



Reporting instrument of the Protocol to Eliminate Illicit Trade in Tobacco Products

2025 Reporting Cycle

English

Question number	Question	Instructions
Reporting Party Details		
1.1.1	Information on the national contact responsible for completing the report: Name: Title: Institution: Website of institution: Mailing address: Telephone number: Email:	
1.2.1	Information on the national contact responsible for submitting the report on behalf of the government: Name: Title: Institution: Website of institution: Mailing address: Telephone number: Email:	
1.3.1	Reporting period covered in the report: From: year <i>[drop down menu]</i> To: year <i>[drop down menu]</i>	<p>Please provide the start and end dates for the reporting period covered in this report (year to year).</p> <p>For example, if in your previous implementation report, you covered the period up until 31 December 2022, this report should cover the developments starting from 1 January 2023 and ending at a point in time to be selected by you (e.g, two full years, up until 31 December 2024).</p>

Question number	Question	Instructions
		If this is the first ever report on the Protocol of your country, only give the end date, and provide a full status report of actions taken previously in relation to measures required under the Protocol.
Seizures of tobacco and nicotine products <i>[Note: to be collected in a data entry table]</i>		
2.1.1	<p>Aggregate data on seizures of tobacco and nicotine products, in the past two years:</p> <ul style="list-style-type: none"> Quantity of seizures (e.g., kilograms, units) Value of seizures Value of taxes evaded <p>The above will be collected for the product categories below:</p> <ul style="list-style-type: none"> Unmanufactured tobacco (tobacco leaves) Cigarettes and other smoked tobacco products Smokeless tobacco products Tobacco manufacturing equipment ENDS and ENNDS Other (e.g., devices, novel tobacco and nicotine products, e-liquids) 	Reference: Article 20.1.a
Progress with implementing the Protocol		
3.1.1	<p>Has there been any significant change in the implementation of the Protocol in your country since the submission of your previous report?</p> <p>1 Yes 2 No</p>	
	If yes to any significant change in the implementation of the Protocol:	

Question number	Question	Instructions
	3.1.1.2 Please briefly describe any significant changes in the implementation of the Protocol since the submission of your previous report: <i>[Free-text answer]</i>	List the significant changes by Articles to the Protocol. You should also reflect these changes in your responses under the respective Articles. In subsequent sections you can also upload documents related to these changes.
Article 6: Licence, equivalent approval or control system		
	Licence to manufacture tobacco products	
4.1.1	Do you require a licence or equivalent approval system to manufacture the following tobacco products? Please choose the most appropriate response for each of the below: yes, no, not applicable 4.1.1.1 Cigarettes 4.1.1.2 Rolling tobacco for cigarettes 4.1.1.3 Waterpipe tobacco 4.1.1.4 Heated tobacco products 4.1.1.5 Cigarillos or small cigars 4.1.1.6 Cigars 4.1.1.7 Other smoked tobacco products	Reference: Article 6.1.a

Question number	Question		Instructions
	4.1.1.8	Smokeless tobacco	
	4.1.1.9	Other tobacco products (please specify)	
	If yes to requiring a licence for manufacturing tobacco products:		
	4.1.2.1	Please enter the name of the competent authority that issues licences to manufacture tobacco products in your jurisdiction: <i>[Free-text answer]</i>	Reference: Article 6.1.a
	4.1.2.2	Does the competent authority have the ability to suspend, revoke or cancel licences to manufacture tobacco products in cases of non-compliance? 1 Yes 2 No	Reference: Article 6.1.a and Article 6.3.a Please respond YES if it applies to any tobacco product.
	4.1.2.3	Do you require a licence fee to manufacture tobacco products? 1 Yes 2 No	Reference: Article 6.1.a and Article 6.3.c Please respond YES if it applies to any tobacco product.
	4.1.2.4	Do you require licences to manufacture tobacco products to be periodically renewed? 1 No, no licence renewal is required 2 Yes, once a year 3 Yes, every two years 4 Yes, every five years 5 Yes, at another interval (please specify)	Reference: Article 6.1.a and Article 6.3.e Please respond YES if it applies to any tobacco product.

Question number	Question		Instructions
	Licence to manufacture tobacco manufacturing equipment		
4.2.1.1	Do you require a licence or equivalent approval system to manufacture tobacco manufacturing equipment? 1 Yes 2 No 3 Not applicable		Reference: Article 6.1.a
	If yes to requiring a licence to manufacture tobacco manufacturing equipment:		
	4.2.1.2	Please enter the name of the competent authority that issues licences to manufacture tobacco manufacturing equipment in your jurisdiction: <i>[Free-text answer]</i>	Reference: Article 6.1.a
	4.2.1.3	Does the competent authority have the ability to suspend, revoke or cancel licences to manufacture tobacco manufacturing equipment in cases of non-compliance? 1 Yes 2 No	Reference: Article 6.1.a. and Article 6.3.a
	4.2.1.4	Do you require a licence fee to manufacture tobacco manufacturing equipment? 1 Yes 2 No	Reference: Article 6.1.a and Article 6.3.c
	4.2.1.5	Do you require licences to manufacture tobacco manufacturing equipment to be periodically renewed? 1 No, no licence renewal is required	Reference: Article 6.1.a and Article 6.3.e

Question number	Question	Instructions
	2 Yes, once a year 3 Yes, every two years 4 Yes, every five years 5 Yes, at another interval (please specify)	
	Licence to import tobacco products	
4.3.1	<p>Do you require a licence or equivalent approval system to import the following tobacco products?</p> <p>Please choose the most appropriate response for each of the below: yes, no, not applicable</p> <p>4.3.1.1 Cigarettes</p> <p>4.3.1.2 Rolling tobacco for cigarettes</p> <p>4.3.1.3 Waterpipe tobacco</p> <p>4.3.1.4 Heated tobacco products</p> <p>4.3.1.5 Cigarillos or small cigars</p> <p>4.3.1.6 Cigars</p> <p>4.3.1.7 Other smoked tobacco products</p> <p>4.3.1.8 Smokeless tobacco</p> <p>4.3.1.9 Other tobacco products (please specify)</p>	Reference: Article 6.1.b

Question number	Question		Instructions
	If yes to requiring a licence to import tobacco products:		
	4.3.2.1	Please enter the name of the competent authority that issues licences to import tobacco products in your jurisdiction: <i>[Free-text answer]</i>	Reference: Article 6.1.b
	4.3.2.2	Does the competent authority have the ability to suspend, revoke or cancel licences to import tobacco products in cases of non-compliance? 1 Yes 2 No	Reference: Article 6.1.b and Article 6.3.a Please respond YES if it applies to any tobacco product.
	4.3.2.3	Do you require a licence fee to import tobacco products? 1 Yes 2 No	Reference: Article 6.1.b and Article 6.3.c Please respond YES if it applies to any tobacco product.
	4.3.2.4	Do you require licences to import tobacco products to be periodically renewed? 1 No, no licence renewal is required 2 Yes, once a year 3 Yes, every two years 4 Yes, every five years 5 Yes, at another interval (please specify)	Reference: Article 6.1.b and Article 6.3.e Please respond YES if it applies to any tobacco product.
	Licence to import tobacco manufacturing equipment		
4.4.1.1	Do you require a licence or equivalent approval system to import tobacco manufacturing equipment?		Reference: Article 6.1.b

Question number	Question		Instructions
	1 Yes 2 No 3 Not applicable		
	If yes to requiring a licence to import tobacco manufacturing equipment:		
	4.4.1.2	Please enter the name of the competent authority that issues licences to import tobacco manufacturing equipment in your jurisdiction: <i>[Free-text answer]</i>	Reference: Article 6.1.b
	4.4.1.3	Does the competent authority have the ability to suspend, revoke or cancel licences to import tobacco manufacturing equipment in cases of non-compliance? 1 Yes 2 No	Reference: Article 6.1.b and Article 6.3.a
	4.4.1.4	Do you require a licence fee to import tobacco manufacturing equipment? 1 Yes 2 No	Reference: Article 6.3.b and Article 6.3.c
	4.4.1.5	Do you require licences to import tobacco manufacturing equipment to be periodically renewed? 1 No, no licence renewal is required 2 Yes, once a year 3 Yes, every two years 4 Yes, every five years 5 Yes, at another interval (please specify)	Reference: Article 6.1.b and Article 6.3.e

Question number	Question	Instructions
	Licence to export tobacco products	
4.5.1	<p>Do you require a licence or equivalent approval system to export the following tobacco products?</p> <p>Please choose the most appropriate response for each of the below: yes, no, not applicable</p> <p>4.5.1.1 Cigarettes</p> <p>4.5.1.2 Rolling tobacco for cigarettes</p> <p>4.5.1.3 Waterpipe tobacco</p> <p>4.5.1.4 Heated tobacco products</p> <p>4.5.1.5 Cigarillos or small cigars</p> <p>4.5.1.6 Cigars</p> <p>4.5.1.7 Other smoked tobacco products</p> <p>4.5.1.8 Smokeless tobacco</p> <p>4.5.1.9 Other tobacco products (please specify)</p>	Reference: Article 6.1.b
	If yes to requiring a licence to export tobacco products:	
	<p>4.5.2.1 Please enter the name of the competent authority that issues licences to export tobacco products in your jurisdiction:</p> <p><i>[Free-text answer]</i></p>	Reference: Article 6.1.b

Question number	Question	Instructions
	4.5.2.2 Does the competent authority have the ability to suspend, revoke or cancel licences to export tobacco products in cases of non-compliance? 1 Yes 2 No	Reference: Article 6.1.b and Article 6.3.a Please respond YES if it applies to any tobacco product.
	4.5.2.3 Do you require a licence fee to export tobacco products? 1 Yes 2 No	Reference: Article 6.1.b and Article 6.3.c Please respond YES if it applies to any tobacco product.
	4.5.2.4 Do you require licences to export tobacco products to be periodically renewed? 1 No, no licence renewal is required 2 Yes, once a year 3 Yes, every two years 4 Yes, every five years 5 Yes, at another interval (please specify)	Reference: Article 6.1.b and Article 6.3.e Please respond YES if it applies to any tobacco product.
Licence to export tobacco manufacturing equipment		
4.6.1.1	Do you require a licence or equivalent approval system to export tobacco manufacturing equipment? 1 Yes 2 No 3 Not applicable	Reference: Article 6.1.b
	If yes to requiring a licence to export tobacco manufacturing equipment:	

Question number	Question		Instructions
	4.6.1.2	Please enter the name of the competent authority that issues licences to export tobacco manufacturing equipment in your jurisdiction: <i>[Free-text answer]</i>	Reference: Article 6.1.b
	4.6.1.3	Does the competent authority have the ability to suspend, revoke or cancel licences to export tobacco manufacturing equipment in cases of non-compliance? 1 Yes 2 No	Reference: Article 6.1.b and Article 6.3.a
	4.6.1.4	Do you require a licence fee to export tobacco manufacturing equipment? 1 Yes 2 No	Reference: Article 6.1.b and Article 6.3.c
	4.6.1.5	Do you require licences to export tobacco manufacturing equipment to be periodically renewed? 1 No, no licence renewal is required 2 Yes, once a year 3 Yes, every two years 4 Yes, every five years 5 Yes, at another interval (please specify)	Reference: Article 6.1.b and Article 6.3.e
	Licence for retailing tobacco products		
4.7.1.1	Do you require a licence or equivalent approval system for retailing tobacco products? 1 Yes		Reference: Article 6.2.a

Question number	Question		Instructions
	2	No	
	If yes to requiring a licence for retailing tobacco products:		
	4.7.1.2	Please enter the name of the competent authority that issues licences for retailing tobacco products in your jurisdiction: <i>[Free-text answer]</i>	Reference: Article 6.2.a
	4.7.1.3	Does the competent authority have the ability to suspend, revoke or cancel licences for retailing tobacco products in cases of non-compliance? 1 Yes 2 No	Reference: Article 6.2.a and Article 6.3.a
	4.7.1.4	Do you require a licence fee for retailing tobacco products? 1 Yes 2 No	Reference: Article 6.2.a and Article 6.3.c
	4.7.1.5	Do you require licences for retailing tobacco products to be periodically renewed? 1 No, no licence renewal is required 2 Yes, once a year 3 Yes, every two years 4 Yes, every five years 5 Yes, at another interval (please specify)	Reference: Article 6.2.a and Article 6.3.e
	Licence for growing tobacco		

