## Reaccreditation report of NGO observers to MOP4

## Survey response 1

Date submitted	
2025-02-06 07:05:13	

### A. Contact details

A1. Name of organization
Tobacco Free Portfolios Ltd
A6. Website of organization
www.tobaccofreeportfolios.org

### B. General information

B1. Please indicate if your organization would like to retain its status as observer to the Protocol to Eliminate Illicit Trade in Tobacco Products

Yes

B2. Does your organization's mission statement specifically refer to tobacco control or to countering/fighting illicit trade in tobacco products?

Yes

B3. If yes, please specify:

A1 Name of organization

Our mission is to work toward a tobacco-free world

B4. Does your organization have regional branch(es) to enhance activities in different regions or groups of countries?

B5. If yes, please provide details

Australia, USA, UK

B6. Does your organization regularly convene meetings or conferences for its membership?

Νo

B9. If yes, is tobacco control or countering/fighting illicit trade in tobacco products covered in the meetings/conferences?

N/A

# C. Participation in meetings organized under the auspices of the Protocol and contributions

C1. Please indicate the meetings organized by the Convention Secretariat at which your organization was represented (sessions of the Meeting of Parties, meetings of inter-sessional groups, working groups, NGO events, etc.)

COP 2016 India - UK Director attended, COP 2018 Geneva - CEO attended, COP 2021 UK - UK Director attended

C2. Please list any other meetings or conferences with a focus on tobacco control or countering/fighting illicit trade in tobacco products attended by your organization.

Many anti-tobacco meetings are attended by our team. Many conferences/meetings hosted by UN agencies attended by our team including the UN-backed Principles for Responsible Investment, the UN-back Principles for Sustainable Insurance. In addition, World Health Summit and many regional anti-tobacco meetings, many meetings focused on the SDGs.

# D. Work encouraging Parties to the WHO FCTC to become Party to the Protocol

D1. Have you supported any Party or Parties to the WHO FCTC to become Party to the Protocol?

E3.b. Article 15 (Liability of legal persons):

No

No
E. Your organization's activities to support the Parties in their implementation of the Protocol
E. Has your organization conducted any work/activity in relation to the following provisions of the Protocol?
E1. Part II: General obligations
E1.a. Article 4: General obligations:
No
E1.b. Article 5: Protection of personal data:
No
E2 Part III: Supply chain control
E2.a. Article 6 (Licence, equivalent approval or control system):
No
E2.b. Article 7 (Due diligence):
No
E2.c. Article 8 (Tracking and tracing):
No
E2.d. Article 9 (Record keeping):
No
E2.e. Article 10 (Security and preventive measures):
No
E2.f. Article 11 (Sale by Internet, telecommunication, or any other evolving technology):
No
E2.g. Article 12 (Free zones and international transit):
No
E2.h. Article 13 (Duty free sales):
No
E3 Part IV: Offences
E3.a. Article 14 (Unlawful conduct including criminal offences):
No

E3.c. Article 16 (Prosecution and sanctions):
No
E3.d. Article 17 (Seizure payments):
No
E3.e. Article 18 (Disposal or destruction):
No
E3.f. Article 19 (Special investigative techniques):
No
E4. Part V: International cooperation
E4.a. Article 20 (General information sharing):
No
E4.b. Article 21 (Enforcement information sharing):
No
E4.c. Article 21 (Information sharing: confidentiality and protection of information):
No
E4.d. Article 22 (Assistance and cooperation: training, technical assistance and cooperation in scientific, technical and
technological matters):
No
E4.e. Article 24 (Assistance and cooperation: investigation and prosecution of offences):
No
E4.f. Article 27 (Law enforcement cooperation):
No
E4.g. Article 28 (Mutual administrative assistance):
No
E4.h. Article 29 (Mutual legal assistance):  No
E4.i. Article 30 (Extradition) and Article 31 (Measures to ensure extradition):
No
E5. Part VI: Reporting
E5.a. Article 32 (Reporting and exchange of information):
No
E6. Part VII: Institutional arrangements and financial resources
E6.a. Article 36 (Financial resources):
No

F. Other relevant activities to support the work of the Protocol

F1. Please provide any other relevant information that does not fit under the questions above.

Our activities are in conformity with the spirit, purpose and principles of the Protocol. We work with financial organisations - including public sovereign wealth funds, pension funds and insurers, to educate them about the impact of financial or vested interest in the tobacco industry. We encourage tobacco-free finance and in 2018 we launched the Tobacco-Free Finance Pledge at UNHQ in New York. To date, there are nearly 210 of the world's largest financial institutions that have signed The Pledge (including banks, insurers, pension plans and sovereign wealth funds) and together they have combined assets under management of more than US \$18 trillion. We continue to actively work globally to promote widespread uptake of The Pledge.

### G. Attachments

G1. Please attach any file relevant to any of the activities reported above.

filecount - G1. Please attach any file relevant to any of the activities reported above.

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#### H. Declarations

H1. Please confirm that your organization does not receive, has not received and will not receive in the future, either directly or indirectly, any financial or other contributions or assistances from the tobacco industry or its affiliates. Please also confirm that neither your members nor your partners are affiliated directly or indirectly with the tobacco industry or its affiliates or those furthering the interests of the tobacco industry.

Yes, I confirm

H2. Please confirm that the activity of your organization is in conformity with the Article 5.3 of the WHO FCTC and the 15th and 16th preambular paragraphs of the Protocol.

Yes, I confirm

H3. Through my electronic signature, I confirm that the above information is accurate and complete, to the best of our knowledge and abilities:

Dr Bronwyn King