border control</b>		
Yes	17	54,8
No	11	35,5
NA	3	9,7
Total	31	100,0

	Count (n)	% of question respondents
<b>6.1.4.5 Police inspectors</b>		
Yes	10	32,3
No	17	54,8
NA	4	12,9
Total	31	100,0

	Count (n)	% of question respondents
<b>6.1.4.6 Health</b>		
Yes	9	29,0
No	19	61,3
NA	3	9,7
Total	31	100,0

	Count (n)	% of question respondents
<b>6.1.4.7 Others</b>		
Yes	10	32,3
No	17	54,8
NA	4	12,9
Total	31	100,0

The following questions (6.1.5.1-6.1.7.14) are analysed among Parties who answered yes to having a unique identifier on the pack, carton, master case, or pallet answered ('Yes' to any question from 6.1.2.1 to 6.1.2.4):

**6.1.5 At what point is the information contained in the unique identification markings on units of tobacco packaging for cigarettes recorded in your country?**

	Count (n)	% of question respondents
<b>6.1.5.1 At the time of manufacture of products for sale in the jurisdiction</b>		
Yes	27	87,1
No	2	6,5
NA	2	6,5
Total	31	100,0

	Count (n)	% of question respondents
<b>6.1.5.2 At the time of manufacture of products intended for export</b>		
Yes	22	71,0
No	6	19,4
NA	3	9,7
Total	31	100,0

	Count (n)	% of question respondents
<b>6.1.5.3 At the time of first shipment</b>		
Yes	18	58,1
No	7	22,6
NA	6	19,4
Total	31	100,0

	Count (n)	% of question respondents
<b>6.1.5.4 At the time of import into territory</b>		

Yes	21	67,7
No	7	22,6
NA	3	9,7
Total	31	100,0

6.1.6 Can authorities OUTSIDE YOUR COUNTRY get the following information contained in the unique identification markings on units of tobacco packaging for cigarettes?

6.1.6.1 Date of manufacture	Count (n)	% of question respondents
Yes	19	61,3
No	10	32,3
NA	2	6,5
Total	31	100,0

6.1.6.2 Location of manufacture	Count (n)	% of question respondents
Yes	21	67,7
No	9	29,0
NA	1	3,2
Total	31	100,0

6.1.6.3 Manufacturing facility	Count (n)	% of question respondents
Yes	19	61,3
No	11	35,5
NA	1	3,2
Total	31	100,0

6.1.6.4 Machine used to manufacture tobacco products	Count (n)	% of question respondents
Yes	16	51,6
No	14	45,2
NA	1	3,2
Total	31	100,0

6.1.6.5 Production shift or time of manufacture	Count (n)	% of question respondents
Yes	18	58,1
No	12	38,7
NA	1	3,2
Total	31	100,0

6.1.6.6 The name, invoice, order number and payment records of the first customer who is not affiliated with the manufacturer	Count (n)	% of question respondents
Yes	16	51,6
No	14	45,2
NA	1	3,2
Total	31	100,0

6.1.6.7 The intended market of retail sale	Count (n)	% of question respondents
Yes	19	61,3
No	10	32,3
NA	2	6,5
Total	31	100,0

<b>6.1.6.8 Product description</b>	<b>Count (n)</b>	<b>% of question respondents</b>
Yes	21	67,7
No	8	25,8
NA	2	6,5
Total	31	100,0

<b>6.1.6.9 Any warehousing and shipping</b>	<b>Count (n)</b>	<b>% of question respondents</b>
Yes	16	51,6
No	13	41,9
NA	2	6,5
Total	31	100,0

<b>6.1.6.10 The identity of any known subsequent purchaser</b>	<b>Count (n)</b>	<b>% of question respondents</b>
Yes	16	51,6
No	14	45,2
NA	1	3,2
Total	31	100,0

<b>6.1.6.11 The intended shipment route, the shipment date, shipment destination, point of departure and consignee</b>	<b>Count (n)</b>	<b>% of question respondents</b>
Yes	15	48,4
No	15	48,4
NA	1	3,2
Total	31	100,0

<b>6.1.6.12 The identity of the individual or corporate entity to whom the products are being shipped</b>	<b>Count (n)</b>	<b>% of question respondents</b>
Yes	17	54,8
No	13	41,9
NA	1	3,2
Total	31	100,0

<b>6.1.6.13 The mode of transportation</b>	<b>Count (n)</b>	<b>% of question respondents</b>
Yes	15	48,4
No	15	48,4
NA	1	3,2
Total	31	100,0

<b>6.1.6.14 The identity of the transporter</b>	<b>Count (n)</b>	<b>% of question respondents</b>
Yes	14	45,2
No	16	51,6
NA	1	3,2
Total	31	100,0

**6.1.7 Is the information that is contained in the unique identification markings on units of tobacco packaging for cigarettes MADE AVAILABLE FOR SHARING with other Parties to the Protocol?**

<b>6.1.7.1 Date of manufacture</b>	<b>Count (n)</b>	<b>% of question respondents</b>
Yes	16	51,6
No	12	38,7
NA	3	9,7
Total	31	100,0
<b>6.1.7.2 Location of manufacture</b>	<b>Count (n)</b>	<b>% of question respondents</b>
Yes	16	51,6
No	12	38,7
NA	3	9,7
Total	31	100,0
<b>6.1.7.3 Manufacturing facility</b>	<b>Count (n)</b>	<b>% of question respondents</b>
Yes	15	48,4
No	13	41,9
NA	3	9,7
Total	31	100,0
<b>6.1.7.4 Machine used to manufacture tobacco products</b>	<b>Count (n)</b>	<b>% of question respondents</b>
Yes	15	48,4
No	13	41,9
NA	3	9,7
Total	31	100,0
<b>6.1.7.5 Production shift or time of manufacture</b>	<b>Count (n)</b>	<b>% of question respondents</b>
Yes	14	45,2
No	13	41,9
NA	4	12,9
Total	31	100,0
<b>6.1.7.6 The name, invoice, order number and payment records of the first customer who is not affiliated with the manufacturer</b>	<b>Count (n)</b>	<b>% of question respondents</b>
Yes	15	48,4
No	13	41,9
NA	3	9,7
Total	31	100,0
<b>6.1.7.7 The intended market of retail sale</b>	<b>Count (n)</b>	<b>% of question respondents</b>
Yes	16	51,6
No	12	38,7
NA	3	9,7
Total	31	100,0
<b>6.1.7.8 Product description</b>	<b>Count (n)</b>	<b>% of question respondents</b>
Yes	16	51,6
No	12	38,7
NA	3	9,7
Total	31	100,0

<b>6.1.7.9 Any warehousing and shipping</b>	<b>Count (n)</b>	<b>% of question respondents</b>
Yes	15	48,4
No	13	41,9
NA	3	9,7
Total	31	100,0

<b>6.1.7.10 The identity of any known subsequent purchaser</b>	<b>Count (n)</b>	<b>% of question respondents</b>
Yes	14	45,2
No	14	45,2
NA	3	9,7
Total	31	100,0

<b>6.1.7.11 The intended shipment route, the shipment date, shipment destination, point of departure and consignee</b>	<b>Count (n)</b>	<b>% of question respondents</b>
Yes	12	38,7
No	15	48,4
NA	4	12,9
Total	31	100,0

<b>6.1.7.12 The identity of the individual or corporate entity to whom the products are being shipped</b>	<b>Count (n)</b>	<b>% of question respondents</b>
Yes	14	45,2
No	14	45,2
NA	3	9,7
Total	31	100,0

<b>6.1.7.13 The mode of transportation</b>	<b>Count (n)</b>	<b>% of question respondents</b>
Yes	13	41,9
No	15	48,4
NA	3	9,7
Total	31	100,0

<b>6.1.7.14 The identity of the transporter</b>	<b>Count (n)</b>	<b>% of question respondents</b>
Yes	14	45,2
No	14	45,2
NA	3	9,7
Total	31	100,0

The following question (6.1.8.1) is analysed among Parties who answered yes to any information being available for sharing ('Yes' to any question from 6.1.7.1 to 6.1.7.14):

<b>6.1.8.1 Have you shared information that is contained in the unique identification markings on units of tobacco packaging with other Parties to the WHO FCTC?</b>	<b>Count (n)</b>	<b>% of question respondents</b>
Yes	9	56,3
No	5	31,3
NA	2	12,5
Total	16	100,0

The following question (6.1.8.2) is analysed among Parties who answered yes to having a unique identifier on the pack, carton, master case, or pallet ('Yes' to any question from 6.1.2.1 to 6.1.2.4):

<b>6.1.8.2 Are your unique identification markings on units of tobacco packaging for cigarettes tamper-proof or non-removable?</b>	<b>Count (n)</b>	<b>% of question respondents</b>
Yes	29	93,5
No	1	3,2
NA	1	3,2
Total	31	100,0

The following questions (6.1.9.1-6.1.9.3) are analysed among Parties who answered yes to unique markings being tamper-proof or non-removable and having a unique identifier on the pack, carton, master case, or pallet answered ('Yes' to any question from 6.1.2.1 to 6.1.2.4 and 'Yes' to 6.1.8.2):

**6.1.9 How are the unique identification markings applied to units of tobacco packaging for cigarettes in your country?**

<b>6.1.9.1 Markings form part of the packaging (e.g., direct printing)</b>	<b>Count (n)</b>	<b>% of question respondents</b>
Yes	21	72,4
No	4	13,8
NA	4	13,8
Total	29	100,0

<b>6.1.9.2 Markings are applied to the packaging (e.g., sticker)</b>	<b>Count (n)</b>	<b>% of question respondents</b>
Yes	9	31,0
No	15	51,7
NA	5	17,2
Total	29	100,0

<b>6.1.9.3 Other (please specify)</b>	<b>Count (n)</b>	<b>% of question respondents</b>
Yes	2	6,9
No	20	69,0
NA	7	24,1
Total	29	100,0

**Application of unique markings to units of tobacco packaging**

The following questions (6.2.1.1, 6.2.1.2 and 6.2.3.1) are analysed among Parties who answered yes to applying any markings on units of tobacco packaging for cigarettes ('Yes' to 6.1.1.1):

<b>6.2.1.1 Are the markings applied to units of tobacco packaging for cigarettes that are MANUFACTURED in your country?</b>	<b>Count (n)</b>	<b>% of question respondents</b>
Yes	22	68,8
No	6	18,8
NA	4	12,5
Total	32	100,0

<b>6.2.1.2 Are the markings applied to units of tobacco packaging for cigarettes that are IMPORTED INTO your country?</b>	<b>Count (n)</b>	<b>% of question respondents</b>
Yes	26	81,3
No	2	6,3
NA	4	12,5
Total	32	100,0

The following questions (6.2.2.1-6.2.2.3) are analysed among Parties who answered yes to applying any markings on units of tobacco packaging for cigarettes imported into their country ('Yes' to 6.2.1.2):

**6.2.2 When are markings applied to IMPORTED units of tobacco packaging for cigarettes?**



6.2.2.1 At the time of importation	Count (n)	% of question respondents
Yes	11	42,3
No	10	38,5
NA	5	19,2
Total	26	100,0

6.2.2.2 At the time of manufacture	Count (n)	% of question respondents
Yes	14	53,8
No	8	30,8
NA	4	15,4
Total	26	100,0

6.2.2.3 Other	Count (n)	% of question respondents
Yes	1	3,8
No	19	73,1
NA	6	23,1
Total	26	100,0

6.2.3.1 Are markings applied to units of tobacco packaging for cigarettes EXPORTED OUT of your country?	Count (n)	% of question respondents
Yes	18	56,3
No	10	31,3
NA	4	12,5
Total	32	100,0

## Financing of supply chain control measures

6.3.1 How are supply chain control measures (e.g., licensing, applying unique identification markings, monitoring routes or movement of products) financed in your country?