Question number	Question		Instructions
4.8.1.1	Do you require a licence or equivalent approval system for growing tobacco (excluding traditional small-scale growers, farmers, and producers)? 1 Yes 2 No 3 Not applicable		Reference: Article 6.2.b
	If yes to requiring a licence for growing tobacco:		
	4.8.1.2	Please enter the name of the competent authority that issues licences for growing tobacco in your jurisdiction: <i>[Free-text answer]</i>	Reference: Article 6.2.b
	4.8.1.3	Does the competent authority have the ability to suspend, revoke or cancel licences for growing tobacco in cases of non-compliance? 1 Yes 2 No	Reference: Article 6.2.b and Article 6.3.a
	4.8.1.4	Do you require a licence fee for growing tobacco? 1 Yes 2 No	Reference: Article 6.2.b and Article 6.3.c
	4.8.1.5	Do you require licences for growing tobacco to be periodically renewed? 1 No, no licence renewal is required 2 Yes, once a year 3 Yes, every two years 4 Yes, every five years	Reference: Article 6.2.b and Article 6.3.e

Question number	Question		Instructions
	5	Yes, at another interval (please specify)	
	Licence for transporting commercial quantities of tobacco products		
4.9.1.1	Do you require a licence or equivalent approval system for transporting commercial quantities of tobacco products? 1 Yes 2 No		Reference: Article 6.2.c
	If yes to requiring a licence for transporting commercial quantities of tobacco products:		
	4.9.1.2	Please enter the name of the competent authority that issues licences for transporting commercial quantities of tobacco products in your jurisdiction: <i>[Free-text answer]</i>	Reference: Article 6.2.c
	4.9.1.3	Does the competent authority have the ability to suspend, revoke or cancel licences for transporting commercial quantities of tobacco products in cases of non-compliance? 1 Yes 2 No	Reference: Article 6.2.c and Article 6.3.a
	4.9.1.4	Do you require a licence fee for transporting commercial quantities of tobacco products? 1 Yes 2 No	Reference: Article 6.2.c and Article 6.3.c
	4.9.1.5	Do you require licences for transporting commercial quantities of tobacco products to be periodically renewed?	Reference: Article 6.2.c and Article 6.3.e

Question number	Question	Instructions
	1 No, no licence renewal is required 2 Yes, once a year 3 Yes, every two years 4 Yes, every five years 5 Yes, at another interval (please specify)	
	Licence for transporting commercial quantities of tobacco manufacturing equipment	
4.10.1.1	Do you require a licence or equivalent approval system for transporting commercial quantities of tobacco manufacturing equipment? 1 Yes 2 No 3 Not applicable	Reference: Article 6.2.c
	If yes to requiring a licence for transporting commercial quantities of tobacco manufacturing equipment:	
	4.10.1.2 Please enter the name of the competent authority that issues licences for transporting commercial quantities of tobacco manufacturing equipment in your jurisdiction: <i>[Free-text answer]</i>	Reference: Article 6.2.c
	4.10.1.3 Does the competent authority have the ability to suspend, revoke or cancel licences for transporting commercial quantities of tobacco manufacturing equipment in cases of non-compliance? 1 Yes 2 No	Reference: Article 6.2.c and Article 6.3.a
	4.10.1.4 Do you require a licence fee for transporting commercial quantities of tobacco manufacturing equipment?	Reference: Article 6.2.c and Article 6.3.c

Question number	Question	Instructions
	1 Yes 2 No	
	4.10.1.5 Do you require licences for transporting commercial quantities of tobacco manufacturing equipment to be periodically renewed? 1 No, no licence renewal is required 2 Yes, once a year 3 Yes, every two years 4 Yes, every five years 5 Yes, at another interval (please specify)	Reference: Article 6.2.c and Article 6.3.e
	Licence to wholesale, broker, warehouse or distribute tobacco	
4.11.1.1	Do you require a licence or equivalent approval system to wholesale, broker, warehouse or distribute tobacco? 1 Yes 2 Yes, in part 3 No 4 Not applicable	Reference: Article 6.2.d
	If yes, or yes in part, to requiring a licence to wholesale, broker, warehouse or distribute tobacco:	
	4.11.1.2 Please enter the name of the competent authority that issues licences to wholesale, broker, warehouse or distribute tobacco in your jurisdiction: <i>[Free-text answer]</i>	Reference: Article 6.2.d

Question number	Question	Instructions
	<p>4.11.1.3 Does the competent authority have the ability to suspend, revoke or cancel licences to wholesale, broker, warehouse or distribute tobacco in cases of non-compliance?</p> <p>1 Yes 2 Yes, in part 3 No</p>	Reference: Article 6.2.d and Article 6.3.a
	<p>4.11.1.4 Do you require a licence fee to wholesale, broker, warehouse or distribute tobacco?</p> <p>1 Yes 2 Yes, in part 3 No</p>	Reference: Article 6.2.d and Article 6.3.c
	<p>4.11.1.5 Do you require licences to wholesale, broker, warehouse or distribute tobacco to be periodically renewed?</p> <p>1 No, no licence renewal is required 2 Yes, once a year 3 Yes, every two years 4 Yes, every five years 5 Yes, at another interval (please specify)</p>	Reference: Article 6.2.d and Article 6.3.e
Licence to wholesale, broker, warehouse or distribute tobacco products		
4.12.1.1	<p>Do you require a licence or equivalent approval system to wholesale, broker, warehouse or distribute tobacco products?</p> <p>1 Yes 2 Yes, in part</p>	Reference: Article 6.2.d

Question number	Question		Instructions
	3	No	
	If yes, or yes in part, to requiring a licence to wholesale, broker, warehouse or distribute tobacco products:		
	4.12.1.2	Please enter the name of the competent authority that issues licences to wholesale, broker, warehouse or distribute tobacco products in your jurisdiction: <i>[Free-text answer]</i>	Reference: Article 6.2.d
	4.12.1.3	Does the competent authority have the ability to suspend, revoke or cancel licences to wholesale, broker, warehouse or distribute tobacco products in cases of non-compliance? 1 Yes 2 Yes, in part 3 No	Reference: Article 6.2.d and Article 6.3.a
	4.12.1.4	Do you require a licence fee to wholesale, broker, warehouse or distribute tobacco products? 1 Yes 2 Yes, in part 3 No	Reference: Article 6.2.d and Article 6.3.c
	4.12.1.5	Do you require licences to wholesale, broker, warehouse or distribute tobacco products to be periodically renewed? 1 No, no licence renewal is required 2 Yes, once a year 3 Yes, every two years	Reference: Article 6.2.d and Article 6.3.e

Question number	Question		Instructions
		4 Yes, every five years 5 Yes, at another interval (please specify)	
	Licence to wholesale, broker, warehouse or distribute tobacco manufacturing equipment		
4.13.1.1	Do you require a licence or equivalent approval system to wholesale, broker, warehouse or distribute tobacco manufacturing equipment? 1 Yes 2 Yes, in part 3 No 4 Not applicable		Reference: Article 6.2.d
	If yes, or yes in part, to requiring a licence to wholesale, broker, warehouse or distribute tobacco manufacturing equipment:		
	4.13.1.2	Please enter the name of the competent authority that issues licences to wholesale, broker, warehouse or distribute tobacco manufacturing equipment in your jurisdiction: <i>[Free-text answer]</i>	Reference: Article 6.2.d
	4.13.1.3	Does the competent authority have the ability to suspend, revoke or cancel licences to wholesale, broker, warehouse or distribute tobacco manufacturing equipment in cases of non-compliance? 1 Yes 2 Yes, in part 3 No	Reference: Article 6.2.d and Article 6.3.a
	4.13.1.4	Do you require a licence fee to wholesale, broker, warehouse or distribute tobacco manufacturing equipment?	Reference: Article 6.2.d and Article 6.3.c

Question number	Question		Instructions
		1 Yes 2 Yes, in part 3 No	
	4.13.1.5	Do you require licences to wholesale, broker, warehouse or distribute tobacco manufacturing equipment to be periodically renewed? 1 No, no licence renewal is required 2 Yes, once a year 3 Yes, every two years 4 Yes, every five years 5 Yes, at another interval (please specify)	Reference: Article 6.2.d. and Article 6.3.e
Article 7: Due diligence			
	Due diligence		
5.1.1	Are all individuals and corporate entities engaged in the supply chain of tobacco, tobacco products and tobacco manufacturing equipment required to:		
	5.1.1.1	Conduct due diligence before, and during the course of a business relationship? 1 Yes 2 No	Reference: Article 7.1.a
	5.1.1.2	Monitor sales to customers to ensure that the quantities are commensurate with the demand for such products within the intended market? 1 Yes 2 No	Reference: Article 7.1.b

Question number	Question	Instructions
	5.1.1.3 Report any evidence that the customer is engaged in activities in contravention of its obligations arising from this Protocol? 1 Yes 2 No	Reference: Article 7.1.c
Article 8: Tracking and tracing		
<p>This section asks about the different components that make up a tracking and tracing system. Tracking and tracing systems allow tobacco products to be monitored and traced in the supply chain.</p> <p>Per the Protocol, in a tracking and tracing system, each unit of tobacco packaging shall be given a unique code that allows its movements to be systematically monitored through the supply chain. <u>THESE UNIQUE CODES ARE REFERRED TO AS UNIQUE IDENTIFICATION MARKINGS (UIM).</u></p> <p>The information that is monitored is then made accessible to competent authorities, allowing them to recreate the route taken by the unit tobacco packaging.</p>		
	Unique identification markings	
6.1.1.1	Do you apply markings such as tax stamps, fiscal marks, banderols or any other type of marking on units of tobacco packaging for cigarettes? 1 Yes 2 No	Reference: Article 8.3
	If yes to applying any markings:	
	6.1.1.2 Do the markings on units of tobacco packaging for cigarettes contain any type of security feature <u>THAT CAN</u> be verified by the naked eye, such as colour changing inks, holograms, latent images, watermarks and security threads? 1 Yes 2 No	Reference: Article 8.3

Question number	Question		Instructions
	If yes to markings containing any type of security feature that can be verified by the naked eye:		
		6.1.1.3 Are these markings (<u>THAT CAN</u> be verified by the naked eye) on units of tobacco packaging for cigarettes visible/readable to enforcement authorities outside your jurisdiction? 1 Yes 2 No	Reference: Article 8.3
	6.1.1.4	Do the markings on units of tobacco packaging for cigarettes contain any type of security feature <u>THAT CANNOT</u> be verified by the naked eye, but only by using dedicated and specialized electronic readers or doing laboratory analysis? 1 Yes 2 No	Reference: Article 8.3 Examples: invisible ink and taggants or forensic security features
This next section will ask more details about the markings, including <u>THOSE THAT CAN OR CANNOT</u> be verified by the naked eye.			
6.1.2	Do any of the markings that you apply contain a unique identifier <u>FOR EACH</u> unit of tobacco packaging for cigarettes <u>THAT MAKES THE INDIVIDUAL ITEM DISTINCT AND DISTINGUISHABLE FROM ANY OTHER ITEM?</u> Please select the most appropriate answer for each of the below. Yes , the markings contain a unique identifier for each unit No , the markings do not contain a unique identifier for each unit 6.1.2.1 Pack 6.1.2.2 Carton 6.1.2.3 Master case 6.1.2.4 Pallet		Reference: Article 8.3 Unique identifier refers to one unique identifier for each stamp or code. Examples of a unit of tobacco packaging for cigarettes could be a pack, carton, master case or pallet.
	If yes to having a unique identifier on the pack, carton, master case, or pallet:		

Question number	Question	Instructions
	<p>6.1.2.5</p> <p>Is there a parent-child relationship (aggregation links) between the unique identification markings on unit packs, cartons, master cases and pallets?</p> <p>1 Yes</p> <p>2 No</p>	Reference: Article 8.4.1
	<p>6.1.3</p> <p>Can competent authorities <u>IN YOUR COUNTRY</u> get the following information contained in the unique identification markings on each unit of tobacco packaging for cigarettes?</p> <p>Please choose the most appropriate response for each of the below: yes, no</p> <p>6.1.3.1 Date of manufacture</p> <p>6.1.3.2 Location of manufacture</p> <p>6.1.3.3 Manufacturing facility</p> <p>6.1.3.4 Machine used to manufacture tobacco products</p> <p>6.1.3.5 Production shift or time of manufacture</p> <p>6.1.3.6 The name, invoice, order number and payment records of the first customer who is not affiliated with the manufacturer</p> <p>6.1.3.7 The intended market of retail sale</p> <p>6.1.3.8 Product description</p> <p>6.1.3.9 Any warehousing and shipping</p> <p>6.1.3.10 The identity of any known subsequent purchaser</p> <p>6.1.3.11 The intended shipment route, the shipment date, shipment destination, point of departure and consignee</p> <p>6.1.3.12 The identity of the individual or corporate entity to whom the products are being shipped</p> <p>6.1.3.13 The mode of transportation</p> <p>6.1.3.14 The identity of the transporter</p>	Reference: Article 8.4.1 and Article 9.3
	If yes to competent authorities getting any information from the markings:	

Question number	Question		Instructions
	6.1.4	<p>Please specify which authorities <u>IN YOUR COUNTRY</u> have access to the information contained in the unique identification markings on units of tobacco packaging for cigarettes?: yes, no</p> <p>6.1.4.1 Customs 6.1.4.2 Finance 6.1.4.3 Revenue or tax authority 6.1.4.4 Border control 6.1.4.5 Police inspectors 6.1.4.6 Health 6.1.4.7 Others</p>	Reference: Article 8.4.a, Article 8.6 and Article 8.7
	6.1.5	<p>At what point is the information contained in the unique identification markings on units of tobacco packaging for cigarettes recorded in your country?</p> <p>Please choose the most appropriate response for each of the below: yes, no</p> <p>6.1.5.1 At the time of manufacture of products for sale in the jurisdiction 6.1.5.2 At the time of manufacture of products intended for export 6.1.5.3 At the time of first shipment 6.1.5.4 At the time of import into territory</p>	Reference: Article 8.5
	6.1.6	<p>Can authorities <u>OUTSIDE YOUR COUNTRY</u> get the following information contained in the unique identification markings on units of tobacco packaging for cigarettes?</p> <p>Please choose the most appropriate response for each of the below: yes, no</p> <p>6.1.6.1 Date of manufacture 6.1.6.2 Location of manufacture</p>	Reference: Article 8.4.1 and Article 9.3

Question number	Question	Instructions
	6.1.6.3 Manufacturing facility 6.1.6.4 Machine used to manufacture tobacco products 6.1.6.5 Production shift or time of manufacture 6.1.6.6 The name, invoice, order number and payment records of the first customer who is not affiliated with the manufacturer 6.1.6.7 The intended market of retail sale 6.1.6.8 Product description 6.1.6.9 Any warehousing and shipping 6.1.6.10 The identity of any known subsequent purchaser 6.1.6.11 The intended shipment route, the shipment date, shipment destination, point of departure and consignee 6.1.6.12 The identity of the individual or corporate entity to whom the products are being shipped 6.1.6.13 The mode of transportation 6.1.6.14 The identity of the transporter	
	6.1.7 Is the information that is contained in the unique identification markings on units of tobacco packaging for cigarettes <u>MADE AVAILABLE FOR SHARING</u> with other Parties to the Protocol? Please choose the most appropriate response for each of the below: yes, no 6.1.7.1 Date of manufacture 6.1.7.2 Location of manufacture 6.1.7.3 Manufacturing facility 6.1.7.4 Machine used to manufacture tobacco products 6.1.7.5 Production shift or time of manufacture 6.1.7.6 The name, invoice, order number and payment records of the first customer who is not affiliated with the manufacturer 6.1.7.7 The intended market of retail sale 6.1.7.8 Product description 6.1.7.9 Any warehousing and shipping 6.1.7.10 The identity of any known subsequent purchaser	Reference: Article 8.8, Article 8.9 and Article 9.3

Question number	Question		Instructions
		6.1.7.11 The intended shipment route, the shipment date, shipment destination, point of departure and consignee 6.1.7.12 The identity of the individual or corporate entity to whom the products are being shipped 6.1.7.13 The mode of transportation 6.1.7.14 The identity of the transporter	
		6.1.8.1 If yes to any information is available for sharing: Have you shared information that is contained in the unique identification markings on units of tobacco packaging with other Parties to the WHO FCTC? 1 Yes 2 No	Reference: Article 8.8 and Article 8.9
	6.1.8.2	Are your unique identification markings on units of tobacco packaging for cigarettes tamper-proof or non-removable? 1 Yes 2 No	Reference: Article 8.3
	If yes to unique markings being tamper-proof or non-removable and having a unique identifier on the pack, carton, master case or pallet:		
		6.1.9 How are the unique identification markings applied to units of tobacco packaging for cigarettes in your country? Please choose the most appropriate response to each of the below: yes, no 6.1.9.1 Markings form part of the packaging (e.g., direct printing) 6.1.9.2 Markings are applied to the packaging (e.g., sticker) 6.1.9.3 Other (please specify)	Reference: Article 8.3
	Application of unique markings to units of tobacco packaging		

Question number	Question	Instructions
	If yes to applying any markings [at Q 6.1.1.1]:	Respond to this section only when replying "Yes" to question 6.1.1.1.
6.2.1.1	Are the markings applied to units of tobacco packaging for cigarettes that are <u>MANUFACTURED</u> in your country? 1 Yes 2 No	Reference: Article 8.3
6.2.1.2	Are the markings applied to units of tobacco packaging for cigarettes that are <u>IMPORTED INTO</u> your country? 1 Yes 2 No	Reference: Article 8.3
	If they apply markings to products imported into their country:	
	6.2.2 When are markings applied to <u>IMPORTED</u> units of tobacco packaging for cigarettes? Please choose the most appropriate response for each of the below: yes, no 6.2.2.1 At the time of importation 6.2.2.2 At the time of manufacture 6.2.2.3 Other (please specify)	Reference: Article 8.3
6.2.3.1	Are markings applied to units of tobacco packaging for cigarettes <u>EXPORTED OUT</u> of your country? 1 Yes 2 No	Reference: Article 8.3
Financing of supply chain control measures		

Question number	Question	Instructions
6.3.1	<p>How are supply chain control measures (e.g., licensing, applying unique identification markings, monitoring routes or movement of products) financed in your country?</p> <p>Please choose the most appropriate response for each of the below: yes, no</p> <p>6.3.1.1 Tobacco manufacturer funded through the sale of compulsory tax stamps</p> <p>6.3.1.2 Licensing fees from actors in the tobacco supply chain</p> <p>6.3.1.3 Other methods of tobacco industry funding</p> <p>6.3.1.4 Other (please specify)</p>	Reference: Article 8.14
	Involvement of the tobacco industry in supply chain control measures	
6.4.1	<p>Is the tobacco industry involved in any of the following aspects of implementing supply chain control measures in your country?</p> <p>Please choose the most appropriate response for each of the below: yes, no</p> <p>6.4.1.1 Generation of unique identification markings</p> <p>6.4.1.2 Supply of software to generate unique identification markings</p> <p>6.4.1.3 Other tobacco industry involvement (please specify)</p>	Reference: Article 8.12
	Measures to prevent the tobacco industry from accessing information	
	If yes to having a unique identifier on the pack, carton, master case, or pallet [at Q 6.1.2]:	
6.5.1	<p>Do you have measures to prevent the tobacco industry or any other commercial entity with vested interests from accessing and using information from the unique identification markings that is recorded, accessible or shared by competent authorities?</p> <p>1 Yes</p> <p>2 No</p>	<p>Reference: Article 8.13</p> <p>Respond to this section only when replying "Yes" to question 6.1.2.</p>

Question number	Question	Instructions
	Requirements for other tobacco products	
6.6.1	<p>Are other tobacco products covered by exactly the same requirements as cigarettes (as reported above under Article 8 on Tracking and Tracing)?</p> <p>Please choose the most appropriate response for each of the below: yes, no</p> <p>6.6.1.1 Rolling tobacco for cigarettes 6.6.1.2 Waterpipe tobacco 6.6.1.3 Heated tobacco products 6.6.1.4 Cigarillos or small cigars 6.6.1.5 Cigars 6.6.1.6 Other smoked tobacco products 6.6.1.7 Smokeless tobacco 6.6.1.8 Other tobacco products (please specify)</p>	Reference: Article 8
	Laws and/or measures on Article 8	
6.7.1	<p>If available, please upload or provide a link to the applicable laws and/or measures establishing your tracking and tracing system:</p> <p>6.7.1.1 <i>File upload.</i> 6.7.1.2 <i>Weblink URL:</i> 6.7.1.3 <i>Not available.</i></p>	<p>Reference: Article 8</p> <p>For multiple files, please upload a compressed/Zip folder.</p>
	Global information-sharing focal point	
6.8.1.1	<p>Have you used, in the past two years, the global information-sharing focal point operated by the Convention Secretariat?</p> <p>1 Yes 2 No</p>	<p>Reference: Article 8.1, Article 8.8 and Article 8.9</p> <p>Selecting “yes” refers to making enquiries and/or receiving relevant information in the global information-sharing focal point.</p>

Question number	Question		Instructions
	If yes to having used the global information-sharing focal point:		
	6.8.1.2	<p>Please provide any suggestions for the improvement of the global information-sharing focal point.</p> <p><i>[Free-text answer]</i></p>	Reference: Article 8.1, Article 8.8 and Article 8.9
	If no to having used the global information-sharing focal point:		
	6.8.1.3	Please share details on the reasons why you have not used the global information-sharing focal point.	Reference: Article 8.1, Article 8.8 and Article 8.9
Article 9: Record-keeping			
	Record-keeping		
7.1.1.1	<p>Are all individuals and corporate entities engaged in the supply chain of tobacco, tobacco products and tobacco manufacturing equipment required to:</p> <p>Maintain complete and accurate records of all relevant transactions?</p> <p>1 Yes 2 No</p>		Reference: Article 9.1
7.1.1.2	<p>Are all individuals and corporate entities subject to a licensing system required to provide on request information to authorities on:</p> <p>Market volumes, trends, forecasts and other relevant information?</p> <p>1 Yes 2 No</p>		Reference: Article 9.2.a

Question number	Question	Instructions
Article 10: Security and preventive measures		
	Security and preventive measures	
8.1.1.1	<p>Are all persons subject to licensing required to take measures to prevent the diversion of tobacco products into illicit trade by reporting to the competent authorities the cross-border transfer of cash in amounts stipulated in national law or of cross-border payments in kind?</p> <p>1 Yes 2 No</p>	Reference: Article 10.1.a(i)
8.1.1.2	<p>Are all persons subject to licensing required to take measures to prevent the diversion of tobacco products into illicit trade by reporting to the competent authorities “suspicious transactions”?</p> <p>1 Yes 2 No</p>	Reference: Article 10.1.a(ii)
8.1.1.3	<p>Are all persons subject to licensing required to take measures to prevent the diversion of tobacco products into illicit trade by supplying tobacco products or manufacturing equipment only in amounts commensurate with the demand within the intended market of retail sale or use?</p> <p>1 Yes 2 No</p>	Reference: Article 10.1.b
Article 11: Sale by Internet, telecommunication, or any other evolving technology		
	Sale by Internet, telecommunication, or any other evolving technology	
9.1.1.1	<p>Do you ban all tobacco product <u>RETAIL SALES</u> by Internet-, telecommunication-, or any other evolving technology-based modes of sale?</p>	Reference: Article 11.2