6.3.1.1 Tobacco manufacturer funded through the sale of compulsory tax stamps	Count (n)	% of all Parties (n=69)	% of report submitters (n=46)
Yes	12	17,4	26,1
No	25	36,2	54,3
NA	9	13,0	19,6
Not submitted	23	33,3	
Total	69	100,0	100,0

6.3.1.2 Licensing fees from actors in the tobacco supply chain	Count (n)	% of all Parties (n=69)	% of report submitters (n=46)
Yes	15	21,7	32,6
No	22	31,9	47,8
NA	9	13,0	19,6
Not submitted	23	33,3	
Total	69	100,0	100,0

6.3.1.3 Other methods of tobacco industry funding	Count (n)	% of all Parties (n=69)	% of report submitters (n=46)
Yes	4	5,8	8,7
No	31	44,9	67,4
NA	11	15,9	23,9
Not submitted	23	33,3	

Total	69	100,0	100,0
		<b>% of all Parties (n=69)</b>	<b>% of report submitters (n=46)</b>
<b>6.3.1.4 Other</b>	<b>Count (n)</b>		
Yes	7	10,1	15,2
No	25	36,2	54,3
NA	14	20,3	30,4
Not submitted	23	33,3	
Total	69	100,0	100,0

### Involvement of the tobacco industry in supply chain control measures

6.4.1 Is the tobacco industry involved in any of the following aspects of implementing supply chain control measures in your country?

		<b>% of all Parties (n=69)</b>	<b>% of report submitters (n=46)</b>
<b>6.4.1.1 Generation of unique identification markings</b>	<b>Count (n)</b>		
Yes	4	5,8	8,7
No	38	55,1	82,6
NA	4	5,8	8,7
Not submitted	23	33,3	
Total	69	100,0	100,0
		<b>% of all Parties (n=69)</b>	<b>% of report submitters (n=46)</b>
<b>6.4.1.2 Supply of software to generate unique identification markings</b>	<b>Count (n)</b>		
Yes	4	5,8	8,7
No	38	55,1	82,6
NA	4	5,8	8,7
Not submitted	23	33,3	
Total	69	100,0	100,0
		<b>% of all Parties (n=69)</b>	<b>% of report submitters (n=46)</b>
<b>6.4.1.3 Other tobacco industry involvement</b>	<b>Count (n)</b>		
Yes	2	2,9	4,3
No	39	56,5	84,8
NA	5	7,2	10,9
Not submitted	23	33,3	
Total	69	100,0	100,0

### Measures to prevent the tobacco industry from accessing information

The following question (6.5.1) is analysed among Parties who answered yes to having a unique identifier on the pack, carton, master case, or pallet ('Yes' to any from 6.1.2.1 to 6.1.2.4):

<b>6.5.1 Do you have measures to prevent the tobacco industry or any other commercial entity with vested interests from accessing and using information from the unique identification markings that is recorded, accessible or shared by competent authorities?</b>	<b>Count (n)</b>	<b>% of question respondents</b>
Yes	21	67,7
No	5	16,1
NA	5	16,1
Total	31	100,0

### Requirements for other tobacco products

6.6.1 Are other tobacco products covered by exactly the same requirements as cigarettes (as reported above under Article 8 on Tracking and Tracing)?

		% of all Parties (n=69)	% of report submitters (n=46)
<b>6.6.1.1 Rolling tobacco for cigarettes</b>	<b>Count (n)</b>		
Yes	23	33,3	50,0
No	7	10,1	15,2
NA	16	23,2	34,8
Not submitted	23	33,3	
Total	69	100,0	100,0
<b>6.6.1.2 Waterpipe tobacco</b>	<b>Count (n)</b>	<b>% of all Parties (n=69)</b>	<b>% of report submitters (n=46)</b>
Yes	21	30,4	45,7
No	9	13,0	19,6
NA	16	23,2	34,8
Not submitted	23	33,3	
Total	69	100,0	100,0
<b>6.6.1.3 Heated tobacco products</b>	<b>Count (n)</b>	<b>% of all Parties (n=69)</b>	<b>% of report submitters (n=46)</b>
Yes	19	27,5	41,3
No	11	15,9	23,9
NA	16	23,2	34,8
Not submitted	23	33,3	
Total	69	100,0	100,0
<b>6.6.1.4 Cigarillos or small cigars</b>	<b>Count (n)</b>	<b>% of all Parties (n=69)</b>	<b>% of report submitters (n=46)</b>
Yes	23	33,3	50,0
No	7	10,1	15,2
NA	16	23,2	34,8
Not submitted	23	33,3	
Total	69	100,0	100,0
<b>6.6.1.5 Cigars</b>	<b>Count (n)</b>	<b>% of all Parties (n=69)</b>	<b>% of report submitters (n=46)</b>
Yes	23	33,3	50,0
No	7	10,1	15,2
NA	16	23,2	34,8
Not submitted	23	33,3	
Total	69	100,0	100,0
<b>6.6.1.6 Other smoked tobacco products</b>	<b>Count (n)</b>	<b>% of all Parties (n=69)</b>	<b>% of report submitters (n=46)</b>
Yes	18	26,1	39,1
No	12	17,4	26,1
NA	16	23,2	34,8
Not submitted	23	33,3	
Total	69	100,0	100,0
<b>6.6.1.7 Smokeless tobacco</b>	<b>Count (n)</b>	<b>% of all Parties (n=69)</b>	<b>% of report submitters (n=46)</b>
Yes	18	26,1	39,1
No	11	15,9	23,9
NA	17	24,6	37,0
Not submitted	23	33,3	
Total	69	100,0	100,0

6.6.1.8 Other tobacco products	Count (n)	% of all Parties (n=69)	% of report submitters (n=46)
Yes	12	17,4	26,1
No	16	23,2	34,8
NA	18	26,1	39,1
Not submitted	23	33,3	
Total	69	100,0	100,0

#### Global information-sharing focal point

6.8.1.1 Have you used, in the past two years, the global information-sharing focal point operated by the Convention Secretariat?	Count (n)	% of all Parties (n=69)	% of report submitters (n=46)
Yes	3	4,3	6,5
No	25	36,2	54,3
NA	18	26,1	39,1
Not submitted	23	33,3	
Total	69	100,0	100,0

### Article 9: Record-keeping

#### Record-keeping

7.1.1.1 Are all individuals and corporate entities engaged in the supply chain of tobacco, tobacco products and tobacco manufacturing equipment required to: Maintain complete and accurate records of all relevant transactions?	Count (n)	% of all Parties (n=69)	% of report submitters (n=46)
Yes	34	49,3	73,9
No	9	13,0	19,6
NA	3	4,3	6,5
Not submitted	23	33,3	
Total	69	100,0	100,0
7.1.1.2 Are all individuals and corporate entities subject to a licensing system required to provide on request information to authorities on: Market volumes, trends, forecasts and other relevant information?	Count (n)	% of all Parties (n=69)	% of report submitters (n=46)
Yes	33	47,8	71,7
No	9	13,0	19,6
NA	4	5,8	8,7
Not submitted	23	33,3	
Total	69	100,0	100,0

### Article 10: Security and preventive measures

#### Security and preventive measures

8.1.1.1 Are all persons subject to licensing required to take measures to prevent the diversion of tobacco products into illicit trade by reporting to the competent authorities the cross-border transfer of cash in amounts stipulated in national law or of cross-border payments in kind?	Count (n)	% of all Parties (n=69)	% of report submitters (n=46)
Yes	25	36,2	54,3
No	15	21,7	32,6
NA	6	8,7	13,0
Not submitted	23	33,3	
Total	69	100,0	100,0
8.1.1.2 Are all persons subject to licensing required to take measures to prevent the diversion of tobacco products into illicit trade by reporting to the competent authorities "suspicious transactions"?	Count (n)	% of all Parties (n=69)	% of report submitters (n=46)
Yes	21	30,4	45,7
No	16	23,2	34,8

NA	9	13,0	19,6
Not submitted	23	33,3	
Total	69	100,0	100,0
<b>8.1.1.3 Are all persons subject to licensing required to take measures to prevent the diversion of tobacco products into illicit trade by supplying tobacco products or manufacturing equipment only in amounts commensurate with the demand within the intended market of retail sale or use?</b>			
	<b>Count (n)</b>	<b>% of all Parties (n=69)</b>	<b>% of report submitters (n=46)</b>
Yes	15	21,7	32,6
No	20	29,0	43,5
NA	11	15,9	23,9
Not submitted	23	33,3	
Total	69	100,0	100,0

## Article 11: Sale by Internet, telecommunication, or any other evolving technology

### Sale by Internet, telecommunication, or any other evolving technology

<b>9.1.1.1 Do you ban all tobacco product RETAIL SALES by Internet-, telecommunication-, or any other evolving technology-based modes of sale?</b>			
	<b>Count (n)</b>	<b>% of all Parties (n=69)</b>	<b>% of report submitters (n=46)</b>
Yes	29	42,0	63,0
No	15	21,7	32,6
NA	2	2,9	4,3
Not submitted	23	33,3	
Total	69	100,0	100,0
<b>9.1.1.2 Do all tobacco sales by Internet-, telecommunication-, or any other evolving technology-based modes of sales have to comply with all relevant obligations covered by this Protocol?</b>			
	<b>Count (n)</b>	<b>% of all Parties (n=69)</b>	<b>% of report submitters (n=46)</b>
Yes	34	49,3	73,9
No	6	8,7	13,0
NA	6	8,7	13,0
Not submitted	23	33,3	
Total	69	100,0	100,0

## Article 12: Free zones and international transit

### Free zones

<b>10.1.1.1 Is there a free zone in your territory?</b>			
	<b>Count (n)</b>	<b>% of all Parties (n=69)</b>	<b>% of report submitters (n=46)</b>
Yes	32	46,4	69,6
No	12	17,4	26,1
NA	2	2,9	4,3
Not submitted	23	33,3	
Total	69	100,0	100,0

The following question (10.1.1.2) is analysed among Parties who answered yes to having a free zone in their territory ('Yes' to question 10.1.1.1):

<b>10.1.1.2 Do you allow manufacturing of, and transactions in, tobacco and tobacco products in free zones?</b>	<b>Count (n)</b>	<b>% of question respondents</b>
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Yes	16	50,0
No	12	37,5
NA	4	12,5
Total	32	100,0

The following questions (10.1.2.1-10.1.3.1) are analysed among Parties who answered yes, to allowing manufacturing of, and transactions in, tobacco and tobacco products in free zones ('Yes' to question 10.1.1.2):

**10.1.2 Do you apply the following supply chain controls on all manufacturing of, and transactions in, tobacco and tobacco products in free zones?**

	Count (n)	% of question respondents
<b>10.1.2.1 Licensing system</b>		
Yes	11	68,8
No	4	25,0
NA	1	6,3
Total	16	100,0
<b>10.1.2.2 Due diligence</b>		
Yes	9	56,3
No	7	43,8
Total	16	100,0
<b>10.1.2.3 Application of markings on units of tobacco packaging (e.g., stamps or codes with unique identifiers)</b>		
Yes	6	37,5
No	9	56,3
NA	1	6,3
Total	16	100,0
<b>10.1.2.4 Record-keeping</b>		
Yes	13	81,3
No	3	18,8
Total	16	100,0
<b>10.1.2.5 Other</b>		
Yes	1	6,3
No	11	68,8
NA	4	25,0
Total	16	100,0
<b>10.1.3.1 Do you ban intermingling of tobacco products with non-tobacco products in a single container or similar transportation unit when removing them from free zones?</b>		
Yes	8	50,0
No	7	43,8
NA	1	6,3
Total	16	100,0

## International transit

The following question (10.2.1.1) is analysed among Parties who answered yes OR no to having a free zone in their territory ('Yes' or 'No' to question 10.1.1.1):

<b>10.2.1.1 Do you allow the international transit or transshipment of tobacco products and manufacturing equipment within your territory?</b>	<b>Count (n)</b>	<b>% of question respondents</b>
Yes	40	90,9
No	3	6,8
NA	1	2,3
Total	44	100,0

The following question (10.2.1.2) is analysed among Parties who answered yes to allowing the international transit or transshipment of tobacco products and manufacturing equipment within your territory ('Yes' to question 10.2.1.1):

<b>10.2.1.2 Do you apply supply chain control and verification measures (such as licensing, application of markings on units of tobacco packaging, etc.) to the transit or transshipment of tobacco products and manufacturing equipment within your territory?</b>	<b>Count (n)</b>	<b>% of question respondents</b>
Yes	23	53,5
No	12	27,9
NA	8	18,6
Total	43	100,0

The following questions (10.2.2.1-10.2.2.5) are analysed among Parties who answered yes to applying supply chain control and verification measures to the transit or transshipment of tobacco products and manufacturing equipment within their territory ('Yes' to question 10.2.1.2):

**10.2.2 Do you apply the following supply chain control and verification measures to the transit or transshipment of tobacco products and manufacturing equipment within your territory?**

<b>10.2.2.1 Licensing system</b>	<b>Count (n)</b>	<b>% of question respondents</b>
Yes	15	65,2
No	5	21,7
NA	3	13,0
Total	23	100,0

<b>10.2.2.2 Due diligence</b>	<b>Count (n)</b>	<b>% of question respondents</b>
Yes	17	73,9
No	4	17,4
NA	2	8,7
Total	23	100,0

<b>10.2.2.3 Application of markings on units of tobacco packaging (e.g., stamps or codes with unique identifiers)</b>	<b>Count (n)</b>	<b>% of question respondents</b>
Yes	7	30,4
No	13	56,5
NA	3	13,0
Total	23	100,0

<b>10.2.2.4 Record-keeping</b>	<b>Count (n)</b>	<b>% of question respondents</b>
Yes	18	78,3
No	3	13,0
NA	2	8,7
Total	23	100,0

<b>10.2.2.5 Other</b>	<b>Count (n)</b>	<b>% of question respondents</b>
Yes	5	21,7
No	13	56,5

NA	5	21,7
Total	23	100,0

## Article 13: Duty free sales

### Duty free sales

11.1.1.1 Are the sales of duty free tobacco products allowed in stores in your country?	Count (n)	% of all Parties (n=69)	% of report submitters (n=46)
Yes	29	42,0	63,0
No	15	21,7	32,6
NA	2	2,9	4,3
Not submitted	23	33,3	
Total	69	100,0	100,0

The following questions (11.1.1.2-11.1.1.3) are analysed among Parties who answered yes to duty free sales of tobacco products being allowed ('Yes' to question 11.1.1.1):

11.1.1.2 Are the sales of duty free tobacco products subject to ALL relevant measures of this Protocol?	Count (n)	% of question respondents
Yes	18	62,1
No	8	27,6
NA	3	10,3
Total	29	100,0

11.1.1.3 Are markings applied (e.g., stamps or codes with unique identifiers) to the units of tobacco packaging for cigarettes that are sold duty free?	Count (n)	% of question respondents
Yes	17	58,6
No	9	31,0
NA	3	10,3
Total	29	100,0

## Article 14: Unlawful conduct including criminal offences; Article 15: Liability of legal persons; Article 16: Prosecutions and sanctions; Article 26: Jurisdiction

### Non-payment of taxes and other levies

12.1.1.1 Under your country's legal framework, is the non-payment of applicable duties, taxes and other levies at any stage in the supply chain considered to be:	Count (n)	% of all Parties (n=69)	% of report submitters (n=46)
Unlawful conduct but NOT a crime	4	5,8	8,7
Unlawful conduct that constitutes a crime	38	55,1	82,6
Not unlawful conduct	0	0,0	0,0
NA	4	5,8	8,7
Not submitted	23	33,3	
Total	69	100,0	100,0

The following questions (12.1.2.1-12.1.2.4) are analysed among Parties who answered that the non-payment of taxes and other levies is considered to be unlawful conduct but NOT a crime (in question 12.1.1.1). The results in these are not reported as there were fewer than five (<5) respondents who met the above condition.

### 12.1.2 Have you established any of the following sanctions for the non-payment of applicable duties, taxes and other levies at any stage in the supply chain?

#### 12.1.2.1 Freezing or seizure of property



#### 12.1.2.2 Confiscation of property

#### 12.1.2.3 Fines

#### 12.1.2.4 Other administrative penalties (e.g. suspension or revocation of licence)

The following questions (12.1.3.1-12.1.3.6) are analysed among Parties who answered that the non-payment of taxes and other levies is considered to be unlawful conduct that constitutes a crime (in question 12.1.1.1):

#### 12.1.3 Have you established any of the following sanctions for the non-payment of applicable duties, taxes and other levies at any stage in the supply chain?

	Count (n)	% of question respondents
<b>12.1.3.1 Punishable by imprisonment of less than 4 years</b>		
Yes	25	65,8
No	8	21,1
NA	5	13,2
Total	38	100,0
<b>12.1.3.2 Punishable by imprisonment of 4 years or more</b>		
Yes	26	68,4
No	8	21,1
NA	4	10,5
Total	38	100,0
<b>12.1.3.3 Freezing or seizure of property</b>		
Yes	28	73,7
No	6	15,8
NA	4	10,5
Total	38	100,0
<b>12.1.3.4 Confiscation of property</b>		
Yes	26	68,4
No	8	21,1
NA	4	10,5
Total	38	100,0
<b>12.1.3.5 Fines</b>		
Yes	32	84,2
No	2	5,3
NA	4	10,5
Total	38	100,0
<b>12.1.3.6 Other administrative penalties (e.g. suspension or revocation of licence)</b>		
Yes	25	65,8
No	6	15,8
NA	7	18,4
Total	38	100,0

The following questions (12.1.4.1 and 12.1.4.2) are analysed among Parties who answered that the non-payment of taxes and other levies is considered to be unlawful conduct whether or not it constitutes a crime (in question 12.1.1.1):

#### 12.1.4 Do you have measures to establish the liability of the following for non-payment of applicable duties, taxes and other levies at any stage in the supply chain?

12.1.4.1 Individuals	Count (n)	% of question respondents
Yes	36	85,7
No	1	2,4
NA	5	11,9
Total	42	100,0

12.1.4.2 Corporate entities	Count (n)	% of question respondents
Yes	36	85,7
No	1	2,4
NA	5	11,9
Total	42	100,0

### Failure to apply fiscal stamps or other markings

12.2.1.1 Under your country's legal framework, is failure to apply applicable fiscal stamps, unique identification markings, or any other required markings or labels on tobacco, tobacco products or manufacturing equipment at any stage in the supply chain considered to be:

	Count (n)	% of all Parties (n=69)	% of report submitters (n=46)
Unlawful conduct but NOT a crime	10	14,5	21,7
Unlawful conduct that constitutes a crime	28	40,6	60,9
Not unlawful conduct	2	2,9	4,3
NA	6	8,7	13,0
Not submitted	23	33,3	
Total	69	100,0	100,0

The following questions (12.2.2.1-12.2.2.4) are analysed among Parties who answered that the failure to apply fiscal stamps or other markings is considered to be unlawful conduct but NOT a crime (in question 12.2.1.1):

12.2.2 Have you established any of the following sanctions for the failure to apply applicable fiscal stamps, unique identification markings, or any other required markings or labels on tobacco, tobacco products or manufacturing equipment at any stage in the supply chain?

12.2.2.1 Freezing or seizure of property	Count (n)	% of question respondents
Yes	6	60,0
No	1	10,0
NA	3	30,0
Total	10	100,0

12.2.2.2 Confiscation of property	Count (n)	% of question respondents
Yes	5	50,0
No	2	20,0
NA	3	30,0
Total	10	100,0

12.2.2.3 Fines	Count (n)	% of question respondents
Yes	7	70,0
NA	3	30,0
Total	10	100,0

12.2.2.4 Other administrative penalties (e.g. suspension or revocation of licence)	Count (n)	% of question respondents

Yes	4	40,0
No	3	30,0
NA	3	30,0
Total	10	100,0

The following questions (12.2.3.1-12.2.3.6) are analysed among Parties who answered that the failure to apply fiscal stamps or other markings is considered to be unlawful conduct that constitutes a crime (in question 12.2.1.1):

**12.2.3 Have you established any of the following sanctions for the failure to apply applicable fiscal stamps, unique identification markings, or any other required markings or labels on tobacco, tobacco products or manufacturing equipment at any stage in the supply chain?**

<b>12.2.3.1 Punishable by imprisonment of less than 4 years</b>	<b>Count (n)</b>	<b>% of question respondents</b>
Yes	17	60,7
No	10	35,7
NA	1	3,6
Total	28	100,0

<b>12.2.3.2 Punishable by imprisonment of 4 years or more</b>	<b>Count (n)</b>	<b>% of question respondents</b>
Yes	16	57,1
No	10	35,7
NA	2	7,1
Total	28	100,0

<b>12.2.3.3 Freezing or seizure of property</b>	<b>Count (n)</b>	<b>% of question respondents</b>
Yes	16	57,1
No	10	35,7
NA	2	7,1
Total	28	100,0

<b>12.2.3.4 Confiscation of property</b>	<b>Count (n)</b>	<b>% of question respondents</b>
Yes	18	64,3
No	7	25,0
NA	3	10,7
Total	28	100,0

<b>12.2.3.5 Fines</b>	<b>Count (n)</b>	<b>% of question respondents</b>
Yes	24	85,7
No	3	10,7
NA	1	3,6
Total	28	100,0

<b>12.2.3.6 Other administrative penalties (e.g. suspension or revocation of licence)</b>	<b>Count (n)</b>	<b>% of question respondents</b>
Yes	17	60,7
No	8	28,6
NA	3	10,7
Total	28	100,0

The following questions (12.2.4.1 and 12.2.4.2) are analysed among Parties who answered that failure to apply fiscal stamps or other markings is considered to be unlawful conduct whether or not it constitutes a crime (in question 12.2.1.1):

**12.2.4 Do you have measures to establish the liability of the following for the failure to apply applicable fiscal stamps, unique identification markings, or any other required markings or labels on tobacco, tobacco products or manufacturing equipment at any stage in the supply chain?**

	Count (n)	% of question respondents
<b>12.2.4.1 Individuals</b>		
Yes	31	81,6
No	5	13,2
NA	2	5,3
Total	38	100,0
<b>12.2.4.2 Corporate entities</b>		
Yes	32	84,2
No	4	10,5
NA	2	5,3
Total	38	100,0

### Smuggling

<b>12.3.1.1 Under your country's legal framework, are any other acts of smuggling or attempted smuggling of tobacco, tobacco products or manufacturing equipment considered to be:</b>	Count (n)	% of all Parties (n=69)	% of report submitters (n=46)
Unlawful conduct but NOT a crime	3	4,3	6,5
Unlawful conduct that constitutes a crime	37	53,6	80,4
Not unlawful conduct	1	1,4	2,2
NA	5	7,2	10,9
Not submitted	23	33,3	
Total	69	100,0	100,0

The following questions (12.3.2.1-12.3.2.4) are analysed among Parties who answered that smuggling is considered to be unlawful conduct but NOT a crime (in question 12.3.1.1). The results in these are not reported as there were fewer than five (<5) respondents who met the above condition.

**12.3.2 Have you established any of the following sanctions for any other acts of smuggling or attempted smuggling of tobacco, tobacco products or manufacturing equipment?**

**12.3.2.1 Freezing or seizure of property**

**12.3.2.2 Confiscation of property**

**12.3.2.3 Fines**

**12.3.2.4 Other administrative penalties (e.g. suspension or revocation of licence)**

The following questions (12.3.3.1-12.3.3.6) are analysed among Parties who answered that smuggling is considered to be unlawful conduct that constitutes a crime (in question 12.3.1.1):

**12.3.3 Have you established any of the following sanctions for any other acts of smuggling or attempted smuggling of tobacco, tobacco products or manufacturing equipment?**

	Count (n)	% of question respondents
<b>12.3.3.1 Punishable by imprisonment of less than 4 years</b>		
Yes	22	59,5
No	11	29,7
NA	4	10,8

Total	37	100,0
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	Count (n)	% of question respondents
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<b>12.3.3.2 Punishable by imprisonment of 4 years or more</b>		
Yes	26	70,3
No	8	21,6
NA	3	8,1
Total	37	100,0

	Count (n)	% of question respondents
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<b>12.3.3.3 Freezing or seizure of property</b>		
Yes	23	62,2
No	8	21,6
NA	6	16,2
Total	37	100,0

	Count (n)	% of question respondents
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<b>12.3.3.4 Confiscation of property</b>		
Yes	27	73,0
No	6	16,2
NA	4	10,8
Total	37	100,0

	Count (n)	% of question respondents
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<b>12.3.3.5 Fines</b>		
Yes	33	89,2
No	1	2,7
NA	3	8,1
Total	37	100,0

	Count (n)	% of question respondents
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<b>12.3.3.6 Other administrative penalties (e.g. suspension or revocation of licence)</b>		
Yes	25	67,6
No	6	16,2
NA	6	16,2
Total	37	100,0

The following questions (12.3.4.1 and 12.3.4.2) are analysed among Parties who answered that smuggling is considered to be unlawful conduct whether or not it constitutes a crime (in question 12.3.1.1):

**12.3.4 Do you have measures to establish the liability of the following for any other acts of smuggling or attempted smuggling of tobacco, tobacco products or manufacturing equipment?**

	Count (n)	% of question respondents
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<b>12.3.4.1 Individuals</b>		
Yes	34	85,0
No	2	5,0
NA	4	10,0
Total	40	100,0

	Count (n)	% of question respondents
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<b>12.3.4.2 Corporate entities</b>		
Yes	32	80,0
No	4	10,0
NA	4	10,0
Total	40	100,0

## Illicit manufacture of products or packaging bearing false fiscal stamps or other markings

12.4.1.1 Under your country's legal framework, is the illicit manufacture of tobacco, tobacco products or manufacturing equipment, or tobacco packaging bearing false fiscal stamps, unique identification markings, or any other required markings or labels considered to be:

	Count (n)	% of all Parties (n=69)	% of report submitters (n=46)
Unlawful conduct but NOT a crime	4	5,8	8,7
Unlawful conduct that constitutes a crime	35	50,7	76,1
Not unlawful conduct	0	0,0	0,0
NA	7	10,1	15,2
Not submitted	23	33,3	
Total	69	100,0	100,0

The following questions (12.4.2.1-12.4.2.4) are analysed among Parties who answered that illicit manufacture of products or packaging bearing false fiscal stamps or other markings is considered to be unlawful conduct but NOT a crime (in question 12.4.1.1). The results in these are not reported as there were fewer than five (<5) respondents who met the above condition.