Question number	Question		Instructions
	1 Yes 2 No		
9.1.1.2	Do all tobacco sales by Internet-, telecommunication-, or any other evolving technology-based modes of sales have to comply with all relevant obligations covered by this Protocol? 1 Yes 2 No		Reference: Article 11.1
Article 12: Free zones and international transit			
	Free zones		
10.1.1.1	Is there a free zone in your territory? 1 Yes 2 No		Reference: Article 12
	If yes to having a free zone in their territory:		
	10.1.1.2	Do you allow manufacturing of, and transactions in, tobacco and tobacco products in free zones? 1 Yes 2 No	Reference: Article 12.1
		If yes, to allowing manufacturing of, and transactions in, tobacco and tobacco products in free zones:	
		10.1.2 Do you apply the following supply chain controls on all manufacturing of, and transactions in, tobacco and tobacco products in free zones?	Reference: Article 12.1

Question number	Question		Instructions
		<p>Please choose the most appropriate response for each of the below: yes, no</p> <p>10.1.2.1 Licensing system 10.1.2.2 Due diligence 10.1.2.3 Application of markings on units of tobacco packaging (e.g., stamps or codes with unique identifiers) 10.1.2.4 Record-keeping 10.1.2.5 Other (please specify)</p>	
		<p>10.1.3.1 Do you ban intermingling of tobacco products with non-tobacco products in a single container or similar transportation unit when removing them from free zones?</p> <p>1 Yes 2 No</p>	Reference: Article 12.2
International transit			
	If yes OR no to having a free zone in their territory:		
10.2.1.1	<p>Do you allow the international transit or transshipment of tobacco products and manufacturing equipment within your territory?</p> <p>1. Yes 2. No</p>		Reference: Article 12.3
	If yes to allowing the international transit or transshipment of tobacco products and manufacturing equipment within your territory:		
	10.2.1.2	Do you apply supply chain control and verification measures (such as licensing, application of markings on units of tobacco packaging, etc.) to the transit or transshipment of tobacco products and manufacturing equipment within your territory?	Reference: Article 12.3

Question number	Question		Instructions
		1 Yes 2 No	
	If yes to applying supply chain control and verification measures to the transit or transshipment of tobacco products and manufacturing equipment within your territory:		
	10.2.2	Do you apply the following supply chain control and verification measures to the transit or transshipment of tobacco products and manufacturing equipment within your territory? Please choose the most appropriate response for each of the below: yes, no 10.2.2.1 Licensing system 10.2.2.2 Due diligence 10.2.2.3 Application of markings on units of tobacco packaging (e.g., stamps or codes with unique identifiers) 10.2.2.4 Record-keeping 10.2.2.5 Other (please specify)	Reference: Article 12.3
Article 13: Duty free sales			
	Duty free sales		
11.1.1.1	Are the sales of duty free tobacco products allowed in stores in your country? 1 Yes 2 No		Reference: Article 13.1
	If yes to duty free sales of tobacco products are allowed:		
	11.1.1.2	Are the sales of duty free tobacco products subject to <u>ALL</u> relevant measures of this Protocol?	Reference: Article 13.1

Question number	Question	Instructions
	1 Yes 2 No	
	11.1.1.3 Are markings applied (e.g., stamps or codes with unique identifiers) to the units of tobacco packaging for cigarettes that are sold duty free? 1 Yes 2 No	Reference: Article 13.1
Article 14: Unlawful conduct including criminal offences; Article 15: Liability of legal persons; Article 16: Prosecutions and sanctions; Article 26: Jurisdiction		
	Non-payment of taxes and other levies	
12.1.1.1	Under your country's legal framework, is the non-payment of applicable duties, taxes and other levies at any stage in the supply chain considered to be: Please choose the most appropriate response: 1 Not unlawful conduct 2 Unlawful conduct but NOT a crime 3 Unlawful conduct that constitutes a crime	Reference: Article 14.1.b(i)
	If it is considered to be unlawful conduct <u>but NOT a crime</u>:	
	12.1.2 Have you established any of the following sanctions for the non-payment of applicable duties, taxes and other levies at any stage in the supply chain? Please choose the most appropriate response for each of the below: yes, no 12.1.2.1 Freezing or seizure of property 12.1.2.2 Confiscation of property	Reference: Article 14.1.b(i) and Article 16

Question number	Question	Instructions
	12.1.2.3 Fines 12.1.2.4 Other administrative penalties (e.g. suspension or revocation of licence)	
	If it is considered to be <u>unlawful conduct that constitutes a crime</u>:	
	12.1.3 Have you established any of the following sanctions for the non-payment of applicable duties, taxes and other levies at any stage in the supply chain? Please choose the most appropriate response for each of the below: yes, no 12.1.3.1 Punishable by imprisonment of less than 4 years 12.1.3.2 Punishable by imprisonment of 4 years or more 12.1.3.3 Freezing or seizure of property 12.1.3.4 Confiscation of property 12.1.3.5 Fines 12.1.3.6 Other administrative penalties (e.g. suspension or revocation of licence)	Reference: Article 14.1.b(i) and Article 16
	If it is <u>considered to be unlawful conduct</u> whether or not it constitutes a crime:	
	12.1.4 Do you have measures to establish the liability of the following for non-payment of applicable duties, taxes and other levies at any stage in the supply chain? Please choose the most appropriate response for each of the below: yes, no 12.1.4.1 Individuals 12.1.4.2 Corporate entities	Reference: Article 14.1.b(i) and Article 15
	12.1.5.1 Please cite the applicable laws and/or other measures for the non-payment of applicable duties, taxes and other levies at any stage in the supply chain: <i>[Free-text answer]</i>	Reference: Article 14.1.b(i) and Article 14.3

Question number	Question	Instructions
	<p>12.1.6 Please upload a copy of the applicable laws and/or measures for the non-payment of applicable duties, taxes and other levies at any stage in the supply chain.</p> <p><i>12.1.6.1 File upload.</i> <i>12.1.6.2 Weblink URL.</i></p>	<p>Reference: Article 14.1.b(i) and Article 14.3</p> <p>For multiple files, please upload a compressed/Zip folder.</p>
	Failure to apply fiscal stamps or other markings	
12.2.1.1	<p>Under your country's legal framework, is failure to apply applicable fiscal stamps, unique identification markings, or any other required markings or labels on tobacco, tobacco products or manufacturing equipment at any stage in the supply chain considered to be:</p> <p>1 Not unlawful conduct 2 Unlawful conduct but NOT a crime 3 Unlawful conduct that constitutes a crime</p>	<p>Reference: Article 14.1.b(i)</p> <p>Please choose the most appropriate response for each of the below.</p>
	If it is considered to be unlawful conduct <u>but NOT a crime</u>:	
	<p>12.2.2 Have you established any of the following sanctions for the failure to apply applicable fiscal stamps, unique identification markings, or any other required markings or labels on tobacco, tobacco products or manufacturing equipment at any stage in the supply chain?</p> <p>12.2.2.1 Freezing or seizure of property 12.2.2.2 Confiscation of property 12.2.2.3 Fines 12.2.2.4 Other administrative penalties (e.g. suspension or revocation of licence)</p>	<p>Reference: Article 14.1.b(i) and Article 16</p> <p>Please choose the most appropriate response for each of the below.</p>
	If it is considered to be <u>unlawful conduct that constitutes a crime</u>:	
	<p>12.2.3 Have you established any of the following sanctions for the failure to apply applicable fiscal stamps, unique identification markings, or any other</p>	Reference: Article 14.1.b(i) and Article 16

Question number	Question	Instructions
	<p>required markings or labels on tobacco, tobacco products or manufacturing equipment at any stage in the supply chain?</p> <p>12.2.3.1 Punishable by imprisonment of less than 4 years 12.2.3.2 Punishable by imprisonment of 4 years or more 12.2.3.3 Freezing or seizure of property 12.2.3.4 Confiscation of property 12.2.3.5 Fines 12.2.3.6 Other administrative penalties (e.g. suspension or revocation of licence)</p>	Please choose the most appropriate response for each of the below.
	If it is <u>considered to be unlawful conduct</u> whether or not it constitutes a crime:	
	<p>12.2.4</p> <p>Do you have measures to establish the liability of the following for the failure to apply applicable fiscal stamps, unique identification markings, or any other required markings or labels on tobacco, tobacco products or manufacturing equipment at any stage in the supply chain?</p> <p>1 Individuals 2 Corporate entities</p>	<p>Reference: Article 14.1.b(i) and Article 15</p> <p>Please choose the most appropriate response for each of the below.</p>
	<p>12.2.5.1</p> <p>Please cite the applicable laws and/or other measures for the [failure to apply] applicable fiscal stamps, unique identification markings, or any other required markings or labels on tobacco, tobacco products or manufacturing equipment at any stage in the supply chain:</p> <p><i>[Free-text answer]</i></p>	Reference: Article 14.1.b(i) and Article 14.3
	<p>12.2.6</p> <p>Please upload a copy of the applicable laws and/or measures for the [failure to apply] applicable fiscal stamps, unique identification markings, or any other required markings or labels on tobacco, tobacco products or manufacturing equipment at any stage in the supply chain.</p>	<p>Reference: Article 14.1.b(i) and Article 14.3</p> <p>For multiple files, please upload a compressed/Zip folder.</p>

Question number	Question	Instructions
	<p>12.2.6.1 File upload.</p> <p>12.2.6.2 Weblink URL:</p>	
	Smuggling	
12.3.1.1	<p>Under your country's legal framework, are any other acts of smuggling or attempted smuggling of tobacco, tobacco products or manufacturing equipment considered to be:</p> <p>Please choose the most appropriate response:</p> <p>1 Not unlawful conduct</p> <p>2 Unlawful conduct but NOT a crime</p> <p>3 Unlawful conduct that constitutes a crime</p>	Reference: Article 14.1.b(ii)
	If it is considered to be unlawful conduct <u>but NOT a crime</u>:	
	<p>12.3.2</p> <p>Have you established any of the following sanctions for any other acts of smuggling or attempted smuggling of tobacco, tobacco products or manufacturing equipment?</p> <p>Please choose the most appropriate response for each of the below: yes, no</p> <p>12.3.2.1 Freezing or seizure of property</p> <p>12.3.2.2 Confiscation of property</p> <p>12.3.2.3 Fines</p> <p>12.3.2.4 Other administrative penalties (e.g. suspension or revocation of licence)</p>	Reference: Article 14.1.b(ii) and Article 16
	If it is considered to be <u>unlawful conduct that constitutes a crime</u>:	
	<p>12.3.3</p> <p>Have you established any of the following sanctions for any other acts of smuggling or attempted smuggling of tobacco, tobacco products or manufacturing equipment?</p>	Reference: Article 14.1.b(ii) and Article 16

Question number	Question	Instructions
	<p>Please choose the most appropriate response for each of the below: yes, no</p> <p>12.3.3.1 Punishable by imprisonment of less than 4 years 12.3.3.2 Punishable by imprisonment of 4 years or more 12.3.3.3 Freezing or seizure of property 12.3.3.4 Confiscation of property 12.3.3.5 Fines 12.3.3.6 Other administrative penalties (e.g. suspension or revocation of licence)</p>	
	If it is <u>considered to be unlawful conduct</u> whether or not it constitutes a crime:	
	<p>12.3.4</p> <p>Do you have measures to establish the liability of the following for any other acts of smuggling or attempted smuggling of tobacco, tobacco products or manufacturing equipment?</p> <p>Please choose the most appropriate response for each of the below: yes, no</p> <p>12.3.4.1 Individuals 12.3.4.2 Corporate entities</p>	Reference: Article 14.1.b(ii) and Article 15
	<p>12.3.5.1</p> <p>Please cite the applicable laws and/or other measures for any other acts of smuggling or attempted smuggling of tobacco, tobacco products or manufacturing equipment:</p> <p><i>[Free-text answer]</i></p>	Reference: Article 14.1.b(ii) and Article 14.3
	<p>12.3.6</p> <p>Please upload a copy of the applicable laws and/or measures for any other acts of smuggling or attempted smuggling of tobacco, tobacco products or manufacturing equipment.</p> <p>12.3.6.1 <i>File upload.</i> 12.3.6.2 <i>Weblink URL:</i></p>	<p>Reference: Article 14.1.b(ii) and Article 14.3</p> <p>For multiple files, please upload a compressed/Zip folder.</p>

Question number	Question		Instructions
	Illicit manufacture of products or packaging bearing false fiscal stamps or other markings		
12.4.1.1	<p>Under your country's legal framework, is the illicit manufacture of tobacco, tobacco products or manufacturing equipment, or tobacco packaging bearing false fiscal stamps, unique identification markings, or any other required markings or labels considered to be:</p> <p>1 Not unlawful conduct 2 Unlawful conduct but NOT a crime 3 Unlawful conduct that constitutes a crime</p>		<p>Reference: Article 14.1.c(i)</p> <p>Please choose the most appropriate response.</p>
	If it is considered to be unlawful conduct <u>but NOT a crime</u>:		
	12.4.2	<p>Have you established any of the following sanctions for the illicit manufacture of tobacco, tobacco products or manufacturing equipment, or tobacco packaging bearing false fiscal stamps, unique identification markings, or any other required markings or labels?</p> <p>12.4.2.1 Freezing or seizure of property 12.4.2.2 Confiscation of property 12.4.2.3 Fines 12.4.2.4 Other administrative penalties (e.g., suspension or revocation of licence)</p>	<p>Reference: Article 14.1.c(i) and Article 16</p> <p>Please choose the most appropriate response for each of the below.</p>
	If it is considered to be <u>unlawful conduct that constitutes a crime</u>:		
	12.4.3	<p>Have you established any of the following sanctions for the illicit manufacture of tobacco, tobacco products or manufacturing equipment, or tobacco packaging bearing false fiscal stamps, unique identification markings, or any other required markings or labels?</p> <p>12.4.3.1 Punishable by imprisonment of less than 4 years 12.4.3.2 Punishable by imprisonment of 4 years or more 12.4.3.3 Freezing or seizure of property 12.4.3.4 Confiscation of property 12.4.3.5 Fines</p>	<p>Reference: Article 14.1.c(i) and Article 16</p> <p>Please choose the most appropriate response for each of the below.</p>

Question number	Question	Instructions
	12.4.3.6 Other administrative penalties (e.g. suspension or revocation of licence)	
	If it is <u>considered to be unlawful conduct</u> whether or not it constitutes a crime:	
	<p>12.4.4 Do you have measures to establish the liability of the following for the illicit manufacture of tobacco, tobacco products or manufacturing equipment, or tobacco packaging bearing false fiscal stamps, unique identification markings, or any other required markings or labels?</p> <p>12.4.4.1 Individuals 12.4.4.2 Corporate entities</p>	<p>Reference: Article 14.1.c(i) and Article 15</p> <p>Please choose the most appropriate response for each of the below.</p>
	<p>12.4.5.1 Please cite the applicable laws and/or other measures for the illicit manufacture of tobacco, tobacco products or manufacturing equipment, or tobacco packaging bearing false fiscal stamps, unique identification markings, or any other required markings or labels:</p> <p><i>[Free-text answer]</i></p>	Reference: Article 14.1.c(i) and Article 14.3
	<p>12.4.6 Please upload a copy of the applicable laws and/or measures for the illicit manufacture of tobacco, tobacco products or manufacturing equipment, or tobacco packaging bearing false fiscal stamps, unique identification markings, or any other required markings or labels.</p> <p>12.4.6.1 <i>File upload.</i> 12.4.6.2 <i>Weblink URL:</i></p>	<p>Reference: Article 14.1.c(i) and Article 14.3</p> <p>For multiple files, please upload a compressed/Zip folder.</p>
	Use of false fiscal stamps or other markings or illicit manufacturing equipment	
12.5.1.1	Under your country's legal framework, is the use of false fiscal stamps and/or other required markings or labels, or illicit manufacturing equipment at any stage in the supply chain considered to be:	Reference: Article 14.1.c(ii)

Question number	Question		Instructions
	Please choose the most appropriate response: 1 Not unlawful conduct 2 Unlawful conduct but NOT a crime 3 Unlawful conduct that constitutes a crime		
	If it is considered to be unlawful conduct <u>but NOT a crime</u>:		
	12.5.2	Have you established any of the following sanctions for the use of false fiscal stamps and/or other required markings or labels, or illicit manufacturing equipment at any stage in the supply chain? Please choose the most appropriate response for each of the below: yes, no 12.5.2.1 Freezing or seizure of property 12.5.2.2 Confiscation of property 12.5.2.3 Fines 12.5.2.4 Other administrative penalties (e.g. suspension or revocation of licence)	Reference: Article 14.1.c(ii) and Article 16
	If it is considered to be <u>unlawful conduct that constitutes a crime</u>:		
	12.5.3	Have you established any of the following sanctions for the use of false fiscal stamps and/or other required markings or labels, or illicit manufacturing equipment at any stage in the supply chain? Please choose the most appropriate response for each of the below: yes, no 12.5.3.1 Punishable by imprisonment of less than 4 years 12.5.3.2 Punishable by imprisonment of 4 years or more 12.5.3.3 Freezing or seizure of property 12.5.3.4 Confiscation of property 12.5.3.5 Fines	Reference: Article 14.1.c(ii) and Article 16

Question number	Question		Instructions
		12.5.3.6 Other administrative penalties (e.g. suspension or revocation of licence)	
	If it is <u>considered to be unlawful conduct</u> whether or not it constitutes a crime:		
	12.5.4	<p>Do you have measures to establish the liability of the following for the use of false fiscal stamps and/or other required markings or labels, or illicit manufacturing equipment at any stage in the supply chain?</p> <p>Please choose the most appropriate response for each of the below: yes, no</p> <p>12.5.4.1 Individuals 12.5.4.2 Corporate entities</p>	Reference: Article 14.1.c(ii) and Article 15
	12.5.5.1	<p>Please cite the applicable laws and/or other measures for the use of false fiscal stamps and/or other required markings or labels, or illicit manufacturing equipment at any stage in the supply chain:</p> <p><i>[Free-text answer]</i></p>	Reference: Article 14.1.c(ii) and Article 14.3
	12.5.6	<p>Please upload a copy of the applicable laws and/or measures for the use of false fiscal stamps and/or other required markings or labels, or illicit manufacturing equipment at any stage in the supply chain.</p> <p>12.5.6.1 <i>File upload.</i> 12.5.6.2 <i>Weblink URL:</i></p>	<p>Reference: Article 14.1.c(ii) and Article 14.3</p> <p>For multiple files, please upload a compressed/Zip folder.</p>
	Mixing tobacco products with non-tobacco products		
12.6.1.1	<p>Under your country's legal framework, is mixing of tobacco products with non-tobacco products through the supply chain in order to conceal or disguise tobacco products considered to be:</p> <p>Please choose the most appropriate response:</p>		Reference: Article 14.1.d

Question number	Question		Instructions
	1 Not unlawful conduct 2 Unlawful conduct but NOT a crime 3 Unlawful conduct that constitutes a crime		
	If it is considered to be unlawful conduct <u>but NOT a crime</u>:		
	12.6.2	<p>Have you established any of the following sanctions for the mixing of tobacco products with non-tobacco products through the supply chain in order to conceal or disguise tobacco products?</p> <p>Please choose the most appropriate response for each of the below: yes, no</p> <p>12.6.2.1 Freezing or seizure of property 12.6.2.2 Confiscation of property 12.6.2.3 Fines 12.6.2.4 Other administrative penalties (e.g. suspension or revocation of licence)</p>	Reference: Article 14.1.d and Article 16
	If it is considered to be <u>unlawful conduct that constitutes a crime</u>:		
	12.6.3	<p>Have you established any of the following sanctions for the mixing of tobacco products with non-tobacco products through the supply chain in order to conceal or disguise tobacco products?</p> <p>Please choose the most appropriate response for each of the below: yes, no</p> <p>12.6.3.1 Punishable by imprisonment of less than 4 years 12.6.3.2 Punishable by imprisonment of 4 years or more 12.6.3.3 Freezing or seizure of property 12.6.3.4 Confiscation of property 12.6.3.5 Fines 12.6.3.6 Other administrative penalties (e.g. suspension or revocation of licence)</p>	Reference: Article 14.1.d and Article 16

Question number	Question		Instructions
	If it is <u>considered to be unlawful conduct</u> whether or not it constitutes a crime:		
	12.6.4	<p>Do you have measures to establish the liability of the following for mixing of tobacco products with non-tobacco products through the supply chain in order to conceal or disguise tobacco products?</p> <p>Please choose the most appropriate response for each of the below: yes, no</p> <p>12.6.4.1 Individuals</p> <p>12.6.4.2 Corporate entities</p>	Reference: Article 14.1.d. and Article 15
	12.6.5.1	<p>Please cite the applicable laws and/or other measures for the mixing of tobacco products with non-tobacco products through the supply chain in order to conceal or disguise tobacco products:</p> <p><i>[Free-text answer]</i></p>	Reference: Article 14.1.d and Article 14.3
	12.6.6	<p>Please upload a copy of the applicable laws and/or measures for the mixing of tobacco products with non-tobacco products through the supply chain, in order to conceal or disguise tobacco products.</p> <p><i>12.6.6.1 File upload.</i></p> <p><i>12.6.6.2 Weblink URL:</i></p>	<p>Reference: Article 14.1.d and Article 14.3</p> <p>For multiple files, please upload a compressed/Zip folder.</p>
	Intermingling at the time of removal from free zones		
12.7.1.1	<p>Under your country's legal framework, is intermingling of tobacco products with non-tobacco products in a single container at the time of removal from free zones considered to be:</p> <p>Please choose the most appropriate response:</p>		Reference: Article 14.1.e

Question number	Question	Instructions
	1 Not unlawful conduct 2 Unlawful conduct but NOT a crime 3 Unlawful conduct that constitutes a crime 4 No free zone in your territory	
	If it is considered to be unlawful conduct <u>but NOT a crime</u>:	
	12.7.2 Have you established any of the following sanctions for the intermingling of tobacco products with non-tobacco products in a single container at the time of removal from free zones? Please choose the most appropriate response for each of the below: yes, no 12.7.2.1 Freezing or seizure of property 12.7.2.2 Confiscation of property 12.7.2.3 Fines 12.7.2.4 Other administrative penalties (e.g. suspension or revocation of licence)	Reference: Article 14.1.e and Article 16
	If it is considered to be <u>unlawful conduct that constitutes a crime</u>	
	12.7.3 Have you established any of the following sanctions for intermingling of tobacco products with non-tobacco products in a single container at the time of removal from free zones? Please choose the most appropriate response for each of the below: yes, no 12.7.3.1 Punishable by imprisonment of less than 4 years 12.7.3.2 Punishable by imprisonment of 4 years or more 12.7.3.3 Freezing or seizure of property 12.7.3.4 Confiscation of property 12.7.3.5 Fines 12.7.3.6 Other administrative penalties (e.g. suspension or revocation of licence)	Reference: Article 14.1.e and Article 16

Question number	Question		Instructions
	If it is <u>considered to be unlawful conduct</u> whether or not it constitutes a crime:		
	12.7.4	<p>Do you have measures to establish the liability of the following for intermingling of tobacco products with non-tobacco products in a single container at the time of removal from free zones?</p> <p>Please choose the most appropriate response for each of the below: yes, no</p> <p>12.7.4.1 Individuals 12.7.4.2 Corporate entities</p>	Reference: Article 14.1.e and Article 15
	12.7.5.1	<p>Please cite the applicable laws and/or other measures for intermingling of tobacco products with non-tobacco products in a single container at the time of removal from free zones:</p> <p><i>[Free-text answer]</i></p>	Reference: Article 14.1.e and Article 14.3
	12.7.6	<p>Please upload a copy of the applicable laws and/or measures for intermingling of tobacco products with non-tobacco products in a single container at the time of removal from free zones.</p> <p>12.7.6.1 <i>File upload.</i> 12.7.6.2 <i>Weblink URL:</i></p>	<p>Reference: Article 14.1.e and Article 14.3</p> <p>For multiple files, please upload a compressed/Zip folder.</p>
	Retail sale through Internet, telecommunication, or other evolving technology		
12.8.1.1	<p>Under your country's legal framework, is the retail sale of tobacco products through the use of Internet-, telecommunication- or any other evolving technology-based modes of sale considered to be:</p> <p>Please choose the most appropriate response:</p>		Reference: Article 14.1.f