12.4.2 Have you established any of the following sanctions for the illicit manufacture of tobacco, tobacco products or manufacturing equipment, or tobacco packaging bearing false fiscal stamps, unique identification markings, or any other required markings or labels?

12.4.2.1 Freezing or seizure of property

12.4.2.2 Confiscation of property

12.4.2.3 Fines

12.4.2.4 Other administrative penalties (e.g., suspension or revocation of licence)

The following questions (12.4.3.1-12.4.3.6) are analysed among Parties who answered that illicit manufacture of products or packaging bearing false fiscal stamps or other markings is considered to be unlawful conduct that constitutes a crime (in question 12.4.1.1):

12.4.3 Have you established any of the following sanctions for the illicit manufacture of tobacco, tobacco products or manufacturing equipment, or tobacco packaging bearing false fiscal stamps, unique identification markings, or any other required markings or labels?

12.4.3.1 Punishable by imprisonment of less than 4 years	Count (n)	% of question respondents
Yes	23	65,7
No	6	17,1
NA	6	17,1
Total	35	100,0

12.4.3.2 Punishable by imprisonment of 4 years or more	Count (n)	% of question respondents
Yes	23	65,7
No	7	20,0
NA	5	14,3
Total	35	100,0

12.4.3.3 Freezing or seizure of property	Count (n)	% of question respondents
Yes	24	68,6
No	7	20,0
NA	4	11,4
Total	35	100,0

12.4.3.4 Confiscation of property	Count (n)	% of question respondents
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Yes	26	74,3
No	5	14,3
NA	4	11,4
Total	35	100,0

	Count (n)	% of question respondents
<b>12.4.3.5 Fines</b>		
Yes	30	85,7
No	1	2,9
NA	4	11,4
Total	35	100,0

	Count (n)	% of question respondents
<b>12.4.3.6 Other administrative penalties (e.g. suspension or revocation of licence)</b>		
Yes	24	68,6
No	3	8,6
NA	8	22,9
Total	35	100,0

The following questions (12.4.4.1 and 12.4.4.2) are analysed among Parties who answered that illicit manufacture of products or packaging bearing false fiscal stamps or other markings is considered to be unlawful conduct whether or not it constitutes a crime (in question 12.4.1.1):

**12.4.4 Do you have measures to establish the liability of the following for the illicit manufacture of tobacco, tobacco products or manufacturing equipment, or tobacco packaging bearing false fiscal stamps, unique identification markings, or any other required markings or labels?**

	Count (n)	% of question respondents
<b>12.4.4.1 Individuals</b>		
Yes	30	76,9
No	4	10,3
NA	5	12,8
Total	39	100,0

	Count (n)	% of question respondents
<b>12.4.4.2 Corporate entities</b>		
Yes	31	79,5
No	4	10,3
NA	4	10,3
Total	39	100,0

#### Use of false fiscal stamps or other markings or illicit manufacturing equipment

12.5.1.1 Under your country's legal framework, is the use of false fiscal stamps and/or other required markings or labels, or illicit manufacturing equipment at any stage in the supply chain considered to be:	Count (n)	% of all Parties (n=69)	% of report submitters (n=46)
Unlawful conduct but NOT a crime	2	2,9	4,3
Unlawful conduct that constitutes a crime	35	50,7	76,1
Not unlawful conduct	1	1,4	2,2
NA	8	11,6	17,4
Not submitted	23	33,3	
Total	69	100,0	100,0

The following questions (12.5.2.1-12.5.2.4) are analysed among Parties who answered that use of false fiscal stamps or other markings or illicit manufacturing equipment is considered to be unlawful conduct but NOT a crime (in question 12.5.1.1). The results in these are not reported as there were fewer than five (<5) respondents who met the above condition.

**12.5.2 Have you established any of the following sanctions for the use of false fiscal stamps and/or other required markings or labels, or illicit manufacturing equipment at any stage in the supply chain?**

**12.5.2.1 Freezing or seizure of property**

**12.5.2.2 Confiscation of property**

**12.5.2.3 Fines**

**12.5.2.4 Other administrative penalties (e.g. suspension or revocation of licence)**

The following questions (12.5.3.1-12.5.3.6) are analysed among Parties who answered that use of false fiscal stamps or other markings or illicit manufacturing equipment is considered to be unlawful conduct that constitutes a crime (in question 12.5.1.1):

**12.5.3 Have you established any of the following sanctions for the use of false fiscal stamps and/or other required markings or labels, or illicit manufacturing equipment at any stage in the supply chain?**

<b>12.5.3.1 Punishable by imprisonment of less than 4 years</b>	<b>Count (n)</b>	<b>% of question respondents</b>
Yes	25	71,4
No	4	11,4
NA	6	17,1
Total	35	100,0
<b>12.5.3.2 Punishable by imprisonment of 4 years or more</b>	<b>Count (n)</b>	<b>% of question respondents</b>
Yes	21	60,0
No	8	22,9
NA	6	17,1
Total	35	100,0
<b>12.5.3.3 Freezing or seizure of property</b>	<b>Count (n)</b>	<b>% of question respondents</b>
Yes	22	62,9
No	7	20,0
NA	6	17,1
Total	35	100,0
<b>12.5.3.4 Confiscation of property</b>	<b>Count (n)</b>	<b>% of question respondents</b>
Yes	25	71,4
No	5	14,3
NA	5	14,3
Total	35	100,0
<b>12.5.3.5 Fines</b>	<b>Count (n)</b>	<b>% of question respondents</b>
Yes	28	80,0
No	2	5,7
NA	5	14,3
Total	35	100,0
<b>12.5.3.6 Other administrative penalties (e.g. suspension or revocation of licence)</b>	<b>Count (n)</b>	<b>% of question respondents</b>
Yes	23	65,7
No	4	11,4
NA	8	22,9
Total	35	100,0



The following questions (12.5.4.1 and 12.5.4.2) are analysed among Parties who answered that use of false fiscal stamps or other markings or illicit manufacturing equipment is considered to be unlawful conduct whether or not it constitutes a crime (in question 12.5.1.1):

**12.5.4 Do you have measures to establish the liability of the following for the use of false fiscal stamps and/or other required markings or labels, or illicit manufacturing equipment at any stage in the supply chain?**

	Count (n)	% of question respondents
<b>12.5.4.1 Individuals</b>		
Yes	31	83,8
No	1	2,7
NA	5	13,5
Total	37	100,0
<b>12.5.4.2 Corporate entities</b>		
Yes	29	78,4
No	3	8,1
NA	5	13,5
Total	37	100,0

### Mixing tobacco products with non-tobacco products

12.6.1.1 Under your country's legal framework, is mixing of tobacco products with non-tobacco products through the supply chain in order to conceal or disguise tobacco products considered to be:	Count (n)	% of all Parties (n=69)	% of report submitters (n=46)
Unlawful conduct but NOT a crime	4	5,8	8,7
Unlawful conduct that constitutes a crime	29	42,0	63,0
Not unlawful conduct	6	8,7	13,0
NA	7	10,1	15,2
Not submitted	23	33,3	
Total	69	100,0	100,0

The following questions (12.6.2.1-12.6.2.4) are analysed among Parties who answered that mixing tobacco products with non-tobacco products is considered to be unlawful conduct but NOT a crime (in question 12.6.1.1). The results in these are not reported as there were fewer than five (<5) respondents who met the above condition.

**12.6.2 Have you established any of the following sanctions for the mixing of tobacco products with non-tobacco products through the supply chain in order to conceal or disguise tobacco products?**

**12.6.2.1 Freezing or seizure of property**

**12.6.2.2 Confiscation of property**

**12.6.2.3 Fines**

**12.6.2.4 Other administrative penalties (e.g. suspension or revocation of licence)**

The following questions (12.6.3.1-12.6.3.6) are analysed among Parties who answered that mixing tobacco products with non-tobacco products is considered to be unlawful conduct that constitutes a crime (in question 12.6.1.1):

**12.6.3 Have you established any of the following sanctions for the mixing of tobacco products with non-tobacco products through the supply chain in order to conceal or disguise tobacco products?**

	Count (n)	% of question respondents
<b>12.6.3.1 Punishable by imprisonment of less than 4 years</b>		
Yes	21	72,4
No	5	17,2
NA	3	10,3

Total	29	100,0
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	<b>Count (n)</b>	<b>% of question respondents</b>
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#### 12.6.3.2 Punishable by imprisonment of 4 years or more

Yes	18	62,1
No	8	27,6
NA	3	10,3
Total	29	100,0

	<b>Count (n)</b>	<b>% of question respondents</b>
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#### 12.6.3.3 Freezing or seizure of property

Yes	21	72,4
No	4	13,8
NA	4	13,8
Total	29	100,0

	<b>Count (n)</b>	<b>% of question respondents</b>
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#### 12.6.3.4 Confiscation of property

Yes	22	75,9
No	4	13,8
NA	3	10,3
Total	29	100,0

	<b>Count (n)</b>	<b>% of question respondents</b>
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#### 12.6.3.5 Fines

Yes	25	86,2
No	1	3,4
NA	3	10,3
Total	29	100,0

	<b>Count (n)</b>	<b>% of question respondents</b>
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#### 12.6.3.6 Other administrative penalties (e.g. suspension or revocation of licence)

Yes	20	69,0
No	4	13,8
NA	5	17,2
Total	29	100,0

The following questions (12.6.4.1 and 12.6.4.2) are analysed among Parties who answered that mixing tobacco products with non-tobacco products is considered to be unlawful conduct whether or not it constitutes a crime (in question 12.6.1.1):

**12.6.4 Do you have measures to establish the liability of the following for mixing of tobacco products with non-tobacco products through the supply chain in order to conceal or disguise tobacco products?**

	<b>Count (n)</b>	<b>% of question respondents</b>
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#### 12.6.4.1 Individuals

Yes	27	81,8
No	1	3,0
NA	5	15,2
Total	33	100,0

	<b>Count (n)</b>	<b>% of question respondents</b>
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#### 12.6.4.2 Corporate entities

Yes	26	78,8
No	2	6,1
NA	5	15,2
Total	33	100,0

## Intermingling at the time of removal from free zones

12.7.1.1 Under your country's legal framework, is intermingling of tobacco products with non-tobacco products in a single container at the time of removal from free zones considered to be:	Count (n)	% of all Parties (n=69)	% of report submitters (n=46)
Unlawful conduct but NOT a crime	4	5,8	8,7
Unlawful conduct that constitutes a crime	13	18,8	28,3
Not unlawful conduct	10	14,5	21,7
No free zone in your territory	7	10,1	15,2
NA	12	17,4	26,1
Not submitted	23	33,3	
Total	69	100,0	100,0

The following questions (12.7.2.1-12.7.2.4) are analysed among Parties who answered that intermingling at the time of removal from free zones is considered to be unlawful conduct but NOT a crime (in question 12.7.1.1):

12.7.2.1 Freezing or seizure of property	Count (n)	% of question respondents
Yes	1	25,0
No	2	50,0
NA	1	25,0
Total	4	100,0

12.7.2.2 Confiscation of property	Count (n)	% of question respondents
Yes	1	25,0
No	2	50,0
NA	1	25,0
Total	4	100,0

12.7.2.3 Fines	Count (n)	% of question respondents
Yes	3	75,0
No	0	0,0
NA	1	25,0
Total	4	100,0

12.7.2.4 Other administrative penalties (e.g. suspension or revocation of licence)	Count (n)	% of question respondents
Yes	3	75,0
No	0	0,0
NA	1	25,0
Total	4	100,0

The following questions (12.7.3.1-12.7.3.6) are analysed among Parties who answered that intermingling at the time of removal from free zones is considered to be unlawful conduct that constitutes a crime (in question 12.7.1.1):

12.7.3 Have you established any of the following sanctions for intermingling of tobacco products with non-tobacco products in a single container at the time of removal from free zones?

12.7.3.1 Punishable by imprisonment of less than 4 years	Count (n)	% of question respondents
Yes	9	69,2
No	3	23,1
NA	1	7,7
Total	13	100,0

<b>12.7.3.2 Punishable by imprisonment of 4 years or more</b>	<b>Count (n)</b>	<b>% of question respondents</b>
Yes	7	53,8
No	5	38,5
NA	1	7,7
Total	13	100,0

<b>12.7.3.3 Freezing or seizure of property</b>	<b>Count (n)</b>	<b>% of question respondents</b>
Yes	11	84,6
No	1	7,7
NA	1	7,7
Total	13	100,0

<b>12.7.3.4 Confiscation of property</b>	<b>Count (n)</b>	<b>% of question respondents</b>
Yes	9	69,2
No	2	15,4
NA	2	15,4
Total	13	100,0

<b>12.7.3.5 Fines</b>	<b>Count (n)</b>	<b>% of question respondents</b>
Yes	12	92,3
NA	1	7,7
Total	13	100,0

<b>12.7.3.6 Other administrative penalties (e.g. suspension or revocation of licence)</b>	<b>Count (n)</b>	<b>% of question respondents</b>
Yes	10	76,9
No	2	15,4
NA	1	7,7
Total	13	100,0

The following questions (12.7.4.1 and 12.7.4.2) are analysed among Parties who answered that intermingling at the time of removal from free zones is considered to be unlawful conduct whether or not it constitutes a crime (in question 12.7.1.1):

**12.7.4 Do you have measures to establish the liability of the following for intermingling of tobacco products with non-tobacco products in a single container at the time of removal from free zones?**

<b>12.7.4.1 Individuals</b>	<b>Count (n)</b>	<b>% of question respondents</b>
Yes	12	70,6
No	2	11,8
NA	3	17,6
Total	17	100,0

<b>12.7.4.2 Corporate entities</b>	<b>Count (n)</b>	<b>% of question respondents</b>
Yes	13	76,5
No	1	5,9
NA	3	17,6
Total	17	100,0

**Retail sale through Internet, telecommunication, or other evolving technology**

<b>12.8.1.1 Under your country's legal framework, is the retail sale of tobacco products through the use of Internet-, telecommunication- or any other evolving technology-based modes of sale considered to be:</b>	<b>Count (n)</b>	<b>% of all Parties (n=69)</b>	<b>% of report submitters (n=46)</b>
Unlawful conduct but NOT a crime	13	18,8	28,3
Unlawful conduct that constitutes a crime	16	23,2	34,8
Not unlawful conduct	11	15,9	23,9
NA	6	8,7	13,0
Not submitted	23	33,3	
<b>Total</b>	<b>69</b>	<b>100,0</b>	<b>100,0</b>

The following questions (12.8.2.1-12.8.2.4) are analysed among Parties who answered that retail sale through Internet, telecommunication, or other evolving technology is considered to be unlawful conduct but NOT a crime (in question 12.8.1.1):

**12.8.2 Have you established any of the following sanctions for the retail sale of tobacco products through the use of Internet-, telecommunication- or any other evolving technology-based modes of sale?**

<b>12.8.2.1 Freezing or seizure of property</b>	<b>Count (n)</b>	<b>% of question respondents</b>
Yes	4	30,8
No	8	61,5
NA	1	7,7
<b>Total</b>	<b>13</b>	<b>100,0</b>

<b>12.8.2.2 Confiscation of property</b>	<b>Count (n)</b>	<b>% of question respondents</b>
Yes	7	53,8
No	5	38,5
NA	1	7,7
<b>Total</b>	<b>13</b>	<b>100,0</b>

<b>12.8.2.3 Fines</b>	<b>Count (n)</b>	<b>% of question respondents</b>
Yes	10	76,9
No	3	23,1
<b>Total</b>	<b>13</b>	<b>100,0</b>

<b>12.8.2.4 Other administrative penalties (e.g. suspension or revocation of licence)</b>	<b>Count (n)</b>	<b>% of question respondents</b>
Yes	8	61,5
No	4	30,8
NA	1	7,7
<b>Total</b>	<b>13</b>	<b>100,0</b>

The following questions (12.8.3.1-12.8.3.6) are analysed among Parties who answered that retail sale through Internet, telecommunication, or other evolving technology is considered to be unlawful conduct that constitutes a crime (in question 12.8.1.1):

**12.8.3 Have you established any of the following sanctions for the retail sale of tobacco products through the use of Internet-, telecommunication- or any other evolving technology-based modes of sale?**

<b>12.8.3.1 Punishable by imprisonment of less than 4 years</b>	<b>Count (n)</b>	<b>% of question respondents</b>
Yes	8	50,0
No	5	31,3
NA	3	18,8
<b>Total</b>	<b>16</b>	<b>100,0</b>

<b>12.8.3.2 Punishable by imprisonment of 4 years or more</b>	<b>Count (n)</b>	<b>% of question respondents</b>
Yes	7	43,8
No	6	37,5
NA	3	18,8
Total	16	100,0

<b>12.8.3.3 Freezing or seizure of property</b>	<b>Count (n)</b>	<b>% of question respondents</b>
Yes	9	56,3
No	3	18,8
NA	4	25,0
Total	16	100,0

<b>12.8.3.4 Confiscation of property</b>	<b>Count (n)</b>	<b>% of question respondents</b>
Yes	10	62,5
No	3	18,8
NA	3	18,8
Total	16	100,0

<b>12.8.3.5 Fines</b>	<b>Count (n)</b>	<b>% of question respondents</b>
Yes	12	75,0
No	1	6,3
NA	3	18,8
Total	16	100,0

<b>12.8.3.6 Other administrative penalties (e.g. suspension or revocation of licence)</b>	<b>Count (n)</b>	<b>% of question respondents</b>
Yes	8	50,0
No	3	18,8
NA	5	31,3
Total	16	100,0

The following questions (12.8.4.1 and 12.8.4.2) are analysed among Parties who answered that retail sale through Internet, telecommunication, or other evolving technology is considered to be unlawful conduct whether or not it constitutes a crime (in question 12.8.1.1):

**12.8.4 Do you have measures to establish the liability of the following for the retail sale of tobacco products through the use of Internet-, telecommunication- or any other evolving technology-based modes of sale?**

<b>12.8.4.1 Individuals</b>	<b>Count (n)</b>	<b>% of question respondents</b>
Yes	23	79,3
No	4	13,8
NA	2	6,9
Total	29	100,0

<b>12.8.4.2 Corporate entities</b>	<b>Count (n)</b>	<b>% of question respondents</b>
Yes	24	82,8
No	3	10,3
NA	2	6,9
Total	29	100,0

**Obtaining products from a person who is not licensed**

<b>12.9.1.1 Under your country's legal framework, is obtaining, by a person licensed in accordance with Article 6, tobacco, tobacco products or manufacturing equipment from a person who should be, but is not, licensed considered to be:</b>	<b>Count (n)</b>	<b>% of all Parties (n=69)</b>	<b>% of report submitters (n=46)</b>
Unlawful conduct but NOT a crime	7	10,1	15,2
Unlawful conduct that constitutes a crime	19	27,5	41,3
Not unlawful conduct	12	17,4	26,1
NA	8	11,6	17,4
Not submitted	23	33,3	
<b>Total</b>	<b>69</b>	<b>100,0</b>	<b>100,0</b>

The following questions (12.9.2.1-12.9.2.4) are analysed among Parties who answered that obtaining products from a person who is not licensed is considered to be unlawful conduct but NOT a crime (in question 12.9.1.1):

<b>12.9.2.1 Freezing or seizure of property</b>	<b>Count (n)</b>	<b>% of question respondents</b>
Yes	3	42,9
No	2	28,6
NA	2	28,6
<b>Total</b>	<b>7</b>	<b>100,0</b>

<b>12.9.2.2 Confiscation of property</b>	<b>Count (n)</b>	<b>% of question respondents</b>
Yes	6	85,7
NA	1	14,3
<b>Total</b>	<b>7</b>	<b>100,0</b>

<b>12.9.2.3 Fines</b>	<b>Count (n)</b>	<b>% of question respondents</b>
Yes	6	85,7
NA	1	14,3
<b>Total</b>	<b>7</b>	<b>100,0</b>

<b>12.9.2.4 Other administrative penalties (e.g. suspension or revocation of licence)</b>	<b>Count (n)</b>	<b>% of question respondents</b>
Yes	5	71,4
No	1	14,3
NA	1	14,3
<b>Total</b>	<b>7</b>	<b>100,0</b>

The following questions (12.9.3.1-12.9.3.6) are analysed among Parties who answered that obtaining products from a person who is not licensed is considered to be unlawful conduct that constitutes a crime (in question 12.9.1.1):

**12.9.3 Have you established any of the following sanctions for obtaining, by a person licensed in accordance with Article 6, tobacco, tobacco products or manufacturing equipment from a person who should be, but is not, licensed?**

<b>12.9.3.1 Punishable by imprisonment of less than 4 years</b>	<b>Count (n)</b>	<b>% of question respondents</b>
Yes	12	63,2
No	5	26,3
NA	2	10,5
<b>Total</b>	<b>19</b>	<b>100,0</b>

<b>12.9.3.2 Punishable by imprisonment of 4 years or more</b>	<b>Count (n)</b>	<b>% of question respondents</b>
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Yes	11	57,9
No	4	21,1
NA	4	21,1
Total	19	100,0

	Count (n)	% of question respondents
<b>12.9.3.3 Freezing or seizure of property</b>		
Yes	15	78,9
No	2	10,5
NA	2	10,5
Total	19	100,0

	Count (n)	% of question respondents
<b>12.9.3.4 Confiscation of property</b>		
Yes	15	78,9
No	2	10,5
NA	2	10,5
Total	19	100,0

	Count (n)	% of question respondents
<b>12.9.3.5 Fines</b>		
Yes	16	84,2
No	1	5,3
NA	2	10,5
Total	19	100,0

	Count (n)	% of question respondents
<b>12.9.3.6 Other administrative penalties (e.g. suspension or revocation of licence)</b>		
Yes	13	68,4
No	3	15,8
NA	3	15,8
Total	19	100,0

The following questions (12.9.4.1 and 12.9.4.2) are analysed among Parties who answered that obtaining products from a person who is not licensed is considered to be unlawful conduct whether or not it constitutes a crime (in question 12.9.1.1):

**12.9.4 Do you have measures to establish the liability of the following for obtaining, by a person licensed in accordance with Article 6, tobacco, tobacco products or manufacturing equipment from a person who should be, but is not, licensed?**

	Count (n)	% of question respondents
<b>12.9.4.1 Individuals</b>		
Yes	19	73,1
No	3	11,5
NA	4	15,4
Total	26	100

	Count (n)	% of question respondents
<b>12.9.4.2 Corporate entities</b>		
Yes	20	76,9
No	2	7,7
NA	4	15,4
Total	26	100



## Obstruction of justice

12.10.1.1 Under your country's legal framework, is obstructing any public officer or an authorized officer in the performance of duties relating to the elimination of illicit trade in tobacco, tobacco products or manufacturing equipment considered to be:

	Count (n)	% of all Parties (n=69)	% of report submitters (n=46)
Unlawful conduct but NOT a crime	3	4,3	6,5
Unlawful conduct that constitutes a crime	35	50,7	76,1
Not unlawful conduct	0	0,0	0,0
NA	8	11,6	17,4
Not submitted	23	33,3	
Total	69	100,0	100,0

The following questions (12.10.2.1-12.10.2.4) are analysed among Parties who answered that obstruction of justice is considered to be unlawful conduct but NOT a crime (in question 12.10.1.1). The results in these are not reported as there were fewer than five (<5) respondents who met the above condition.