Question number	Question	Instructions
	1 Not unlawful conduct 2 Unlawful conduct but NOT a crime 3 Unlawful conduct that constitutes a crime	
	If it is considered to be unlawful conduct <u>but NOT a crime</u>:	
	12.8.2 Have you established any of the following sanctions for the retail sale of tobacco products through the use of Internet-, telecommunication- or any other evolving technology-based modes of sale? Please choose the most appropriate response for each of the below: yes, no 12.8.2.1 Freezing or seizure of property 12.8.2.2 Confiscation of property 12.8.2.3 Fines 12.8.2.4 Other administrative penalties (e.g. suspension or revocation of licence)	Reference: Article 14.1.f and Article 16
	If it is considered to be <u>unlawful conduct that constitutes a crime</u>:	
	12.8.3 Have you established any of the following sanctions for the retail sale of tobacco products through the use of Internet-, telecommunication- or any other evolving technology-based modes of sale? Please choose the most appropriate response for each of the below: yes, no 12.8.3.1 Punishable by imprisonment of less than 4 years 12.8.3.2 Punishable by imprisonment of 4 years or more 12.8.3.3 Freezing or seizure of property 12.8.3.4 Confiscation of property 12.8.3.5 Fines 12.8.3.6 Other administrative penalties (e.g. suspension or revocation of licence)	Reference: Article 14.1.f and Article 16
	If it is <u>considered to be unlawful conduct</u> whether or not it constitutes a crime:	

Question number	Question	Instructions
	<p>12.8.4</p> <p>Do you have measures to establish the liability of the following for the retail sale of tobacco products through the use of Internet-, telecommunication- or any other evolving technology-based modes of sale?</p> <p>Please choose the most appropriate response for each of the below: yes, no</p> <p>12.8.4.1 Individuals 12.8.4.2 Corporate entities</p>	Reference: Article 14.1.f and Article 15
	<p>12.8.5.1</p> <p>Please cite the applicable laws and/or other measures for the retail sale of tobacco products through the use of Internet-, telecommunication- or any other evolving technology-based modes of sale:</p> <p><i>[Free-text answer]</i></p>	Reference: Article 14.1.f and Article 14.3
	<p>12.8.6</p> <p>Please upload a copy of the applicable laws and/or measures for the retail sale of tobacco products through the use of Internet-, telecommunication- or any other evolving technology-based modes of sale.</p> <p>12.8.6.1 <i>File upload.</i> 12.8.6.2 <i>Weblink URL:</i></p>	<p>Reference: Article 14.1.f and Article 14.3</p> <p>For multiple files, please upload a compressed/Zip folder.</p>
Obtaining products from a person who is not licensed		
12.9.1.1	<p>Under your country's legal framework, is obtaining, by a person licensed in accordance with Article 6, tobacco, tobacco products or manufacturing equipment from a person who should be, but is not, licensed considered to be:</p> <p>Please choose the most appropriate response:</p> <p>1 Not unlawful conduct</p>	Reference: Article 14.1.g

Question number	Question		Instructions
	2	Unlawful conduct but NOT a crime	
	3	Unlawful conduct that constitutes a crime	
	If it is considered to be unlawful conduct <u>but NOT a crime</u>:		
	12.9.2	<p>Have you established any of the following sanctions for obtaining, by a person licensed in accordance with Article 6, tobacco, tobacco products or manufacturing equipment from a person who should be, but is not, licensed?</p> <p>Please choose the most appropriate response for each of the below: yes, no</p> <p>12.9.2.1 Freezing or seizure of property 12.9.2.2 Confiscation of property 12.9.2.3 Fines 12.9.2.4 Other administrative penalties (e.g. suspension or revocation of licence)</p>	Reference: Article 14.1.g and Article 16
	If it is considered to be <u>unlawful conduct that constitutes a crime</u>:		
	12.9.3	<p>Have you established any of the following sanctions for obtaining, by a person licensed in accordance with Article 6, tobacco, tobacco products or manufacturing equipment from a person who should be, but is not, licensed?</p> <p>Please choose the most appropriate response for each of the below: yes, no</p> <p>12.9.3.1 Punishable by imprisonment of less than 4 years 12.9.3.2 Punishable by imprisonment of 4 years or more 12.9.3.3 Freezing or seizure of property 12.9.3.4 Confiscation of property 12.9.3.5 Fines 12.9.3.6 Other administrative penalties (e.g. suspension or revocation of licence)</p>	Reference: Article 14.1.g and Article 16
	If it is <u>considered to be unlawful conduct</u> whether or not it constitutes a crime:		

Question number	Question	Instructions
	<p>12.9.4</p> <p>Do you have measures to establish the liability of the following for obtaining, by a person licensed in accordance with Article 6, tobacco, tobacco products or manufacturing equipment from a person who should be, but is not, licensed?</p> <p>12.9.4.1 Individuals 12.9.4.2 Corporate entities</p>	<p>Reference: Article 14.1.g and Article 15</p> <p>Please choose the most appropriate response for each of the below.</p>
	<p>12.9.5.1</p> <p>Please cite the applicable laws and/or other measures for obtaining, by a person licensed in accordance with Article 6, tobacco, tobacco products or manufacturing equipment from a person who should be, but is not, licensed:</p> <p><i>[Free-text answer]</i></p>	<p>Reference: Article 14.1.g and Article 14.3</p>
	<p>12.9.6</p> <p>Please upload a copy of the applicable laws and/or measures for obtaining, by a person licensed in accordance with Article 6, tobacco, tobacco products or manufacturing equipment from a person who should be, but is not, licensed.</p> <p>12.9.6.1 File upload (allow multiple). 12.9.6.2 Weblink URL:</p>	<p>Reference: Article 14.1.g and Article 14.3</p> <p>For multiple files, please upload a compressed/Zip folder.</p>
	Obstruction of justice	
12.10.1.1	<p>Under your country's legal framework, is obstructing any public officer or an authorized officer in the performance of duties relating to the elimination of illicit trade in tobacco, tobacco products or manufacturing equipment considered to be:</p> <p>Please choose the most appropriate response:</p> <p>1 Not unlawful conduct 2 Unlawful conduct but NOT a crime</p>	<p>Reference: Article 14.1.h</p>

Question number	Question		Instructions
	3 Unlawful conduct that constitutes a crime		
	If it is considered to be unlawful conduct <u>but NOT a crime</u>:		
	12.10.2	<p>Have you established any of the following sanctions for obstructing any public officer or an authorized officer in the performance of duties relating to the elimination of illicit trade in tobacco, tobacco products or manufacturing equipment?</p> <p>12.10.2.1 Freezing or seizure of property 12.10.2.2 Confiscation of property 12.10.2.3 Fines 12.10.2.4 Other administrative penalties (e.g. suspension or revocation of licence)</p>	<p>Reference: Article 14.1.h and Article 16</p> <p>Please choose the most appropriate response for each of the below.</p>
	If it is considered to be <u>unlawful conduct that constitutes a crime</u>:		
	12.10.3	<p>Have you established any of the following sanctions for obstructing any public officer or an authorized officer in the performance of duties relating to the elimination of illicit trade in tobacco, tobacco products or manufacturing equipment?</p> <p>12.10.3.1 Punishable by imprisonment of less than 4 years 12.10.3.2 Punishable by imprisonment of 4 years or more 12.10.3.3 Freezing or seizure of property 12.10.3.4 Confiscation of property 12.10.3.5 Fines 12.10.3.6 Other administrative penalties (e.g. suspension or revocation of licence)</p>	<p>Reference: Article 14.1.h and Article 16</p> <p>Please choose the most appropriate response for each of the below.</p>
	If it is <u>considered to be unlawful conduct</u> whether or not it constitutes a crime:		
	12.10.4	<p>Do you have measures to establish the liability of the following for obstructing any public officer or an authorized officer in the performance of duties relating to the elimination of illicit trade in tobacco, tobacco products or manufacturing equipment?</p>	<p>Reference: Article 14.1.h and Article 15</p> <p>Please choose the most appropriate response for each of the below.</p>

Question number	Question	Instructions
	12.10.4.1 Individuals 12.10.4.2 Corporate entities	
	12.10.5.1 Please cite the applicable laws and/or other measures for obstructing any public officer or an authorized officer in the performance of duties relating to the elimination of illicit trade in tobacco, tobacco products or manufacturing equipment: <i>[Free-text answer]</i>	Reference: Article 14.1.h and Article 14.3
	12.10.6 Please upload a copy of the applicable laws and/or measures for obstructing any public officer or an authorized officer in the performance of duties relating to the elimination of illicit trade in tobacco, tobacco products or manufacturing equipment. <i>12.10.6.1 File upload.</i> <i>12.10.6.2 Weblink URL:</i>	Reference: Article 14.1.h and Article 14.3 For multiple files, please upload a compressed/Zip folder.
False, misleading, or incomplete statements		
12.11.1.1	Under your country's legal framework, is making any material statement that is false, misleading or incomplete, or failing to provide any required information to authorities [in relation to illicit trade in tobacco, tobacco products or manufacturing equipment] considered to be: 1 Not unlawful conduct 2 Unlawful conduct but NOT a crime 3 Unlawful conduct that constitutes a crime	Reference: Article 14.1.i(i) Please choose the most appropriate response.
	If it is considered to be unlawful conduct <u>but NOT a crime</u>:	

Question number	Question	Instructions
	<p>12.11.2 Have you established any of the following sanctions for making any material statement that is false, misleading or incomplete, or failing to provide any required information to authorities?</p> <p>12.11.2.1 Freezing or seizure of property 12.11.2.2 Confiscation of property 12.11.2.3 Fines 12.11.2.4 Other administrative penalties (e.g. suspension or revocation of licence)</p>	<p>Reference: Article 14.1.i(i) and Article 16</p> <p>Please choose the most appropriate response for each of the below: yes, no.</p>
	If it is considered to be <u>unlawful conduct that constitutes a crime</u>:	
	<p>12.11.3 Have you established any of the following sanctions for making any material statement that is false, misleading or incomplete, or failing to provide any required information to authorities?</p> <p>12.11.3.1 Punishable by imprisonment of less than 4 years 12.11.3.2 Punishable by imprisonment of 4 years or more 12.11.3.3 Freezing or seizure of property 12.11.3.4 Confiscation of property 12.11.3.5 Fines 12.11.3.6 Other administrative penalties (e.g. suspension or revocation of licence)</p>	<p>Reference: Article 14.1.i(i) and Article 16</p> <p>Please choose the most appropriate response for each of the below.</p>
	If it is considered to be <u>unlawful conduct</u> whether or not it constitutes a crime:	
	<p>12.11.4 Do you have measures to establish the liability of the following for making any material statement that is false, misleading or incomplete, or failing to provide any required information to authorities?</p> <p>12.11.4.1 Individuals 12.11.4.2 Corporate entities</p>	<p>Reference: Article 14.1.i(i) and Article 15</p> <p>Please choose the most appropriate response for each of the below.</p>
	<p>12.11.5.1 Please cite the applicable laws and/or other measures for making any material statement that is false, misleading or incomplete, or failing to provide any required information to authorities [in relation to the</p>	<p>Reference: Article 14.1.i(i) and Article 14.3</p>