12.10.2 Have you established any of the following sanctions for obstructing any public officer or an authorized officer in the performance of duties relating to the elimination of illicit trade in tobacco, tobacco products or manufacturing equipment?

12.10.2.1 Freezing or seizure of property

12.10.2.2 Confiscation of property

12.10.2.3 Fines

12.10.2.4 Other administrative penalties (e.g. suspension or revocation of licence)

The following questions (12.10.3.1-12.10.3.6) are analysed among Parties who answered that obstruction of justice is considered to be unlawful conduct that constitutes a crime (in question 12.10.1.1):

12.10.3 Have you established any of the following sanctions for obstructing any public officer or an authorized officer in the performance of duties relating to the elimination of illicit trade in tobacco, tobacco products or manufacturing equipment?

12.10.3.1 Punishable by imprisonment of less than 4 years	Count (n)	% of question respondents
Yes	22	62,9
No	7	20,0
NA	6	17,1
Total	35	100,0

  

12.10.3.2 Punishable by imprisonment of 4 years or more	Count (n)	% of question respondents
Yes	16	45,7
No	13	37,1
NA	6	17,1
Total	35	100,0

  

12.10.3.3 Freezing or seizure of property	Count (n)	% of question respondents
Yes	17	48,6
No	11	31,4
NA	7	20,0
Total	35	100,0

  

12.10.3.4 Confiscation of property	Count (n)	% of question respondents
Yes	17	48,6
No	12	34,3

NA	6	17,1
Total	35	100,0

	Count (n)	% of question respondents
<b>12.10.3.5 Fines</b>		
Yes	26	74,3
No	3	8,6
NA	6	17,1
Total	35	100,0

	Count (n)	% of question respondents
<b>12.10.3.6 Other administrative penalties (e.g. suspension or revocation of licence)</b>		
Yes	17	48,6
No	8	22,9
NA	10	28,6
Total	35	100,0

The following questions (12.10.4.1 and 12.10.4.2) are analysed among Parties who answered that obstruction of justice is considered to be unlawful conduct whether or not it constitutes a crime (in question 12.10.1.1):

**12.10.4 Do you have measures to establish the liability of the following for obstructing any public officer or an authorized officer in the performance of duties relating to the elimination of illicit trade in tobacco, tobacco products or manufacturing equipment?**

	Count (n)	% of question respondents
<b>12.10.4.1 Individuals</b>		
Yes	32	84,2
No	1	2,6
NA	5	13,2
Total	38	100,0

	Count (n)	% of question respondents
<b>12.10.4.2 Corporate entities</b>		
Yes	26	68,4
No	6	15,8
NA	6	15,8
Total	38	100,0

### False, misleading, or incomplete statements

	Count (n)	% of all Parties (n=69)	% of report submitters (n=46)
<b>12.11.1.1 Under your country's legal framework, is making any material statement that is false, misleading or incomplete, or failing to provide any required information to authorities [in relation to illicit trade in tobacco, tobacco products or manufacturing equipment] considered to be:</b>			
Unlawful conduct but NOT a crime	5	7,2	10,9
Unlawful conduct that constitutes a crime	33	47,8	71,7
Not unlawful conduct	1	1,4	2,2
NA	7	10,1	15,2
Not submitted	23	33,3	
Total	69	100,0	100,0

The following questions (12.11.2.1-12.11.2.4) are analysed among Parties who answered that making false, misleading, or incomplete statements is considered to be unlawful conduct but NOT a crime (in question 12.11.1.1):

**12.11.2 Have you established any of the following sanctions for making any material statement that is false, misleading or incomplete, or failing to provide any required information to authorities?**

	Count (n)	% of question respondents
<b>12.11.2.1 Freezing or seizure of property</b>		
No	3	60,0
NA	2	40,0
Total	5	100,0
<b>12.11.2.2 Confiscation of property</b>		
No	3	60,0
NA	2	40,0
Total	5	100,0
<b>12.11.2.3 Fines</b>		
Yes	2	40,0
No	1	20,0
NA	2	40,0
Total	5	100,0
<b>12.11.2.4 Other administrative penalties (e.g. suspension or revocation of licence)</b>		
Yes	2	40,0
No	2	40,0
NA	1	20,0
Total	5	100,0

The following questions (12.11.3.1-12.11.3.6) are analysed among Parties who answered that making false, misleading, or incomplete statements is considered to be unlawful conduct that constitutes a crime (in question 12.11.1.1):

**12.11.3 Have you established any of the following sanctions for making any material statement that is false, misleading or incomplete, or failing to provide any required information to authorities?**

	Count (n)	% of question respondents
<b>12.11.3.1 Punishable by imprisonment of less than 4 years</b>		
Yes	21	63,6
No	7	21,2
NA	5	15,2
Total	33	100,0
<b>12.11.3.2 Punishable by imprisonment of 4 years or more</b>		
Yes	18	54,5
No	10	30,3
NA	5	15,2
Total	33	100,0
<b>12.11.3.3 Freezing or seizure of property</b>		
Yes	19	57,6
No	8	24,2
NA	6	18,2
Total	33	100,0

12.11.3.4 Confiscation of property	Count (n)	% of question respondents
Yes	20	60,6
No	8	24,2
NA	5	15,2
Total	33	100,0

12.11.3.5 Fines	Count (n)	% of question respondents
Yes	26	78,8
No	3	9,1
NA	4	12,1
Total	33	100,0

12.11.3.6 Other administrative penalties (e.g. suspension or revocation of licence)	Count (n)	% of question respondents
Yes	17	51,5
No	10	30,3
NA	6	18,2
Total	33	100,0

The following questions (12.11.4.1 and 12.11.4.2) are analysed among Parties who answered that making false, misleading, or incomplete statements is considered to be unlawful conduct whether or not it constitutes a crime (in question 12.11.1.1):

**12.11.4 Do you have measures to establish the liability of the following for making any material statement that is false, misleading or incomplete, or failing to provide any required information to authorities?**

12.11.4.1 Individuals	Count (n)	% of all Parties (n=69)
Yes	30	78,9
No	2	5,3
NA	6	15,8
Total	38	100,0

12.11.4.2 Corporate entities	Count (n)	% of all Parties (n=69)
Yes	28	73,7
No	4	10,5
NA	6	15,8
Total	38	100,0

## Misdeclaration on official forms

**12.12.1.1 Under your country's legal framework, is misdeclaring on official forms any information to evade the payment of duties, taxes and other levies, or prejudice any control measures for the elimination of illicit trade in tobacco products considered to be:**

	Count (n)	% of all Parties (n=69)	% of report submitters (n=46)
Unlawful conduct but NOT a crime	6	8,7	13,0
Unlawful conduct that constitutes a crime	33	47,8	71,7
Not unlawful conduct	1	1,4	2,2
NA	6	8,7	13,0
Not submitted	23	33,3	
Total	69	100,0	100,0

The following questions (12.12.2.1-12.12.2.4) are analysed among Parties who answered that misdeclaration on official forms is considered to be unlawful conduct but NOT a crime (in question 12.12.1.1):

12.12.2 Have you established any of the following sanctions for misdeclaring on official forms any information to evade the payment of duties, taxes and other levies, or prejudice any control measures for the elimination of illicit trade in tobacco products?

12.12.2.1 Freezing or seizure of property	Count (n)	% of question respondents
Yes	2	20,0
No	1	10,0
NA	7	70,0
Total	10	100,0

12.12.2.2 Confiscation of property	Count (n)	% of question respondents
Yes	1	10,0
No	2	20,0
NA	7	70,0
Total	10	100,0

12.12.2.3 Fines	Count (n)	% of question respondents
Yes	3	30,0
No	0	0,0
NA	7	70,0
Total	10	100,0

12.12.2.4 Other administrative penalties (e.g. suspension or revocation of licence)	Count (n)	% of question respondents
Yes	2	20,0
No	1	10,0
NA	7	70,0
Total	10	100,0

The following questions (12.12.3.1-12.12.3.6) are analysed among Parties who answered that misdeclaration on official forms is considered to be unlawful conduct that constitutes a crime (in question 12.12.1.1):

12.12.3 Have you established any of the following sanctions for misdeclaring on official forms any information to evade the payment of duties, taxes and other levies, or prejudice any control measures for the elimination of illicit trade in tobacco products?

12.12.3.1 Punishable by imprisonment of less than 4 years	Count (n)	% of question respondents
Yes	13	46,4
No	7	25,0
NA	8	28,6
Total	28	100,0

12.12.3.2 Punishable by imprisonment of 4 years or more	Count (n)	% of question respondents
Yes	13	46,4
No	7	25,0
NA	8	28,6
Total	28	100,0

12.12.3.3 Freezing or seizure of property	Count (n)	% of question respondents
Yes	13	46,4
No	6	21,4

NA	9	32,1
Total	28	100,0

	Count (n)	% of question respondents
<b>12.12.3.4 Confiscation of property</b>		
Yes	14	50,0
No	6	21,4
NA	8	28,6
Total	28	100,0

	Count (n)	% of question respondents
<b>12.12.3.5 Fines</b>		
Yes	17	60,7
No	2	7,1
NA	9	32,1
Total	28	100,0

	Count (n)	% of question respondents
<b>12.12.3.6 Other administrative penalties (e.g. suspension or revocation of licence)</b>		
Yes	12	42,9
No	7	25,0
NA	9	32,1
Total	28	100,0

The following questions (12.12.4.1 and 12.12.4.2) are analysed among Parties who answered that misdeclaration on official forms is considered to be unlawful conduct whether or not it constitutes a crime (in question 12.12.1.1):

**12.12.4 Do you have measures to establish the liability of the following for misdeclaring on official forms any information to evade the payment of duties, taxes and other levies, or prejudice any control measures for the elimination of illicit trade in tobacco products?**

	Count (n)	% of question respondents
<b>12.12.4.1 Individuals</b>		
Yes	29	76,3
No	2	5,3
NA	7	18,4
Total	38	100,0

	Count (n)	% of question respondents
<b>12.12.4.2 Corporate entities</b>		
Yes	27	71,1
No	3	7,9
NA	8	21,1
Total	38	100,0

### Failing to create or maintain records or maintaining false records

12.13.1.1 Under your country's legal framework, is failing to create or maintain records, or maintaining false records as required for the elimination of illicit trade considered to be:	Count (n)	% of all Parties (n=69)	% of report submitters (n=46)
Unlawful conduct but NOT a crime	7	10,1	15,2
Unlawful conduct that constitutes a crime	27	39,1	58,7
Not unlawful conduct	4	5,8	8,7
NA	8	11,6	17,4
Not submitted	23	33,3	
Total	69	100,0	100,0

The following questions (12.13.2.1-12.13.2.4) are analysed among Parties who answered that failing to create or maintain records or maintaining false records is considered to be unlawful conduct but NOT a crime (in question 12.13.1.1):

**12.13.2 Have you established any of the following sanctions for failing to create or maintain records, or maintaining false records as required for the elimination of illicit trade?**

	Count (n)	% of question respondents
<b>12.13.2.1 Freezing or seizure of property</b>		
Yes	4	57,1
No	3	42,9
Total	7	100,0
<b>12.13.2.2 Confiscation of property</b>		
Yes	3	42,9
No	4	57,1
Total	7	100,0
<b>12.13.2.3 Fines</b>		
Yes	7	100,0
No	0	0,0
Total	7	100,0
<b>12.13.2.4 Other administrative penalties (e.g. suspension or revocation of licence)</b>		
Yes	5	71,4
No	2	28,6
Total	7	100,0

The following questions (12.13.3.1-12.13.3.6) are analysed among Parties who answered that failing to create or maintain records or maintaining false records is considered to be unlawful conduct that constitutes a crime (in question 12.13.1.1):

**12.13.3 Have you established any of the following sanctions for failing to create or maintain records, or maintaining false records as required for the elimination of illicit trade?**

	Count (n)	% of question respondents
<b>12.13.3.1 Punishable by imprisonment of less than 4 years</b>		
Yes	19	70,4
No	3	11,1
NA	5	18,5
Total	27	100,0
<b>12.13.3.2 Punishable by imprisonment of 4 years or more</b>		
Yes	13	48,1
No	9	33,3
NA	5	18,5
Total	27	100,0
<b>12.13.3.3 Freezing or seizure of property</b>		
Yes	14	51,9
No	7	25,9
NA	6	22,2
Total	27	100,0
<b>12.13.3.4 Confiscation of property</b>		

Yes	15	55,6
No	6	22,2
NA	6	22,2
Total	27	100,0

	Count (n)	% of question respondents
12.13.3.5 Fines		
Yes	21	77,8
No	1	3,7
NA	5	18,5
Total	27	100,0

	Count (n)	% of question respondents
12.13.3.6 Other administrative penalties (e.g. suspension or revocation of licence)		
Yes	14	51,9
No	5	18,5
NA	8	29,6
Total	27	100,0

The following questions (12.13.4.1 and 12.13.4.2) are analysed among Parties who answered that failing to create or maintain records or maintaining false records is considered to be unlawful conduct whether or not it constitutes a crime (in question 12.13.1.1):

**12.13.4 Do you have measures to establish the liability of the following for failing to create or maintain records, or maintaining false records as required for the elimination of illicit trade?**

	Count (n)	% of question respondents
12.13.4.1 Individuals		
Yes	27	79,4
No	2	5,9
NA	5	14,7
Total	34	100,0

	Count (n)	% of question respondents
12.13.4.2 Corporate entities		
Yes	27	79,4
No	1	2,9
NA	6	17,6
Total	34	100,0

## Money laundering

	Count (n)	% of all Parties (n=69)	% of report submitters (n=46)
12.14.1.1 Under your country's legal framework, is laundering of proceeds of unlawful conduct related to illicit trade in tobacco, tobacco products and manufacturing equipment considered to be?			
Unlawful conduct but NOT a crime	1	1,4	2,2
Unlawful conduct that constitutes a crime	34	49,3	73,9
Not unlawful conduct	2	2,9	4,3
NA	9	13,0	19,6
Not submitted	23	33,3	
Total	69	100,0	100,0

The following questions (12.14.2.1-12.13.2.4) are analysed among Parties who answered that money laundering is considered to be unlawful conduct but NOT a crime (in question 12.14.1.1). The results in these are not reported as there were fewer than five (<5) respondents who met the above condition.



**12.14.2 Have you established any of the following sanctions for laundering of proceeds of unlawful conduct related to illicit trade in tobacco, tobacco products and manufacturing equipment?**

**12.14.2.1 Freezing or seizure of property**

**12.14.2.2 Confiscation of property**

**12.14.2.3 Fines**

**12.14.2.4 Other administrative penalties (e.g. suspension or revocation of licence)**

The following questions (12.14.3.1-12.14.3.6) are analysed among Parties who answered that money laundering is considered to be unlawful conduct that constitutes a crime (in question 12.14.1.1):

**12.14.3 Have you established any of the following sanctions for laundering of proceeds of unlawful conduct related to illicit trade in tobacco, tobacco products and manufacturing equipment?**

	Count (n)	% of question respondents
<b>12.14.3.1 Punishable by imprisonment of less than 4 years</b>		
Yes	20	58,8
No	11	32,4
NA	3	8,8
Total	34	100,0
<b>12.14.3.2 Punishable by imprisonment of 4 years or more</b>		
Yes	25	73,5
No	5	14,7
NA	4	11,8
Total	34	100,0
<b>12.14.3.3 Freezing or seizure of property</b>		
Yes	27	79,4
No	4	11,8
NA	3	8,8
Total	34	100,0
<b>12.14.3.4 Confiscation of property</b>		
Yes	27	79,4
No	4	11,8
NA	3	8,8
Total	34	100,0
<b>12.14.3.5 Fines</b>		
Yes	27	79,4
No	3	8,8
NA	4	11,8
Total	34	100,0
<b>12.14.3.6 Other administrative penalties (e.g., suspension or revocation of licence)</b>		
Yes	22	64,7
No	6	17,6
NA	6	17,6
Total	34	100,0

The following questions (12.14.4.1 and 12.14.4.2) are analysed among Parties who answered that money laundering is considered to be unlawful conduct whether or not it constitutes a crime (in question 12.14.1.1):

**12.14.4 Do you have measures to establish the liability of the following for laundering of proceeds of unlawful conduct related to illicit trade in tobacco, tobacco products and manufacturing equipment?**

<b>12.14.4.1 Individuals</b>	<b>Count (n)</b>	<b>% of question respondents</b>
Yes	30	85,7
No	1	2,9
NA	4	11,4
Total	35	100,0

  

<b>12.14.4.2 Corporate entities</b>	<b>Count (n)</b>	<b>% of question respondents</b>
Yes	29	82,9
No	3	8,6
NA	3	8,6
Total	35	100,0

### Jurisdiction over criminal offences

**12.15.1 Do you have jurisdiction over criminal offences when the offence is committed:**

<b>12.15.1.1 In the territory of your country?</b>	<b>Count (n)</b>	<b>% of all Parties (n=69)</b>	<b>% of report submitters (n=46)</b>
Yes	34	49,3	73,9
No	1	1,4	2,2
NA	11	15,9	23,9
Not submitted	23	33,3	
Total	69	100,0	100,0

  

<b>12.15.1.2 On board a vessel that is flying the flag of your country?</b>	<b>Count (n)</b>	<b>% of all Parties (n=69)</b>	<b>% of report submitters (n=46)</b>
Yes	25	36,2	54,3
No	8	11,6	17,4
NA	13	18,8	28,3
Not submitted	23	33,3	
Total	69	100,0	100,0

  

<b>12.15.1.3 On board an aircraft that is registered under the laws of your country at the time the offence is committed?</b>	<b>Count (n)</b>	<b>% of all Parties (n=69)</b>	<b>% of report submitters (n=46)</b>
Yes	26	37,7	56,5
No	7	10,1	15,2
NA	13	18,8	28,3
Not submitted	23	33,3	
Total	69	100,0	100,0

  

<b>12.15.1.4 Against your country?</b>	<b>Count (n)</b>	<b>% of all Parties (n=69)</b>	<b>% of report submitters (n=46)</b>
Yes	20	29,0	43,5
No	11	15,9	23,9
NA	15	21,7	32,6
Not submitted	23	33,3	
Total	69	100,0	100,0

12.15.1.5 By a national of your country?	Count (n)	% of all Parties (n=69)	% of report submitters (n=46)
Yes	23	33,3	50,0
No	8	11,6	17,4
NA	15	21,7	32,6
Not submitted	23	33,3	
Total	69	100,0	100,0

12.15.1.6 By a stateless person who has his or her habitual residence in your country?	Count (n)	% of all Parties (n=69)	% of report submitters (n=46)
Yes	26	37,7	56,5
No	5	7,2	10,9
NA	15	21,7	32,6
Not submitted	23	33,3	
Total	69	100,0	100,0

12.15.1.7 Outside the territory of your country but with a view to the commission of an offence in the territory of your country?	Count (n)	% of all Parties (n=69)	% of report submitters (n=46)
Yes	15	21,7	32,6
No	16	23,2	34,8
NA	15	21,7	32,6
Not submitted	23	33,3	
Total	69	100,0	100,0

12.15.1.8 When the alleged offender is present in the territory of your country but is not subjected to extradition solely on the ground that he or she is a national of your country?	Count (n)	% of all Parties (n=69)	% of report submitters (n=46)
Yes	21	30,4	45,7
No	7	10,1	15,2
NA	18	26,1	39,1
Not submitted	23	33,3	
Total	69	100,0	100,0

12.15.1.9 When the alleged offender is present in the territory of your country but is not subjected to extradition for any other reason?	Count (n)	% of all Parties (n=69)	% of report submitters (n=46)
Yes	21	30,4	45,7
No	8	11,6	17,4
NA	17	24,6	37,0
Not submitted	23	33,3	
Total	69	100,0	100,0

## Article 17: Seizure payments

### Examples of successful implementation and enforcement

13.1.1.1 Have you adopted measures to allow authorities to levy an amount proportionate to lost taxes and duties FROM THE PRODUCER, MANUFACTURER, DISTRIBUTOR, IMPORTER, OR EXPORTER of seized tobacco, tobacco products, or tobacco manufacturing equipment?	Count (n)	% of all Parties (n=69)	% of report submitters (n=46)
Yes	27	39,1	58,7
No	12	17,4	26,1
NA	7	10,1	15,2
Not submitted	23	33,3	

Total	69	100,0	100,0
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## Article 18: Disposal or destruction

### Disposal or destruction

14.1.1.1 Do you destroy or dispose of all confiscated tobacco, tobacco products and manufacturing equipment in your country?	Count (n)	% of all Parties (n=69)	% of report submitters (n=46)
Yes	43	62,3	93,5
No	1	1,4	2,2
NA	2	2,9	4,3
Not submitted	23	33,3	
Total	69	100,0	100,0

The following question (14.1.1.2) is analysed among Parties who answered yes to destroying/disposing all confiscated tobacco, tobacco products and manufacturing equipment in their country ('Yes' to question 14.1.1.1):

14.1.1.2 Do you destroy or dispose of all confiscated tobacco, tobacco products and manufacturing equipment in your country using environmentally friendly methods?	Count (n)	% of question respondents
Yes	31	72,1
No	6	14,0
NA	6	14,0
Total	43	100,0

## Article 19: Special investigative techniques

### Special investigative techniques

15.1.1.1 Does your national legislation allow for special investigative techniques, such as, controlled delivery or undercover operations, for the purposes of combating illicit trade in tobacco products?	Count (n)	% of all Parties (n=69)	% of report submitters (n=46)
Yes	31	44,9	67,4
No	8	11,6	17,4
NA	7	10,1	15,2
Not submitted	23	33,3	
Total	69	100,0	100,0

## Article 20: General information sharing

### Changes in methods or operations used in the past two years

16.2.1.1 Have there been significant changes in the methods or operations used (e.g., concealment methods) in the illicit trade of tobacco, tobacco products, and tobacco manufacturing equipment that your country may have detected in the past two years?	Count (n)	% of all Parties (n=69)	% of report submitters (n=46)
Yes	9	13,0	19,6
No	29	42,0	63,0
NA	8	11,6	17,4
Not submitted	23	33,3	
Total	69	100,0	100,0

## Article 21: Enforcement information sharing

### Enforcement information sharing

17.1.1.1 Have you shared enforcement information necessary for the purpose of detection or investigation of illicit trade in tobacco, tobacco products or manufacturing equipment with other Parties to the WHO FCTC?	Count (n)	% of all Parties (n=69)	% of report submitters (n=46)
Yes	19	27,5	41,3
No	21	30,4	45,7
NA	6	8,7	13,0
Not submitted	23	33,3	
Total	69	100,0	100,0

## Article 22: Information sharing: confidentiality and protection of information

### Designated national authority for information sharing

18.1.1.1 Do you have a designated national authority to which data referred to in Articles 20, 21, and 24 is supplied?	Count (n)	% of all Parties (n=69)	% of report submitters (n=46)
Yes	14	20,3	30,4
No	22	31,9	47,8
NA	10	14,5	21,7
Not submitted	23	33,3	
Total	69	100,0	100,0

### Laws regarding confidentiality and privacy

18.2.1.1 Do you have laws that protect any confidential information that is exchanged with other Parties to the WHO FCTC?	Count (n)	% of all Parties (n=69)	% of report submitters (n=46)
Yes	24	34,8	52,2
No	8	11,6	17,4
NA	14	20,3	30,4
Not submitted	23	33,3	
Total	69	100	100,0

## Article 23: Assistance and cooperation: training, technical assistance and cooperation in scientific, technical and technological matters

### Technical assistance for the implementation of the Protocol

19.1.1.1 Have you received technical assistance to achieve the objectives of this protocol?	Count (n)	% of all Parties (n=69)	% of report submitters (n=46)
Yes	15	21,7	32,6
No	26	37,7	56,5
NA	5	7,2	10,9
Not submitted	23	33,3	
Total	69	100,0	100,0

The following questions (19.1.2.1-19.1.2.10) are analysed among Parties who answered yes to receiving technical assistance ('Yes' to question 19.1.1.1):

### 19.1.2 From whom did you receive technical assistance?

19.1.2.1 Civil society organization	Count (n)	% of question respondents
Yes	3	20,0
No	7	46,7

NA	5	33,3
Total	15	100,0

	Count (n)	% of question respondents
19.1.2.2 International intergovernmental organization		
Yes	6	40,0
No	5	33,3
NA	4	26,7
Total	15	100,0

	Count (n)	% of question respondents
19.1.2.3 Regional intergovernmental organization		
Yes	3	20,0
No	7	46,7
NA	5	33,3
Total	15	100,0

	Count (n)	% of question respondents
19.1.2.4 Financial institution		
Yes	1	6,7
No	9	60,0
NA	5	33,3
Total	15	100,0