Question number	Question		Instructions
		<p>elimination of illicit trade in tobacco, tobacco products or manufacturing equipment]:</p> <p><i>[Free-text answer]</i></p>	
	12.11.6	<p>Please upload a copy of the applicable laws and/or measures for making any material statement that is false, misleading or incomplete, or failing to provide any required information to authorities.</p> <p><i>12.11.6.1 File upload (allow multiple).</i></p> <p><i>12.11.6.2 Weblink URL:</i></p>	<p>Reference: Article 14.1.i(i) and Article 14.3</p> <p>For multiple files, please upload a compressed/Zip folder.</p>
	Misdeclaration on official forms		
12.12.1.1	<p>Under your country's legal framework, is misdeclaring on official forms any information to evade the payment of duties, taxes and other levies, or prejudice any control measures for the elimination of illicit trade in tobacco products considered to be:</p> <p>1 Not unlawful conduct</p> <p>2 Unlawful conduct but NOT a crime</p> <p>3 Unlawful conduct that constitutes a crime</p>		<p>Reference: Article 14.1.i(ii)(a) and 14.1.i(ii)(b)</p> <p>Please choose the most appropriate response.</p> <p>Examples of mideclaration include misdeclaring the description, quantity or value of tobacco, tobacco products or manufacturing equipment.</p>
	If it is considered to be unlawful conduct <u>but NOT a crime</u>:		
	12.12.2	<p>Have you established any of the following sanctions for misdeclaring on official forms any information to evade the payment of duties, taxes and other levies, or prejudice any control measures for the elimination of illicit trade in tobacco products?</p> <p>12.12.2.1 Freezing or seizure of property</p> <p>12.12.2.2 Confiscation of property</p> <p>12.12.2.3 Fines</p>	<p>Reference: Article 14.1.i(ii)(a), Article 14.1.i(ii)(b) and Article 16</p> <p>Please choose the most appropriate response for each of the below.</p>

Question number	Question	Instructions
	12.12.2.4 Other administrative penalties (e.g. suspension or revocation of licence)	Examples of misdeclaration include misdeclaring the description, quantity or value of tobacco, tobacco products or manufacturing equipment.
	If it is considered to be <u>unlawful conduct that constitutes a crime</u>:	
	<p>12.12.3 Have you established any of the following sanctions for misdeclaring on official forms any information to evade the payment of duties, taxes and other levies, or prejudice any control measures for the elimination of illicit trade in tobacco products?</p> <p>12.12.3.1 Punishable by imprisonment of less than 4 years 12.12.3.2 Punishable by imprisonment of 4 years or more 12.12.3.3 Freezing or seizure of property 12.12.3.4 Confiscation of property 12.12.3.5 Fines 12.12.3.6 Other administrative penalties (e.g. suspension or revocation of licence)</p>	<p>Reference: Article 14.1.i(ii)(a), Article 14.1.i(ii)(b) and Article 16</p> <p>Please choose the most appropriate response for each of the below.</p> <p>Examples of misdeclaration include misdeclaring the description, quantity or value of tobacco, tobacco products or manufacturing equipment.</p>
	If it is <u>considered to be unlawful conduct</u> whether or not it constitutes a crime:	
	<p>12.12.4 Do you have measures to establish the liability of the following for misdeclaring on official forms any information to evade the payment of duties, taxes and other levies, or prejudice any control measures for the elimination of illicit trade in tobacco products?</p> <p>12.12.4.1 Individuals 12.12.4.2 Corporate entities</p>	<p>Article 14.1.i(ii)(a), Article 14.1.i(ii)(b) and Article 15</p> <p>Please choose the most appropriate response for each of the below.</p> <p>Examples of misdeclaration include misdeclaring the description, quantity or value of tobacco, tobacco products or manufacturing equipment.</p>
	12.12.5.1 Please cite the applicable laws and/or other measures for misdeclaring on official forms any information to evade the payment of duties, taxes and other levies, or prejudice any control measures for the elimination of illicit trade in tobacco products:	Reference: Article 14.1.i(ii)(a), Article 14.1.i(ii)(b) and Article 14.3

Question number	Question		Instructions
		<i>[Free-text answer]</i>	Examples of misdeclaration include misdeclaring the description, quantity or value of tobacco, tobacco products or manufacturing equipment.
	12.12.6	<p>Please upload a copy of the applicable laws and/or measures for misdeclaring on official forms any information to evade the payment of duties, taxes and other levies, or prejudice any control measures for the elimination of illicit trade in tobacco products.</p> <p><i>12.12.6.1 File upload.</i> <i>12.12.6.2 Weblink URL:</i></p>	<p>Reference: Article 14.1.i(ii)(a), Article 14.1.i(ii)(b) and Article 14.3</p> <p>Examples of misdeclaration include misdeclaring the description, quantity or value of tobacco, tobacco products or manufacturing equipment.</p> <p>For multiple files, please upload a compressed/Zip folder.</p>
Failing to create or maintain records or maintaining false records			
12.13.1.1	<p>Under your country's legal framework, is failing to create or maintain records, or maintaining false records as required for the elimination of illicit trade considered to be:</p> <p>Please choose the most appropriate response:</p> <p>1 Not unlawful conduct 2 Unlawful conduct but NOT a crime 3 Unlawful conduct that constitutes a crime</p>		Reference: Article 14.1.i(iii)
	If it is considered to be unlawful conduct <u>but NOT a crime</u>:		
	12.13.2	<p>Have you established any of the following sanctions for failing to create or maintain records, or maintaining false records as required for the elimination of illicit trade?</p> <p>Please choose the most appropriate response for each of the below: yes, no</p>	Reference: Article 14.1.i(iii) and Article 16

Question number	Question	Instructions
	12.13.2.1 Freezing or seizure of property 12.13.2.2 Confiscation of property 12.13.2.3 Fines 12.13.2.4 Other administrative penalties (e.g., suspension or revocation of licence)	
	If it is considered to be <u>unlawful conduct that constitutes a crime</u>:	
	12.13.3 Have you established any of the following sanctions for failing to create or maintain records, or maintaining false records as required for the elimination of illicit trade? Please choose the most appropriate response for each of the below: yes, no 12.13.3.1 Punishable by imprisonment of less than 4 years 12.13.3.2 Punishable by imprisonment of 4 years or more 12.13.3.3 Freezing or seizure of property 12.13.3.4 Confiscation of property 12.13.3.5 Fines 12.13.3.6 Other administrative penalties (e.g. suspension or revocation of licence)	Reference: Article 14.1.i(iii) and Article 16
	If it is <u>considered to be unlawful conduct</u> whether or not it constitutes a crime:	
	12.13.4 Do you have measures to establish the liability of the following for failing to create or maintain records, or maintaining false records as required for the elimination of illicit trade? Please choose the most appropriate response for each of the below: yes, no 12.13.4.1 Individuals 12.13.4.2 Corporate entities	Reference: Article 14.1.i(iii) and Article 15

Question number	Question	Instructions
	12.13.5.1 Please cite the applicable laws and/or other measures for failing to create or maintain records, or maintaining false records as required for the elimination of illicit trade: <i>[Free-text answer]</i>	Reference: Article 14.1.i(iii) and Article 14.3
	12.13.6 Please upload a copy of the applicable laws and/or measures for failing to create or maintain records, or maintaining false records as required for the elimination of illicit trade. 12.13.6.1 <i>File upload (allow multiple).</i> 12.13.6.2 <i>Weblink URL:</i>	Reference: Article 14.1.i(iii) and Article 14.3 For multiple files, please upload a compressed/Zip folder.
	Money laundering	
12.14.1.1	Under your country's legal framework, is laundering of proceeds of unlawful conduct related to illicit trade in tobacco, tobacco products and manufacturing equipment considered to be? 1 Not unlawful conduct 2 Unlawful conduct but NOT a crime 3 Unlawful conduct that constitutes a crime	Reference: Article 14.1.j
	If it is considered to be unlawful conduct <u>but NOT a crime</u>:	
	12.14.2 Have you established any of the following sanctions for laundering of proceeds of unlawful conduct related to illicit trade in tobacco, tobacco products and manufacturing equipment? Please choose the most appropriate response for each of the below: yes, no 12.14.2.1 Freezing or seizure of property	Reference: Article 14.1.j and Article 16

Question number	Question	Instructions
	12.14.2.2 Confiscation of property 12.14.2.3 Fines 12.14.2.4 Other administrative penalties (e.g., suspension or revocation of licence)	
	If it is considered to be <u>unlawful conduct that constitutes a crime</u>:	
	12.14.3 Have you established any of the following sanctions for laundering of proceeds of unlawful conduct related to illicit trade in tobacco, tobacco products and manufacturing equipment? Please choose the most appropriate response for each of the below: yes, no 12.14.3.1 Punishable by imprisonment of less than 4 years 12.14.3.2 Punishable by imprisonment of 4 years or more 12.14.3.3 Freezing or seizure of property 12.14.3.4 Confiscation of property 12.14.3.5 Fines 12.14.3.6 Other administrative penalties (e.g., suspension or revocation of licence)	Reference: Article 14.1.j and Article 16
	If it is <u>considered to be unlawful conduct</u> whether or not it constitutes a crime:	
	12.14.4 Do you have measures to establish the liability of the following for laundering of proceeds of unlawful conduct related to illicit trade in tobacco, tobacco products and manufacturing equipment? Please choose the most appropriate answer for each of the below: yes, no 12.14.4.1 Individuals 12.14.4.2 Corporate entities	Reference: Article 14.1.j and Article 15
	12.14.5.1 Please cite the applicable laws and/or other measures for laundering of proceeds of unlawful conduct related to illicit trade in tobacco, tobacco products and manufacturing equipment:	Reference: Article 14.1.j and Article 14.3

Question number	Question	Instructions
	<i>[Free-text answer]</i>	
	<p>12.14.6 Please upload a copy of the applicable laws and/or measures for laundering of proceeds of unlawful conduct related to illicit trade in tobacco, tobacco products and manufacturing equipment.</p> <p><i>12.14.6.1 File upload.</i></p> <p><i>12.14.6.2 Weblink URL:</i></p>	<p>Reference: Article 14.1.j and Article 14.3</p> <p>For multiple files, please upload a compressed/Zip folder.</p>
Jurisdiction over criminal offences		
12.15.1	<p>Do you have jurisdiction over criminal offences when the offence is committed:</p> <p>Please choose the most appropriate response for each of the below: yes, no</p> <p>12.15.1.1 In the territory of your country?</p> <p>12.15.1.2 On board a vessel that is flying the flag of your country?</p> <p>12.15.1.3 On board an aircraft that is registered under the laws of your country at the time the offence is committed?</p> <p>12.15.1.4 Against your country?</p> <p>12.15.1.5 By a national of your country?</p> <p>12.15.1.6 By a stateless person who has his or her habitual residence in your country?</p> <p>12.15.1.7 Outside the territory of your country but with a view to the commission of an offence in the territory of your country?</p> <p>12.15.1.8 When the alleged offender is present in the territory of your country but is not subjected to extradition solely on the ground that he or she is a national of your country?</p> <p>12.15.1.9 When the alleged offender is present in the territory of your country but is not subjected to extradition for any other reason?</p>	Reference: Article 26
Examples of successful implementation and enforcement		
12.16.1.1	Parties are invited to provide examples, relevant cases or judgments relating to successful implementation and enforcement of the offences listed on Article 14:	Reference: Article 14.3

Question number	Question	Instructions
	<i>[Free-text answer]</i>	
12.16.2	<p>Parties are invited to upload any supporting documentation for the examples, relevant cases or judgments relating to successful implementation and enforcement of the offences listed on Article 14.</p> <p><i>12.16.2.1 File upload (allow multiple).</i></p> <p><i>12.16.2.2 Weblink URL:</i></p>	<p>Reference: Article 14.3</p> <p>For multiple files, please upload a compressed/Zip folder.</p>
Article 17: Seizure payments		
	Seizure payments	
13.1.1.1	<p>Have you adopted measures to allow authorities to levy an amount proportionate to lost taxes and duties <u>FROM THE PRODUCER, MANUFACTURER, DISTRIBUTOR, IMPORTER, OR EXPORTER</u> of seized tobacco, tobacco products, or tobacco manufacturing equipment?</p> <p>1 Yes</p> <p>2 No</p>	Reference: Article 17
Article 18: Disposal or destruction		
	Disposal or destruction	
14.1.1.1	<p>Do you destroy or dispose of all confiscated tobacco, tobacco products and manufacturing equipment in your country?</p> <p>1 Yes</p> <p>2 No</p>	Reference: Article 18
	If yes to destroying/disposing:	