	Count (n)	% of question respondents
19.1.2.5 Development institution		
Yes	2	13,3
No	7	46,7
NA	6	40,0
Total	15	100,0

	Count (n)	% of question respondents
19.1.2.6 Party to the WHO FCTC		
Yes	7	46,7
No	4	26,7
NA	4	26,7
Total	15	100,0

	Count (n)	% of question respondents
19.1.2.7 WHO FCTC Knowledge Hub		
Yes	1	6,7
No	8	53,3
NA	6	40,0
Total	15	100,0

	Count (n)	% of question respondents
19.1.2.8 Convention Secretariat		
Yes	11	73,3
No	1	6,7
NA	3	20,0
Total	15	100,0

	Count (n)	% of question respondents
19.1.2.9 Philanthropic organization		
Yes	0	0,0

No	9	60,0
NA	6	40,0
Total	15	100,0

	Count (n)	% of question respondents
19.1.2.10 Other		
Yes	3	20,0
No	6	40,0
NA	6	40,0
Total	15	100,0

19.1.3.1 Have you provided technical assistance to achieve the objectives of this protocol?	Count (n)	% of all Parties (n=69)	% of report submitters (n=46)
Yes	8	11,6	17,4
No	32	46,4	69,6
NA	6	8,7	13,0
Not submitted	23	33,3	
Total	69	100,0	100,0

The following questions (19.1.4.1-19.1.4.10) are analysed among Parties who answered yes to providing technical assistance ('Yes' to question 19.1.3.1):

#### 19.1.4 To whom did you provide technical assistance?

19.1.4.1 Civil society organization	Count (n)	% of question respondents
Yes	1	12,5
No	2	25,0
NA	5	62,5
Total	8	100,0

19.1.4.2 International intergovernmental organization	Count (n)	% of question respondents
Yes	1	12,5
No	2	25,0
NA	5	62,5
Total	8	100,0

19.1.4.3 Regional intergovernmental organization	Count (n)	% of question respondents
Yes	2	25,0
No	2	25,0
NA	4	50,0
Total	8	100,0

19.1.4.4 Financial institution	Count (n)	% of question respondents
Yes	1	12,5
No	3	37,5
NA	4	50,0
Total	8	100,0

19.1.4.5 Development institution	Count (n)	% of question respondents
No	4	50,0

NA	4	50,0
Total	8	100,0
<b>% of question respondents</b>		
<b>19.1.4.6 Party to the WHO FCTC</b>	<b>Count (n)</b>	
Yes	2	25,0
No	3	37,5
NA	3	37,5
Total	8	100,0
<b>% of question respondents</b>		
<b>19.1.4.7 WHO FCTC Knowledge Hub</b>	<b>Count (n)</b>	
No	4	50,0
NA	4	50,0
Total	8	100,0
<b>% of question respondents</b>		
<b>19.1.4.8 Convention Secretariat</b>	<b>Count (n)</b>	
Yes	1	12,5
No	3	37,5
NA	4	50,0
Total	8	100,0
<b>% of question respondents</b>		
<b>19.1.4.9 Philanthropic organization</b>	<b>Count (n)</b>	
Yes	1	12,5
No	3	37,5
NA	4	50,0
Total	8	100,0
<b>% of question respondents</b>		
<b>19.1.4.10 Other</b>	<b>Count (n)</b>	
Yes	1	12,5
No	2	25,0
NA	5	62,5
Total	8	100,0

## Article 24: Assistance and cooperation: investigation and prosecution of offences

### Engagement with other Parties to the WHO FCTC to investigate illicit trade

20.1.1.1 Has your jurisdiction engaged with other Parties to the WHO FCTC to investigate criminal offences in the illicit trade of tobacco?	Count (n)	% of all Parties (n=69)	% of report submitters (n=46)
Yes	15	21,7	32,6
No	23	33,3	50,0
NA	8	11,6	17,4
Not submitted	23	33,3	
Total	69	100,0	100,0
20.2.1.1 Do the relevant national authorities within your country have a coordinating mechanism to cooperate and exchange information with each other to combat illicit trade in tobacco products?	Count (n)	% of all Parties (n=69)	% of report submitters (n=46)
Yes	30	43,5	65,2



No	7	10,1	15,2
NA	9	13,0	19,6
Not submitted	23	33,3	
Total	69	100,0	100,0

## Article 27: Law enforcement cooperation

### Law enforcement cooperation

21.1.1.1 Has your country established mechanisms to ensure effective law enforcement cooperation with customs, police or other law enforcement agencies from other WHO FCTC Parties?	Count (n)	% of all Parties (n=69)	% of report submitters (n=46)
Yes	33	47,8	71,7
No	9	13,0	19,6
NA	4	5,8	8,7
Not submitted	23	33,3	
Total	69	100,0	100,0

  

21.1.1.2 Has your country cooperated with other WHO FCTC Parties in law enforcement for the purpose of eliminating illicit trade in tobacco products?	Count (n)	% of all Parties (n=69)	% of report submitters (n=46)
Yes	25	36,2	54,3
No	16	23,2	34,8
NA	5	7,2	10,9
Not submitted	23	33,3	
Total	69	100,0	100,0

## Article 28: Mutual administrative assistance

### Mutual administrative assistance

22.1.1.1 Has your country provided or made available mutual administrative assistance to other WHO FCTC Parties to ensure proper application of customs and other relevant law in the prevention, detection, investigation, prosecution and combating of illicit trade in tobacco products?	Count (n)	% of all Parties (n=69)	% of report submitters (n=46)
Yes	17	24,6	37,0
No	22	31,9	47,8
NA	7	10,1	15,2
Not submitted	23	33,3	
Total	69	100,0	100,0

The following questions (22.1.2.1-22.1.2.5) are analysed among Parties who answered yes to providing or making available mutual administrative assistance to other WHO FCTC Parties ('Yes' to question 22.1.1.1):

22.1.2 Has your country provided or made available to other WHO FCTC Parties any of the below types of information?

22.1.2.1 New customs and other enforcement techniques of demonstrated effectiveness	Count (n)	% of question respondents
Yes	10	58,8
No	4	23,5
NA	3	17,6
Total	17	100,0

  

22.1.2.2 New trends, means or methods of engaging in illicit trade in tobacco, tobacco products and manufacturing equipment	Count (n)	% of question respondents
Yes	11	64,7
No	4	23,5

NA	2	11,8
Total	17	100,0
<b>22.1.2.3 Goods known to be the subject of illicit trade in tobacco, tobacco products and manufacturing equipment as well as details of description, packaging, transport and storage and methods used in respect of those goods</b>	<b>Count (n)</b>	<b>% of question respondents</b>
Yes	12	70,6
No	2	11,8
NA	3	17,6
Total	17	100,0
<b>22.1.2.4 Natural or legal persons known to have committed or to be a party to an offence established in accordance with Article 14</b>	<b>Count (n)</b>	<b>% of question respondents</b>
Yes	12	70,6
No	3	17,6
NA	2	11,8
Total	17	100,0
<b>22.1.2.5 Any other data that would assist designated agencies in risk assessment for control and other enforcement purposes</b>	<b>Count (n)</b>	<b>% of question respondents</b>
Yes	14	82,4
No	1	5,9
NA	2	11,8
Total	17	100,0

## Article 28: Mutual administrative assistance

### Mutual administrative assistance

<b>23.1.1.1 Has your country provided or made available mutual legal assistance to other WHO FCTC Parties for the purpose of investigations, prosecutions, or judicial proceedings in relation to criminal offences established in accordance with Article 14 of this Protocol?</b>	<b>Count (n)</b>	<b>% of all Parties (n=69)</b>	<b>% of report submitters (n=46)</b>
Yes	10	14,5	21,7
No	24	34,8	52,2
NA	12	17,4	26,1
Not submitted	23	33,3	
Total	69	100,0	100,0

The following questions (23.1.2.1-23.1.2.9) are analysed among Parties who answered yes to making available or providing legal assistance to other WHO FCTC Parties ('Yes' to question 23.1.1.1):

### 23.1.2 Which types of mutual legal assistance has your country made available or offered to other WHO FCTC Parties?

<b>23.1.2.1 Taking evidence or statements from persons</b>	<b>Count (n)</b>	<b>% of question respondents</b>
Yes	5	50,0
No	0	0,0
NA	5	50,0
Total	10	100,0
<b>23.1.2.2 Effecting service of judicial documents</b>	<b>Count (n)</b>	<b>% of question respondents</b>
Yes	4	40,0
No	1	10,0

NA	5	50,0
Total	10	100,0
<b>% of question respondents</b>		
<b>23.1.2.3 Executing searches and seizures, and freezing</b>	<b>Count (n)</b>	
Yes	5	50,0
No	0	0,0
NA	5	50,0
Total	10	100,0
<b>% of question respondents</b>		
<b>23.1.2.4 Examining objects and sites</b>	<b>Count (n)</b>	
Yes	5	50,0
No	0	0,0
NA	5	50,0
Total	10	100,0
<b>% of question respondents</b>		
<b>23.1.2.5 Providing information, evidentiary items and expert evaluations</b>	<b>Count (n)</b>	
Yes	5	50,0
No	0	0,0
NA	5	50,0
Total	10	100,0
<b>% of question respondents</b>		
<b>23.1.2.6 Providing originals or certified copies of relevant documents and records, including government, bank, financial, corporate or business records</b>	<b>Count (n)</b>	
Yes	4	40,0
NA	6	60,0
Total	10	100,0
<b>% of question respondents</b>		
<b>23.1.2.7 Identifying or tracing proceeds of crime, property, instrumentalities or other things for evidentiary purposes</b>	<b>Count (n)</b>	
Yes	4	40,0
No	1	10,0
NA	5	50,0
Total	10	100,0
<b>% of question respondents</b>		
<b>23.1.2.8 Facilitating the voluntary appearance of persons in the requesting Party</b>	<b>Count (n)</b>	
Yes	3	30,0
No	2	20,0
NA	5	50,0
Total	10	100,0
<b>% of question respondents</b>		
<b>23.1.2.9 Any other type of assistance</b>	<b>Count (n)</b>	
Yes	3	30,0
NA	7	70,0
Total	10	100,0

## Articles 30 and 31: Extradition

### Extradition

<b>24.1.1.1 Has your country established measures to ensure extradition for criminal offences under Article 14 of this Protocol or as part of efforts to eliminate illicit trade in tobacco products?</b>	<b>Count (n)</b>	<b>% of all Parties (n=69)</b>	<b>% of report submitters (n=46)</b>
Yes	23	33,3	50,0

No	15	21,7	32,6
NA	8	11,6	17,4
Not submitted	23	33,3	
Total	69	100,0	100,0
<b>24.1.1.2 Has your country extradited any person for criminal offences under Article 14 of this Protocol or as part of efforts to eliminate illicit trade in tobacco products?</b>			
	<b>Count (n)</b>	<b>% of all Parties (n=69)</b>	<b>% of report submitters (n=46)</b>
Yes	2	2,9	4,3
No	29	42,0	63,0
NA	15	21,7	32,6
Not submitted	23	33,3	
Total	69	100,0	100,0

## Technical assistance needed and barriers and challenges to implementation

### Benefit from technical assistance to implement the Protocol

<b>25.1.1.1 Could you benefit from any technical assistance to help implement the Protocol?</b>			
	<b>Count (n)</b>	<b>% of all Parties (n=69)</b>	<b>% of report submitters (n=46)</b>
Yes	28	40,6	60,9
No	12	17,4	26,1
NA	6	8,7	13,0
Not submitted	23	33,3	
Total	69	100,0	100,0

### Constraints or barriers

<b>25.2.1.1 Have you faced any constraints or barriers in implementing the Protocol?</b>			
	<b>Count (n)</b>	<b>% of all Parties (n=69)</b>	<b>% of report submitters (n=46)</b>
Yes	22	31,9	47,8
No	16	23,2	34,8
NA	8	11,6	17,4
Not submitted	23	33,3	
Total	69	100,0	100,0

The following question (25.2.1.3) is analysed among Parties who answered yes to facing constraints or barriers ('Yes' to question 25.2.1.1):

<b>25.2.1.3 Have you been able to overcome these constraints or barriers to implementing the Protocol?</b>			<b>% of question respondents</b>
	<b>Count (n)</b>		
Yes	6		27,3
No	13		59,1
NA	3		13,6
Total	22		100,0