Question number	Question		Instructions
	14.1.1.2	Do you destroy or dispose of all confiscated tobacco, tobacco products and manufacturing equipment in your country using environmentally friendly methods? 1 Yes 2 No	Reference: Article 18
Article 19: Special investigative techniques			
	Special investigative techniques		
15.1.1.1		Does your national legislation allow for special investigative techniques, such as, controlled delivery or undercover operations, for the purposes of combating illicit trade in tobacco products? 1 Yes 2 No	Reference: Article 19.1
	Examples of special investigative techniques		
	If yes to allowing for special investigative techniques:		
	15.2.1.1	Parties are invited to provide examples, relevant cases or judgments relating to the use of special investigative techniques: <i>[Free-text answer]</i>	Reference: Article 19.4
	15.2.2	Parties are invited to upload examples, relevant cases or judgments relating to the use of special investigative techniques. <i>15.2.2.1 File upload.</i> <i>15.2.2.2 Weblink URL:</i>	Reference: Article 19.4 For multiple files, please upload a compressed/Zip folder.
Article 20: General information sharing			

Question number	Question	Instructions
	Methods or operations used in illicit trade	
16.1.1.1	<p>Please describe the common methods or operations used (e.g., concealment methods) in the illicit trade of tobacco, tobacco products, and tobacco manufacturing equipment that your country may have detected in relation to the manufacturing in, importation into, and export from your country:</p> <p><i>[Free-text answer]</i></p>	Reference: Article 20.1(c)
	Changes in methods or operations used in the past two years	
16.2.1.1	<p>Have there been significant changes in the methods or operations used (e.g., concealment methods) in the illicit trade of tobacco, tobacco products, and tobacco manufacturing equipment that your country may have detected in the past two years?</p> <p>1 Yes 2 No</p>	<p>Reference: Article 20.1(c)</p> <p>Including in relation to the manufacturing in, importation into, and export from your country.</p>
	If there have been any significant changes in the methods or operations used:	
	<p>16.2.1.2</p> <p>Please describe the significant changes in the methods or operations used (e.g., concealment methods) in the illicit trade of tobacco, tobacco products, and manufacturing equipment that your country may have detected:</p> <p><i>[Free-text answer]</i></p>	<p>Reference: Article 20.1(c)</p> <p>Including in relation to the manufacturing in, importation into, and export from your country.</p>
	Examples of significant seizures	
16.3.1.1	<p>Please give some examples of significant seizures of tobacco, tobacco products, or manufacturing equipment made by authorities in your country. Include details such as items seized, amount seized, transport mode, transport route, location of seizure, and methods of detection and concealment:</p> <p><i>[Free-text answer]</i></p>	Reference: Article 20.1(c)

Question number	Question	Instructions
Article 21: Enforcement information sharing		
Enforcement information sharing		
17.1.1.1	<p>Have you shared enforcement information necessary for the purpose of detection or investigation of illicit trade in tobacco, tobacco products or manufacturing equipment with other Parties to the WHO FCTC?</p> <p>1 Yes 2 No</p>	Reference: Article 21.1
Article 22: Information sharing: confidentiality and protection of information		
Designated national authority for information sharing		
18.1.1.1	<p>Do you have a designated national authority to which data referred to in Articles 20, 21, and 24 is supplied?</p> <p>1 Yes 2 No</p>	Reference: Article 21.1
If yes to having a designated national authority:		
18.1.2	<p>Please give the information below on the designated national authority to which data referred to in Articles 20, 21, and 24 is supplied:</p> <p>18.1.2.1 Name of the national authority: <i>free-text answer</i></p> <p>18.1.2.2; 18.1.2.3; 18.1.2.4 Contact person at the competent authority: <i>entry for first and last name, and title</i></p> <p>18.1.2.5 Contact email: <i>email entry</i></p>	
Laws regarding confidentiality and privacy		

Question number	Question	Instructions
18.2.1.1	<p>Do you have laws that protect any confidential information that is exchanged with other Parties to the WHO FCTC?</p> <p>1 Yes</p> <p>2 No</p>	Reference: Article 22.2
Article 23: Assistance and cooperation: training, technical assistance and cooperation in scientific, technical and technological matters		
	Technical assistance for the implementation of the Protocol	
19.1.1.1	<p>Have you received technical assistance to achieve the objectives of this protocol?</p> <p>1 Yes</p> <p>2 No</p>	Reference: Article 23.1
	If yes to receiving technical assistance:	
	<p>19.1.2 From whom did you receive technical assistance?</p> <p>1 Civil society organization</p> <p>2 International intergovernmental organization</p> <p>3 Regional intergovernmental organization</p> <p>4 Financial institution</p> <p>5 Development institution</p> <p>6 Party to the WHO FCTC</p> <p>7 WHO FCTC Knowledge Hub</p> <p>8 Convention Secretariat</p> <p>9 Philanthropic organization</p> <p>10 Other (please specify)</p>	Reference: Article 23.1

Question number	Question		Instructions
19.1.3.1	Have you provided technical assistance to achieve the objectives of this protocol? 1 Yes 2 No		Reference: Article 23.1
	If yes to providing technical assistance:		
	19.1.4	To whom did you provide technical assistance? 1 Civil society organization 2 International intergovernmental organization 3 Regional intergovernmental organization 4 Financial institution 5 Development institution 6 Party to the WHO FCTC 7 WHO FCTC Knowledge Hub 8 Convention Secretariat 9 Philanthropic organization 10 Other (please specify)	Reference: Article 23.1
Article 24: Assistance and cooperation: investigation and prosecution of offences			
	Engagement with other Parties to the WHO FCTC to investigate illicit trade		
20.1.1.1	Has your jurisdiction engaged with other Parties to the WHO FCTC to investigate criminal offences in the illicit trade of tobacco? 1 Yes 2 No		Reference: Article 24.1 and Article 19.2
	Coordinating mechanism to exchange information		

Question number	Question	Instructions
20.2.1.1	<p>Do the relevant national authorities within your country have a coordinating mechanism to cooperate and exchange information with each other to combat illicit trade in tobacco products?</p> <p>1 Yes 2 No</p>	Reference: Article 24.2
	If yes to having a coordinating mechanism to cooperate and exchange information with each other:	
	<p>20.2.1.2 Parties are invited to share examples of their experiences of their relevant national authorities cooperating with each other through their coordinating mechanism to combat illicit trade in tobacco products (e.g., good practices):</p> <p><i>[Free-text answer]</i></p>	Reference: Article 24.2
Article 27: Law enforcement cooperation		
	Law enforcement cooperation	
21.1.1.1	<p>Has your country established mechanisms to ensure effective law enforcement cooperation with customs, police or other law enforcement agencies from other WHO FCTC Parties?</p> <p>1 Yes 2 No</p>	Reference: Article 27
21.1.1.2	<p>Has your country cooperated with other WHO FCTC Parties in law enforcement for the purpose of eliminating illicit trade in tobacco products?</p> <p>1 Yes 2 No</p>	Reference: Article 27
	If yes to law enforcement cooperation with another WHO FCTC Party:	

Question number	Question	Instructions
	21.1.1.3 Parties are invited to share examples of their experiences of cooperating with other WHO FCTC Parties in law enforcement to eliminate illicit trade in tobacco products (e.g., good practices): <i>[Free-text answer]</i>	Reference: Article 27
Article 28: Mutual administrative assistance		
	Mutual administrative assistance	
22.1.1.1	Has your country provided or made available mutual administrative assistance to other WHO FCTC Parties to ensure proper application of customs and other relevant law in the prevention, detection, investigation, prosecution and combating of illicit trade in tobacco products? 1 Yes 2 No	Reference: Article 28
	If yes to providing or making available mutual administrative assistance to other WHO FCTC Parties:	
	22.1.2 Has your country provided or made available to other WHO FCTC Parties any of the below types of information? Please choose the most appropriate response for each of the below: yes, no 22.1.2.1 New customs and other enforcement techniques of demonstrated effectiveness 22.1.2.2 New trends, means or methods of engaging in illicit trade in tobacco, tobacco products and manufacturing equipment	Reference: Article 28

Question number	Question	Instructions
	<p>22.1.2.3 Goods known to be the subject of illicit trade in tobacco, tobacco products and manufacturing equipment as well as details of description, packaging, transport and storage and methods used in respect of those goods</p> <p>22.1.2.4 Natural or legal persons known to have committed or to be a party to an offence established in accordance with Article 14</p> <p>22.1.2.5 Any other data that would assist designated agencies in risk assessment for control and other enforcement purposes</p>	
	<p>22.1.3.1 Parties are invited to share examples of their experiences of providing or making available mutual administrative assistance available to other WHO FCTC Parties to eliminate illicit trade in tobacco products (e.g., good practices):</p> <p><i>[Free-text answer]</i></p>	Reference: Article 28
Article 29: Mutual legal assistance		
	Mutual legal assistance	
23.1.1.1	<p>Has your country provided or made available mutual legal assistance to other WHO FCTC Parties for the purpose of investigations, prosecutions, or judicial proceedings in relation to criminal offences established in accordance with Article 14 of this Protocol?</p> <p>1 Yes</p> <p>2 No</p>	Reference: Article 29.1
	If yes to making available or providing legal assistance to other WHO FCTC Parties:	
	<p>23.1.1.2 Parties are invited to share examples of their experiences with making available or providing mutual legal assistance (e.g., good practices):</p> <p><i>[Free-text answer]</i></p>	Reference: Article 29.1

Question number	Question	Instructions
	<p>23.1.2 Which types of mutual legal assistance has your country made available or offered to other WHO FCTC Parties?</p> <p>Please choose the most appropriate response for each of the below: yes, no</p> <p>23.1.2.1 Taking evidence or statements from persons 23.1.2.2 Effecting service of judicial documents 23.1.2.3 Executing searches and seizures, and freezing 23.1.2.4 Examining objects and sites 23.1.2.5 Providing information, evidentiary items and expert evaluations 23.1.2.6 Providing originals or certified copies of relevant documents and records, including government, bank, financial, corporate or business records 23.1.2.7 Identifying or tracing proceeds of crime, property, instrumentalities or other things for evidentiary purposes 23.1.2.8 Facilitating the voluntary appearance of persons in the requesting Party 23.1.2.9 Any other type of assistance</p>	Reference: Article 29.3
Articles 30 and 31: Extradition		
	Extradition	
24.1.1.1	<p>Has your country established measures to ensure extradition for criminal offences under Article 14 of this Protocol or as part of efforts to eliminate illicit trade in tobacco products?</p> <p>1 Yes 2 No</p>	Reference: Article 31
24.1.1.2	Has your country extradited any person for criminal offences under Article 14 of this Protocol or as part of efforts to eliminate illicit trade in tobacco products?	Reference: Article 30

Question number	Question		Instructions
	1 Yes 2 No		
	If yes to extradited any person for criminal offences under Article 14:		
	24.1.1.3	Parties are invited to share examples of cases of any person extradited for criminal offences under Article 14 (e.g., good practices) or as part of efforts to eliminate illicit trade in tobacco products: <i>[Free-text answer]</i>	Reference: Article 31
Technical assistance needed and barriers and challenges to implementation			
	Benefit from technical assistance to implement the Protocol		
25.1.1.1	Could you benefit from any technical assistance to help implement the Protocol? 1 Yes 2 No		Reference: Article 32.3.c
	If yes to benefit from any technical assistance:		
	25.1.1.2	Please describe the technical assistance you could benefit from to help implement the Protocol: <i>[Free-text answer]</i>	Reference: Article 32.3.c
	Constraints or barriers		
25.2.1.1	Have you faced any constraints or barriers in implementing the Protocol? 1 Yes 2 No		Reference: Article 32.3.b
	If yes to facing constraints or barriers:		

Question number	Question			Instructions
	25.2.1.2	What constraints or barriers have you faced in implementing the Protocol? [Free-text answer]		Reference: Article 32.3.b
	25.2.1.3	Have you been able to overcome these constraints or barriers to implementing the Protocol? 1 Yes 2 No		Reference: Article 32.3.b
	If yes to overcoming constraints or barriers:			
		25.2.1.4	Please describe how you have overcome these constraints or barriers to implementing the Protocol: [Free-text answer]	Reference: Article 32.3.b
Suggestions on the reporting instrument				
25.3.1.1	Please provide any suggestions for further development and revision of the reporting instrument: [Free-text answer]			
User Manual of the online reporting platform (FCTCData)				